

Gluon saturation and the Color Glass Condensate

Part II

Edmond Iancu
SPhT Saclay & CNRS



Reminder : Yesterday morning

A brief reminder

Dipole picture for DIS

BK equation

Saturation line





Reminder : **This morning**

A brief reminder

Dipole picture for DIS

BK equation

Saturation line





Reminder : Last coffee break

A brief reminder

Dipole picture for DIS

BK equation

Saturation line





New students (and/or professors)

A brief reminder

Dipole picture for DIS

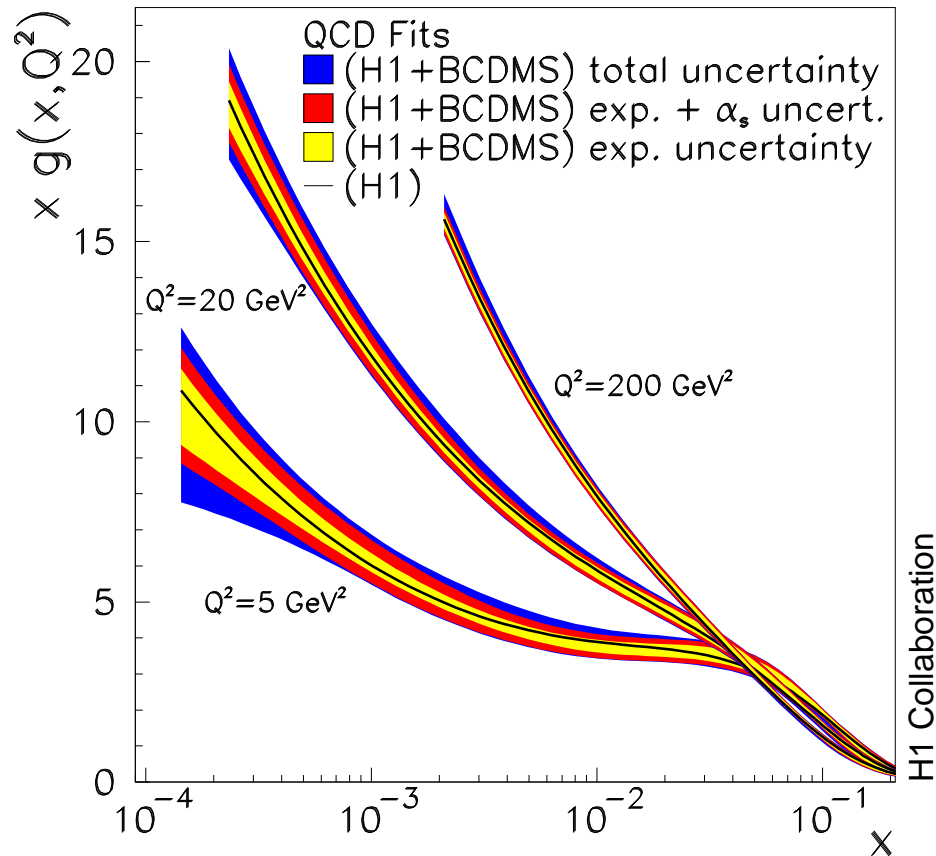
BK equation

Saturation line



Reminder: Gluon distribution at HERA

▷ The gluon distribution rises very fast at small x ! ($\sim 1/x^{0.3}$)



$xG(x, Q^2) \approx$ # of gluons with transverse size $\Delta x_{\perp} \sim 1/Q$ and $k_z = xP$

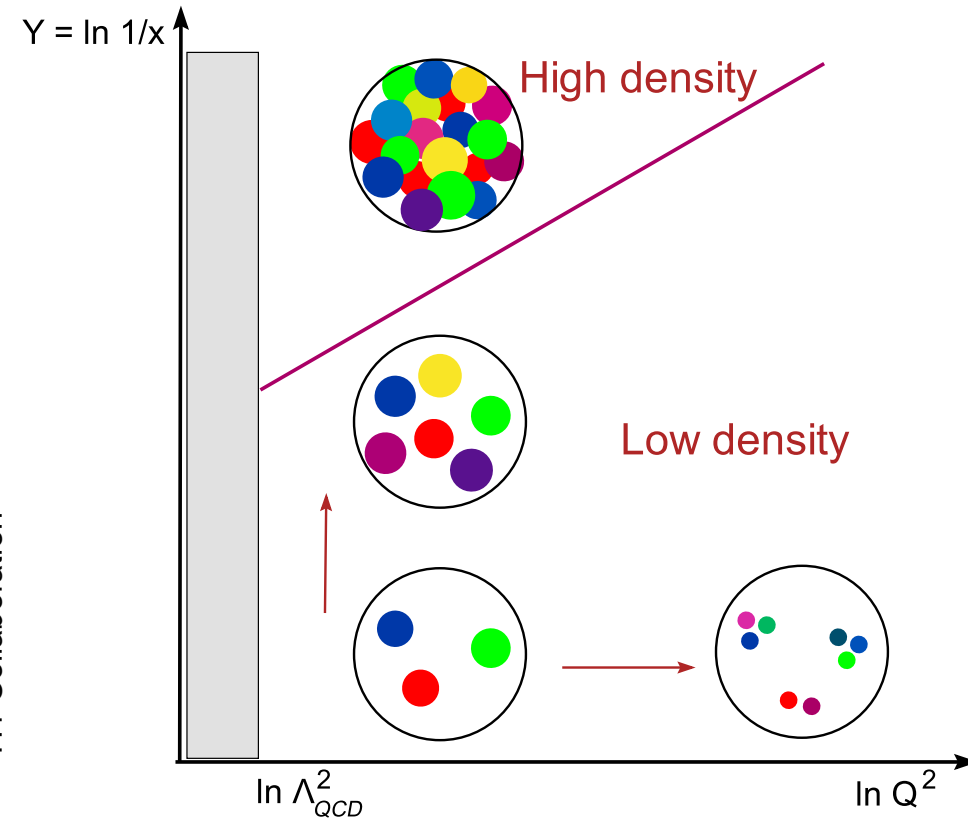
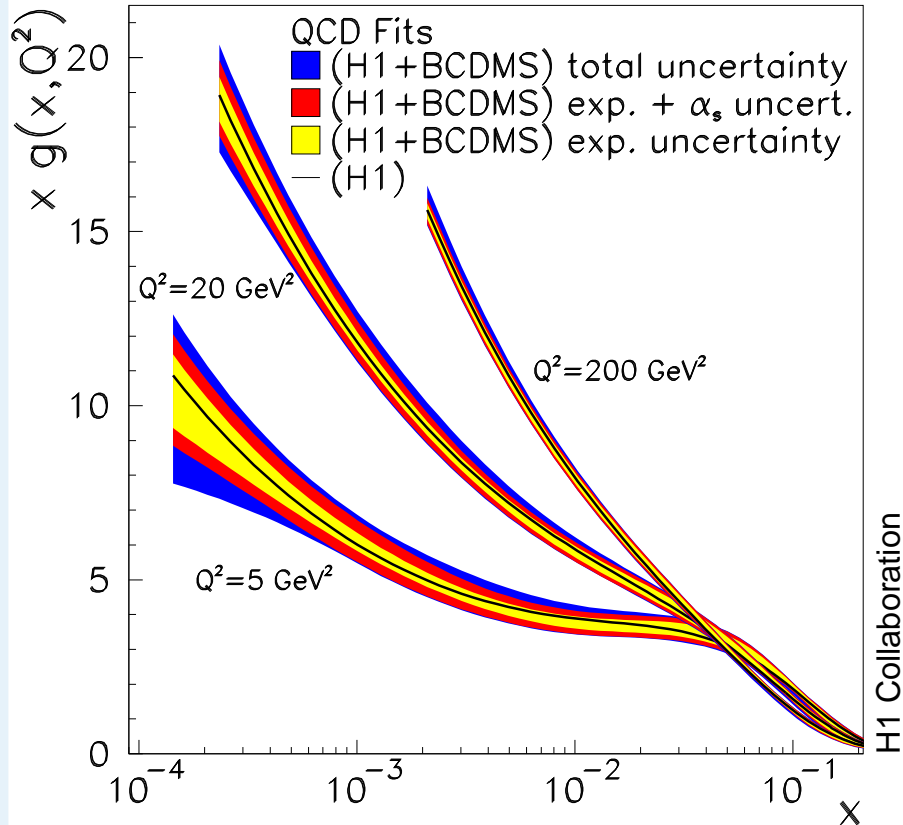
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▷ Increasing Q^2 (DGLAP) : An evolution towards diluteness

▷ Increasing rapidity $Y \sim \ln s$: An evolution towards higher density

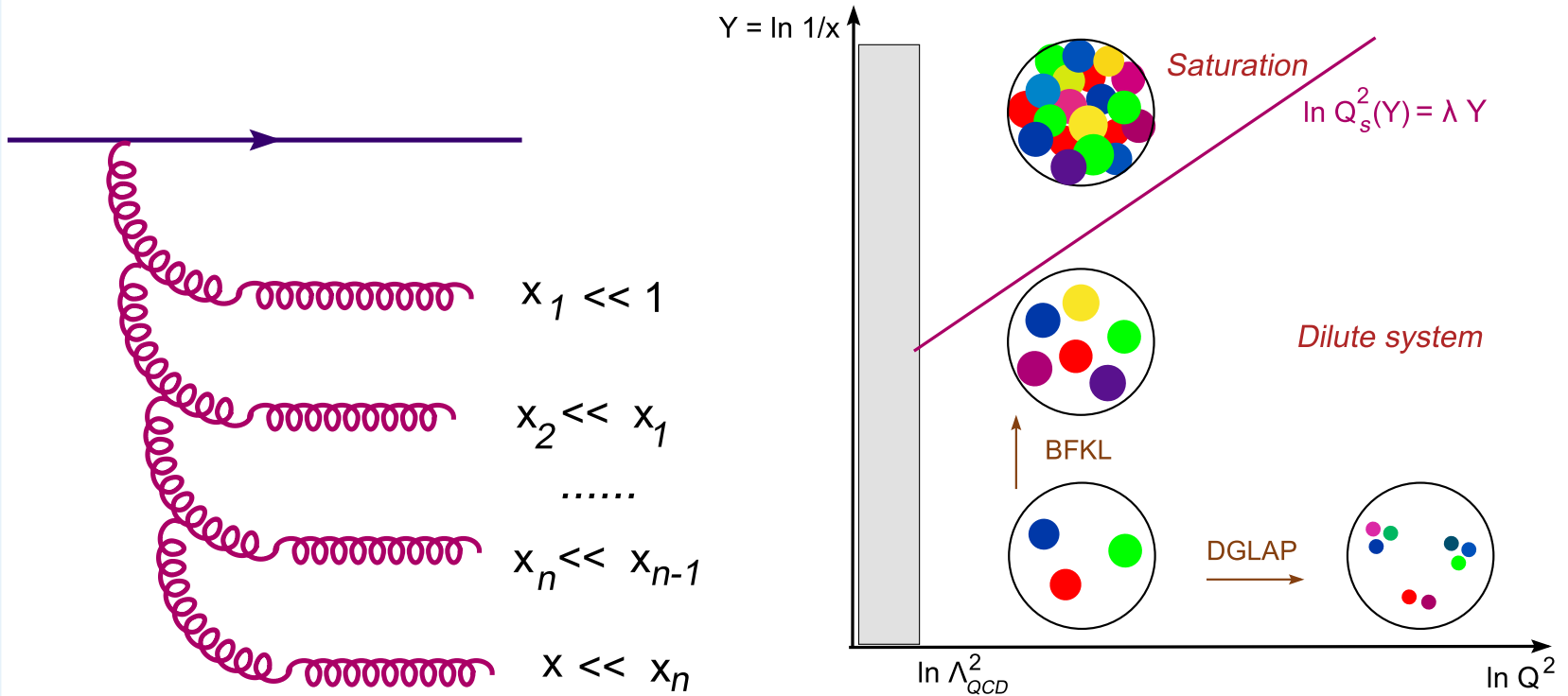
Reminder: BFKL evolution

A brief reminder

Dipole picture for DIS

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Saturation line



$$n(Y, k_{\perp}) \approx \frac{\alpha_s C_F}{\pi} \left(\frac{k_0^2}{k_{\perp}^2} \right)^{\gamma} e^{\omega \alpha_s Y}$$

- Valid so long as the gluon occupation number remains small

$$n \ll 1/\alpha_s \iff k_{\perp} \gg Q_s(Y, A) \text{ with } Q_s^2(Y, A) \propto A^{1/3} e^{\lambda Y}$$

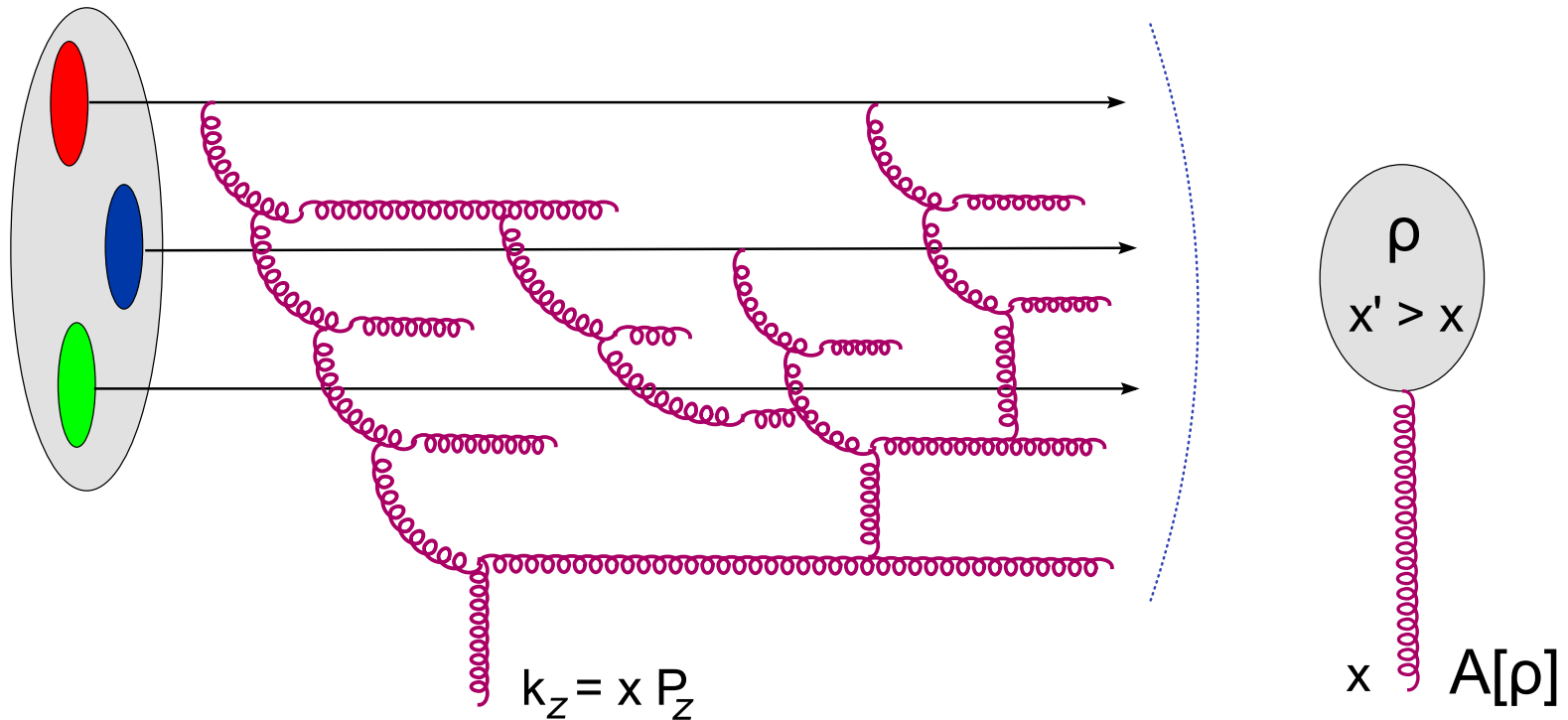
Reminder: Color Glass Condensate

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- **Small- x gluons:** Classical color fields radiated by fast color sources ($x' \gg x$) 'frozen' in some random configuration
- **Probability distribution for the charge density at Y :** $W_Y[\rho]$
A kind of 'super' gluon distribution (many-body correlations)

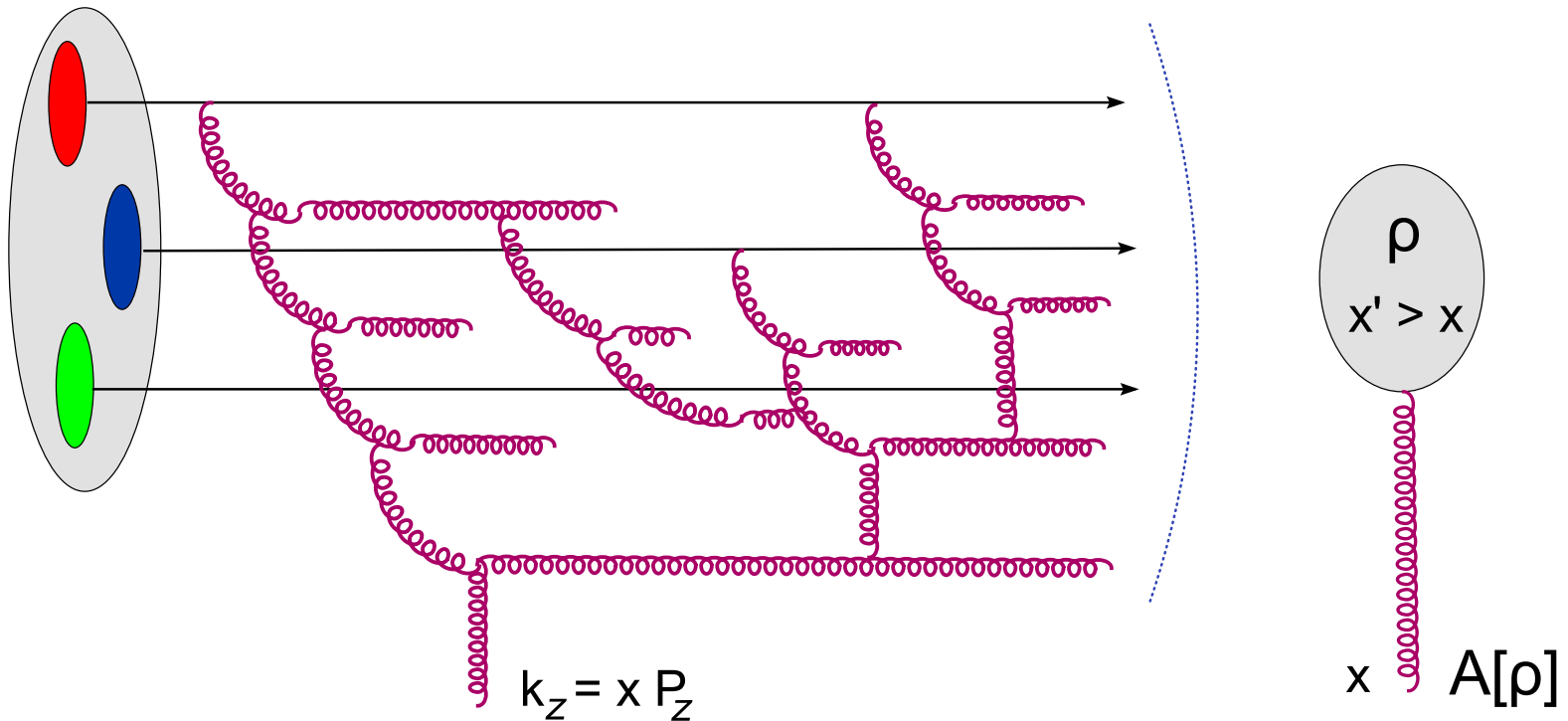
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BK equation

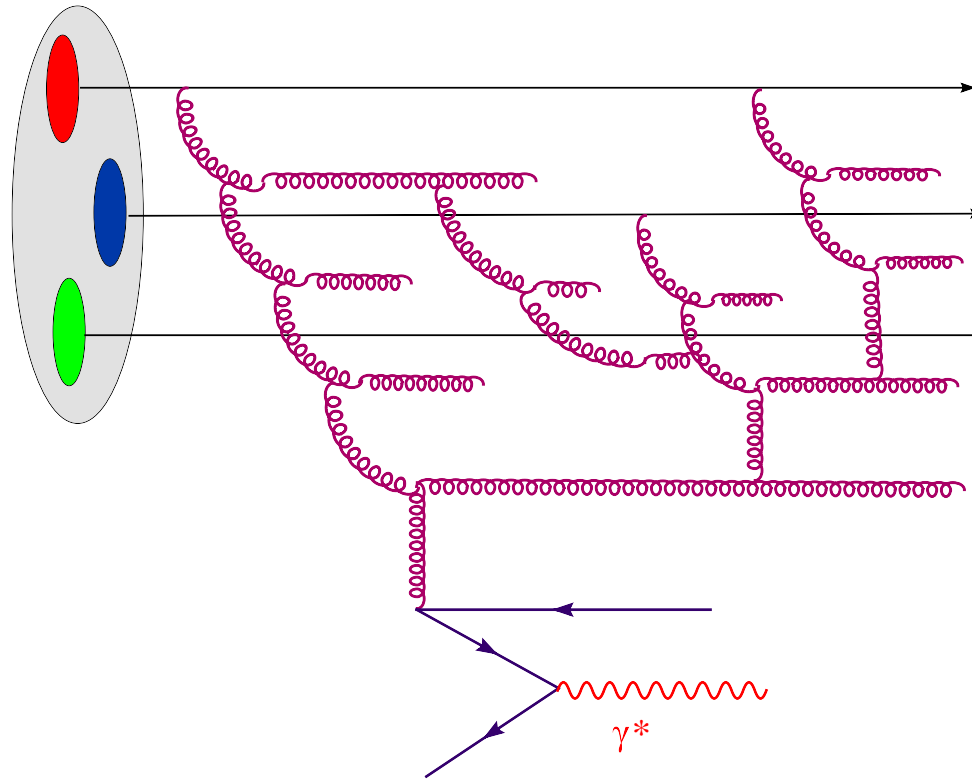
Saturation line



- Renormalization group equation for $W_Y[\rho]$: **JIMWLK**
Complicated since it keeps trace of **all the gluon correlations**
- However, a **simple (= dilute) projectile** probes only a particular subset of these correlations.

Reminder: DIS off the CGC

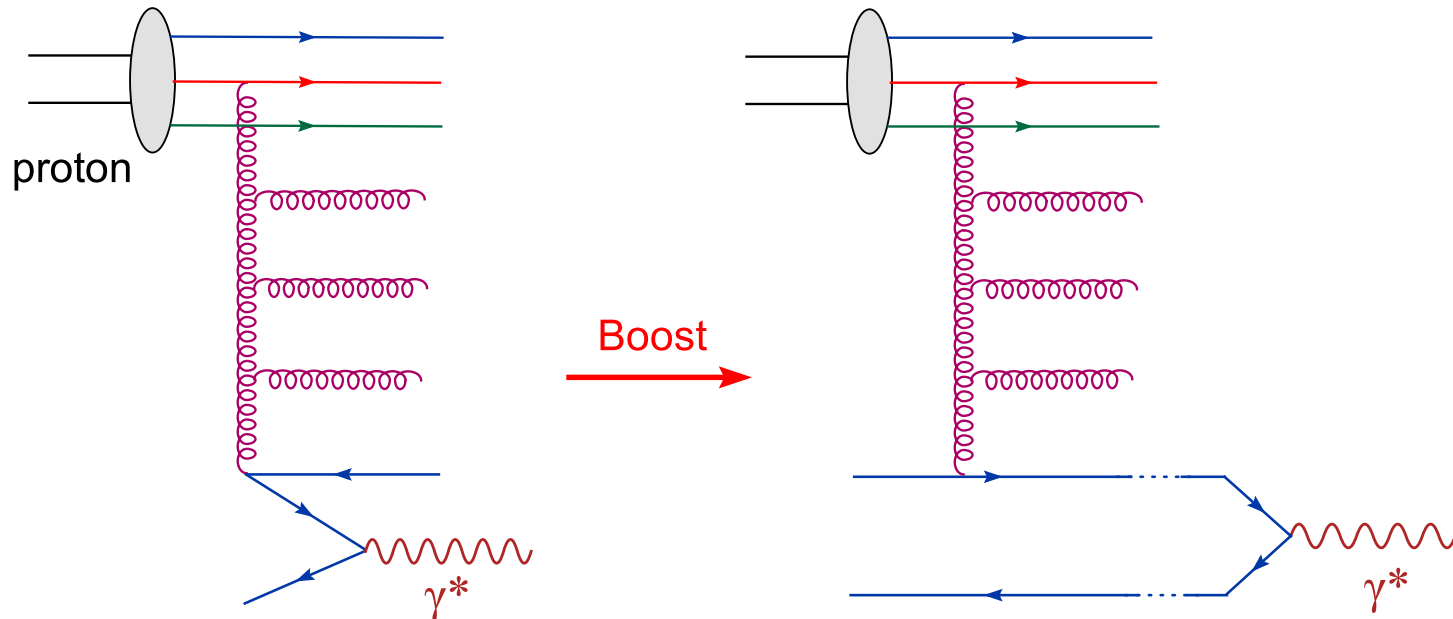
- A brief reminder
- Dipole picture for DIS
- BK equation
- Saturation line



- ‘Dense–dilute scattering’ (also proton–nucleus)
- Specialize to observables related to the scattering of the dilute projectile (here, to DIS)
- Use boost invariance to associate one step in the high energy evolution with the wavefunction of the projectile (γ^*)

Dipole frame

- Lorentz boost: from IMF to the 'dipole frame' :



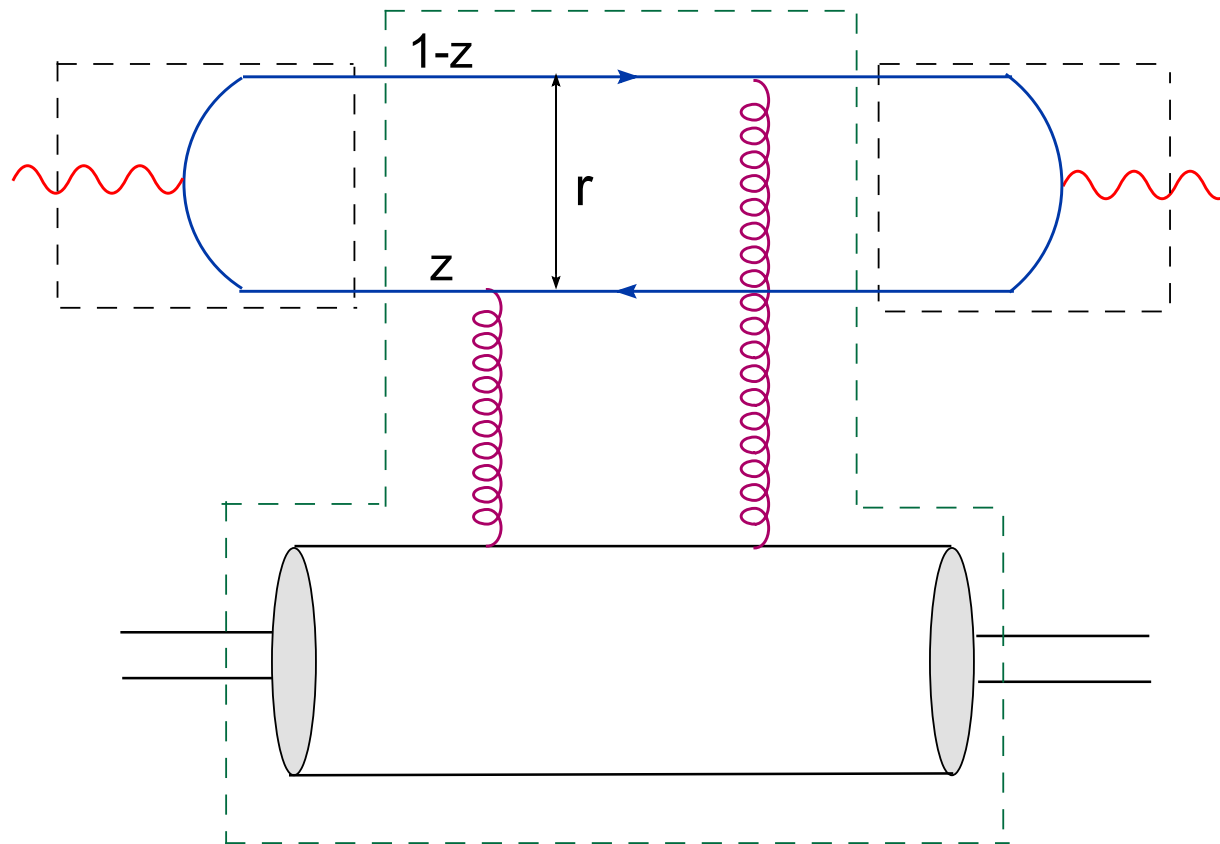
- γ^* has a **relatively** high longitudinal momentum $q \gg Q$

$$\Delta t_{\text{pair}} \sim \frac{q}{Q^2} \quad (\text{Lorentz time dilation})$$

$\implies \gamma^*$ fluctuates into a **colorless $q\bar{q}$ pair ('color dipole')** with **transverse size $r \sim 1/Q$** which then scatters off the proton

Dipole factorization for DIS

$$\sigma_{\gamma^*p}(x, Q^2) = \int_0^1 dz \int d^2\mathbf{r} |\Psi_\gamma(z, \mathbf{r}; Q^2)|^2 \sigma_{\text{dipole}}(x, \mathbf{r})$$



▷ Two gluon exchange: single scattering approximation

A brief reminder

Dipole picture for DIS

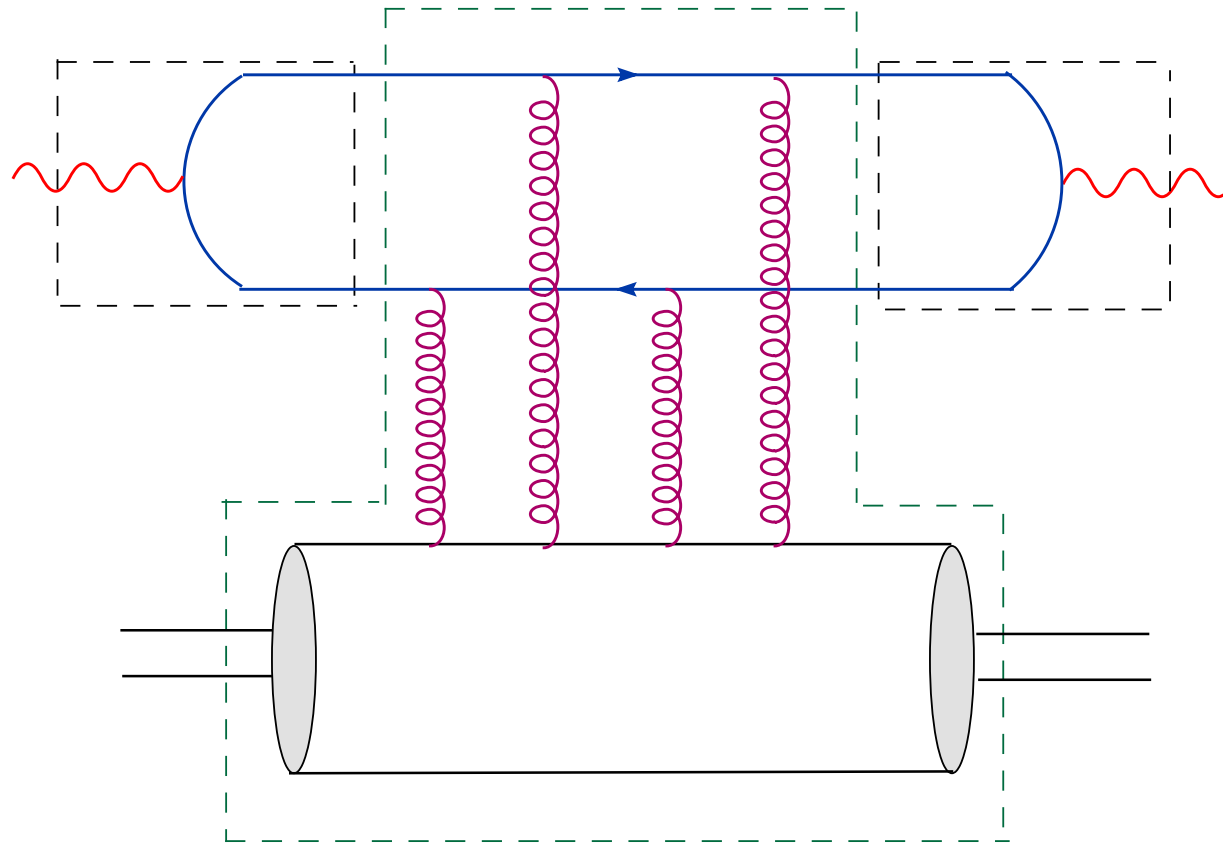
- Dipole frame
- Dipole factorization
- Dipole cross-section
- Single scattering
- Unitarity

BK equation

Saturation line

Dipole factorization for DIS

$$\sigma_{\gamma^*p}(x, Q^2) = \int_0^1 dz \int d^2\mathbf{r} |\Psi_\gamma(z, \mathbf{r}; Q^2)|^2 \sigma_{\text{dipole}}(x, \mathbf{r})$$



▷ Not restricted to single scattering !

A brief reminder

Dipole picture for DIS

- Dipole frame
- Dipole factorization
- Dipole cross-section
- Single scattering
- Unitarity

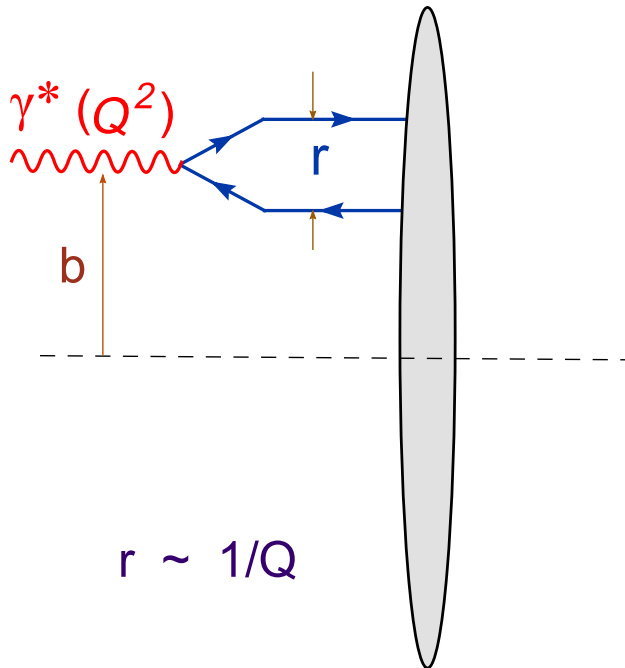
BK equation

Saturation line

Dipole cross-section: Unitarity bound

- Dipole S -matrix : $S = \mathbf{1} + i\mathcal{A}$

$$\sigma_{\text{dipole}}(x, r) = 2 \Im \mathcal{A}(r, s, t = 0) = 2 \int d^2\mathbf{b} \Im \mathcal{A}(x, \mathbf{r}, \mathbf{b})$$



High energy: $\mathcal{A} \approx iT$ with real T

$T = 1 - S$: 'scattering amplitude'

$$\sigma_{\text{dipole}}(x, r) = 2 \int d^2\mathbf{b} T(x, \mathbf{r}, \mathbf{b})$$

- Unitarity bound : $SS^\dagger = 1 \implies T(x, \mathbf{r}, \mathbf{b}) \leq 1$

- ◆ $T \ll 1$: weak scattering

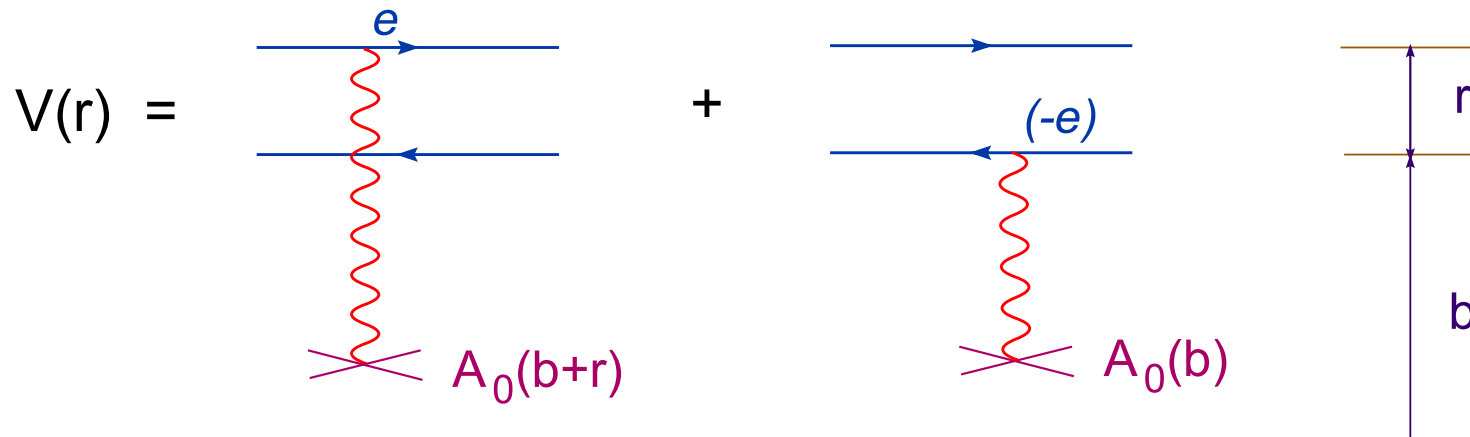
- ◆ $T = 1$: 'black disk limit' (multiple scattering)

- Dipole frame
- Dipole factorization
- Dipole cross-section
- Single scattering
- Unitarity

■ Reminder (classical electrodynamics) :

A small dipole couples to the electric field :

$$V(\mathbf{r}) = e[A_0(\mathbf{b} + \mathbf{r}) - A_0(\mathbf{b})] \simeq e r^i \partial_i A_0(\mathbf{b}) = -e \mathbf{r} \cdot \mathbf{E}(\mathbf{b})$$



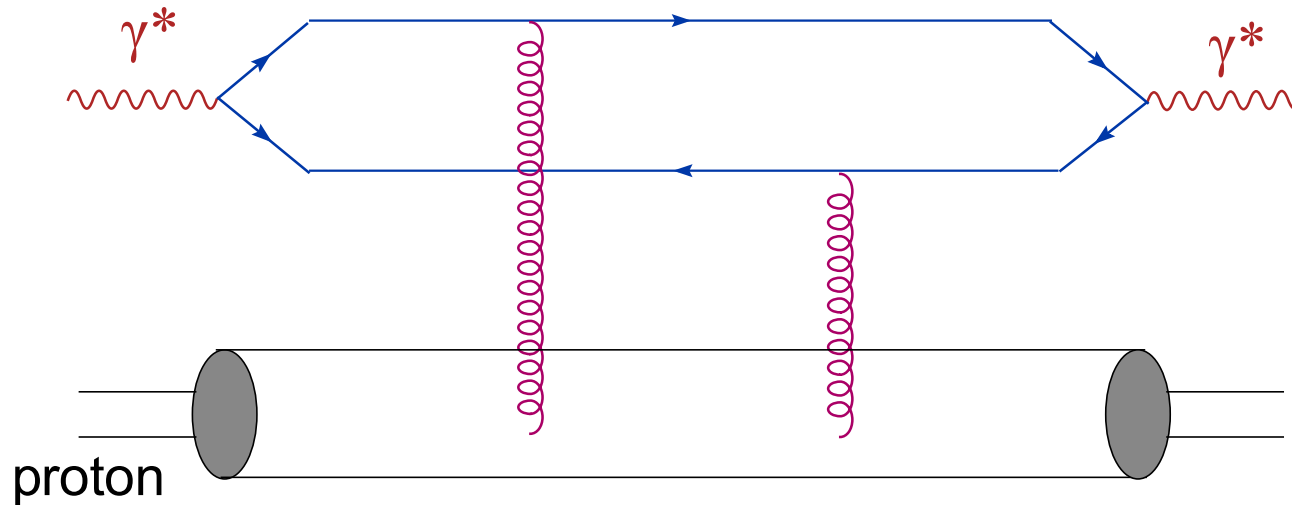
■ QCD : 'Color dipole' = $q\bar{q}$ pair in a color singlet state

$$e \mathbf{r} \cdot \mathbf{E} \rightarrow g t^a \mathbf{r} \cdot \mathbf{E}_a + \text{average over color: } \frac{1}{N_c} \text{tr}\{\dots\}$$

Color dipole: single scattering

- A small color dipole scatters off the gluon field in the target

$$V(\mathbf{r}) \simeq gt^a \mathbf{r} \cdot \mathbf{E}_a \implies T(x, \mathbf{r}, \mathbf{b}) \propto \frac{g^2}{N_c} r^2 \langle \mathbf{E}_a(\mathbf{b}) \cdot \mathbf{E}_a(\mathbf{b}) \rangle_x$$



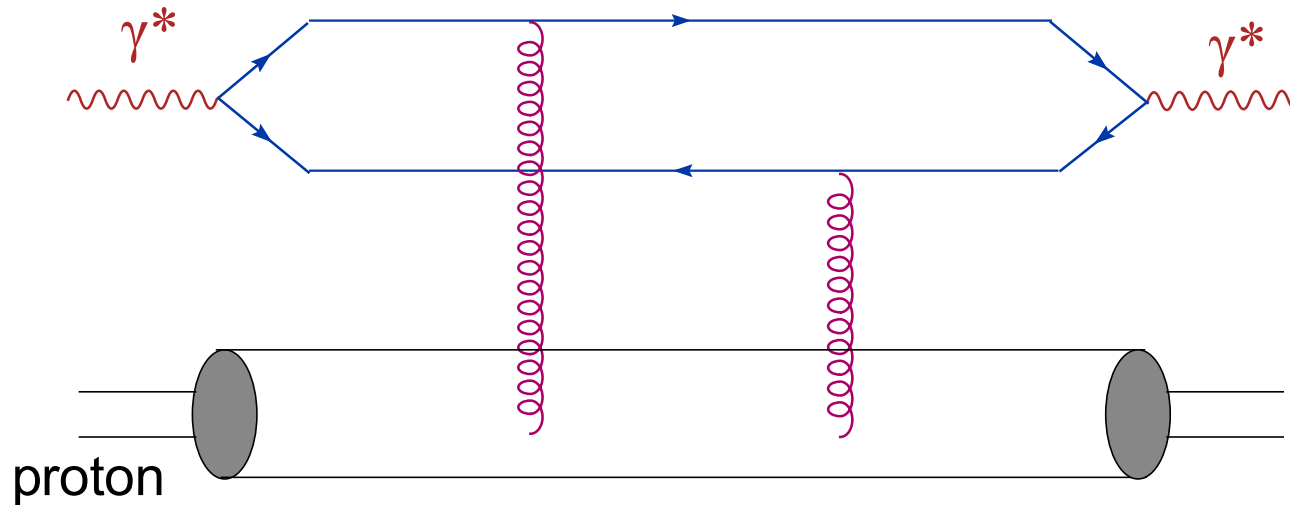
$$T(x, r, b) \simeq \frac{\alpha_s}{N_c} r^2 \frac{xG(x, 1/r^2)}{\pi R^2}$$

- ▷ A direct measure of the gluon distribution $xG(x, Q^2)$ at $Q^2 \sim 1/r^2$

Color dipole: single scattering

- A small color dipole scatters off the gluon field in the target

$$V(\mathbf{r}) \simeq gt^a \mathbf{r} \cdot \mathbf{E}_a \implies T(x, \mathbf{r}, \mathbf{b}) \propto \frac{g^2}{N_c} r^2 \langle \mathbf{E}_a(\mathbf{b}) \cdot \mathbf{E}_a(\mathbf{b}) \rangle_x$$



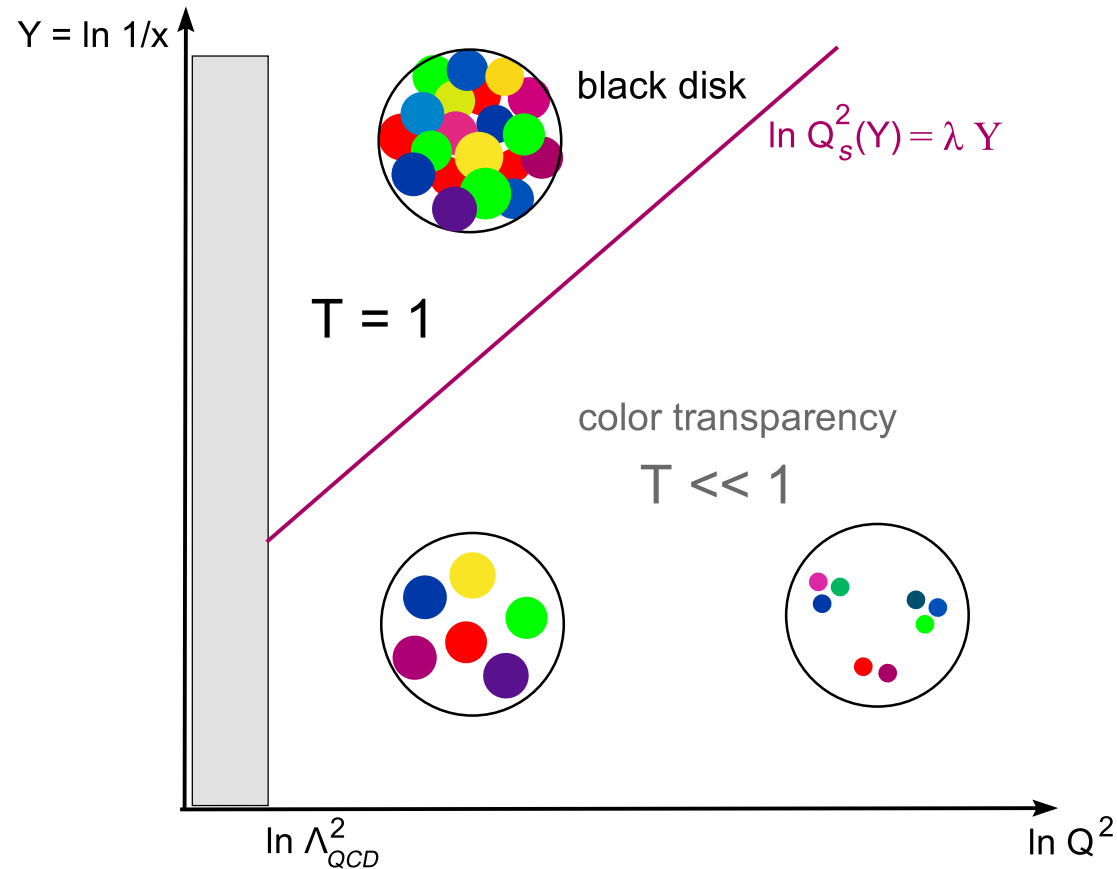
$$T(x, r, b) \propto \alpha_s^2 \frac{r^2}{R^2} \frac{1}{x^\lambda}$$

- $T(x, r, b) \rightarrow 0$ as $r \rightarrow 0$: ‘color transparency’
- When decreasing x and/or increasing r : $T(x, r) \sim \mathcal{O}(1)$

Unitarity = Saturation

- Onset of 'unitarity corrections': $T(x, r) \sim 1 \iff r \sim 1/Q_s(x)$

$$Q_s^2(x) \simeq \frac{\alpha_s}{N_c} \frac{xG(x, Q_s^2)}{\pi R^2} \sim e^{\lambda Y}$$



A brief reminder

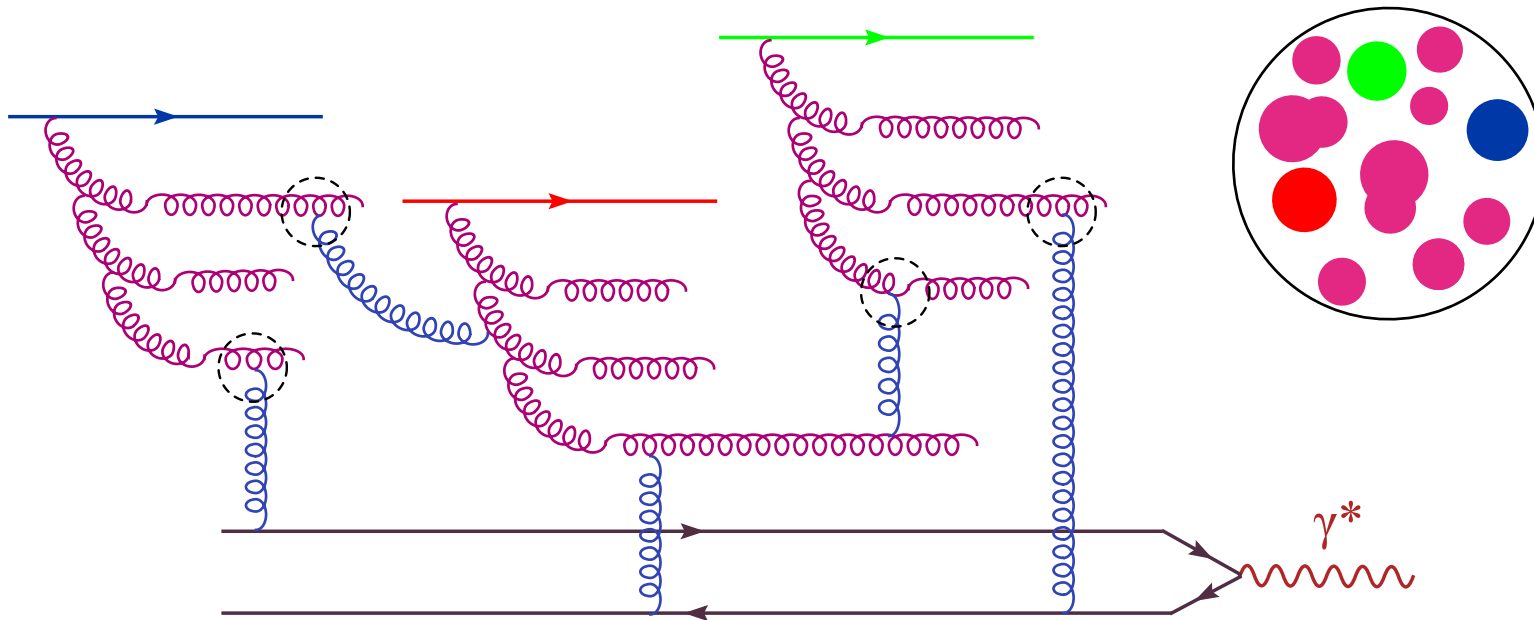
Dipole picture for DIS

- Dipole frame
- Dipole factorization
- Dipole cross-section
- Single scattering
- Unitarity

BK equation

Saturation line

■ Multiple scattering & Gluon saturation



- **Unitarity** : a property of scattering (frame-independent)
- **Saturation** : a property of the wavefunction, manifest in the target infinite momentum frame (and also in the dipole frame)
- One can study saturation by following **dipole evolution**

A brief reminder

Dipole picture for DIS

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- **Unitarity**

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Saturation line

One-step (BFKL) evolution in DIS

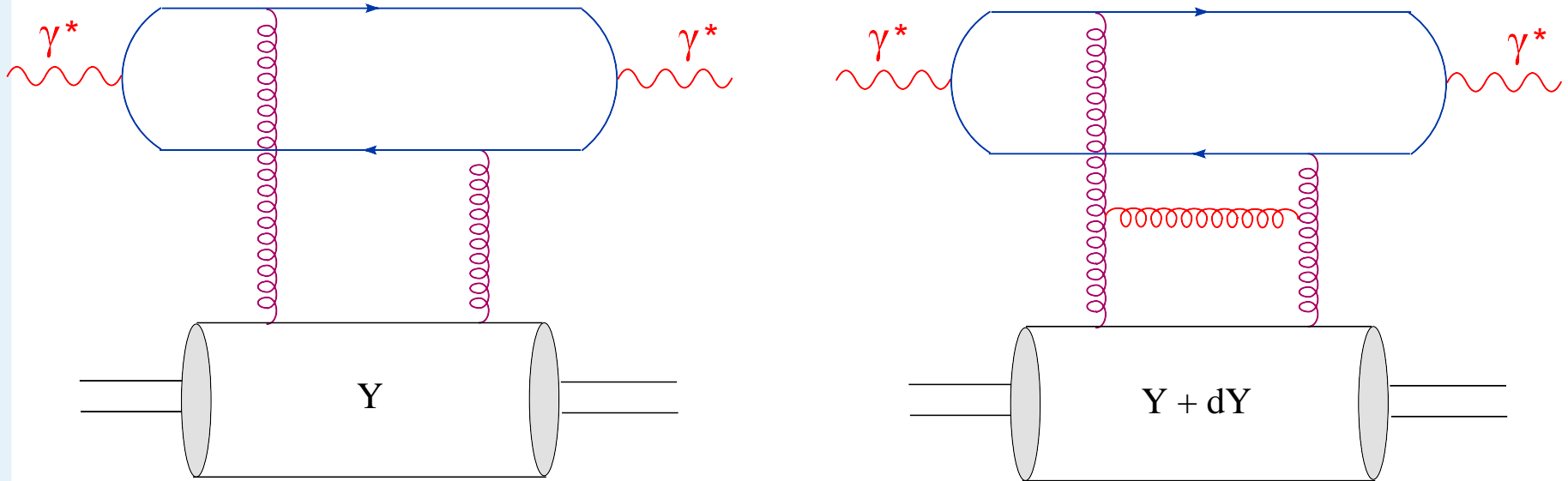
A brief reminder

Dipole picture for DIS

BK equation

- Target evolution
- Dipole evolution
- Balitsky equation
- Gluon evolution
- BK equation

Saturation line



- Increase the proton rapidity by dY
- A probability of $\mathcal{O}(\alpha_s dY)$ to emit an extra gluon, which is **softer** ($Y < y < Y + dY$)

One-step (BFKL) evolution in DIS

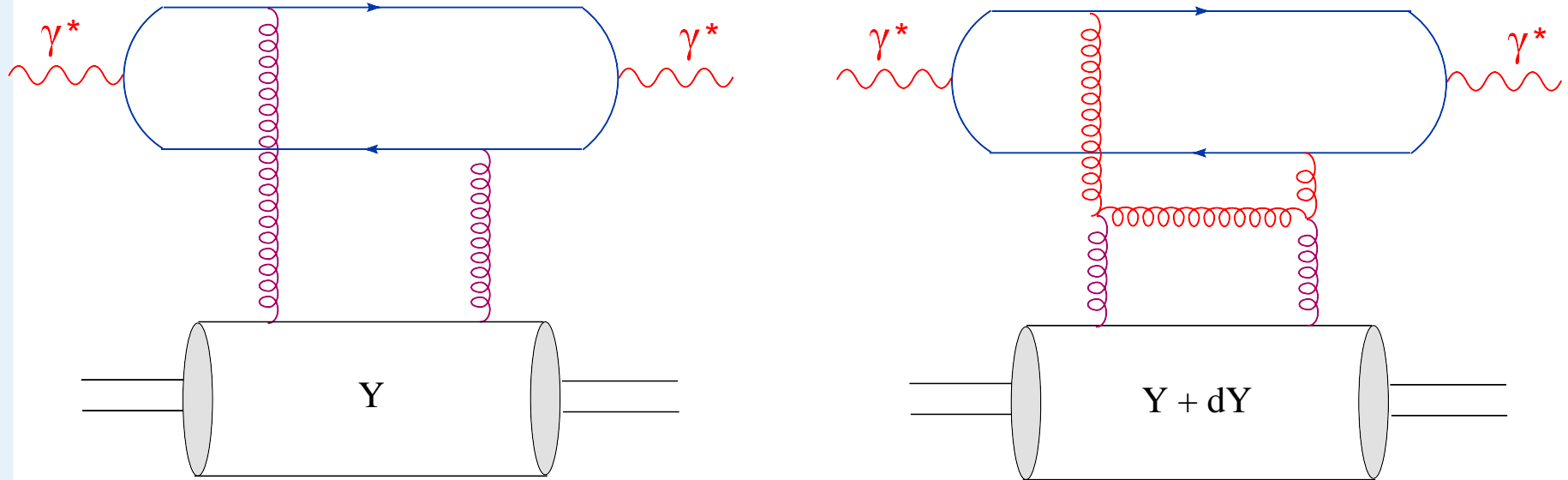
A brief reminder

Dipole picture for DIS

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Saturation line



- One can instead give the rapidity increment dY to the dipole (merely a change of frame)
- An extra gluon in the dipole wavefunction

One-step (BFKL) evolution in DIS

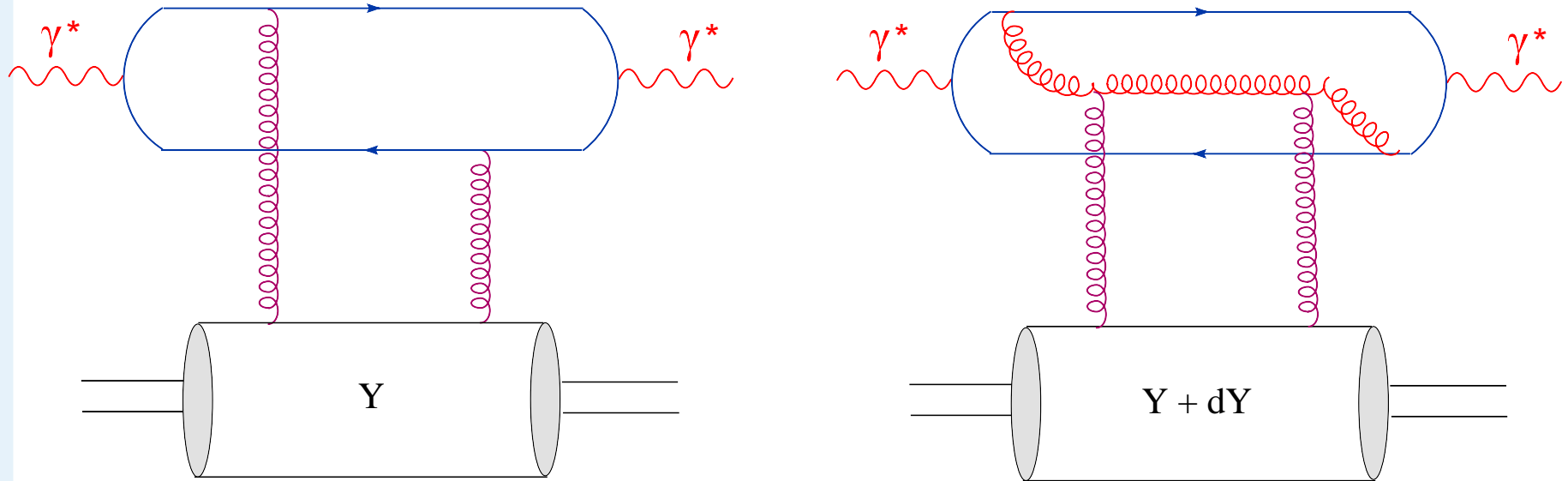
A brief reminder

Dipole picture for DIS

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- One can instead give the rapidity increment dY to the dipole (merely a change of frame)
- $Y + dY$: A quark-antiquark-gluon system which scatters off the target

One-step (BFKL) evolution in DIS

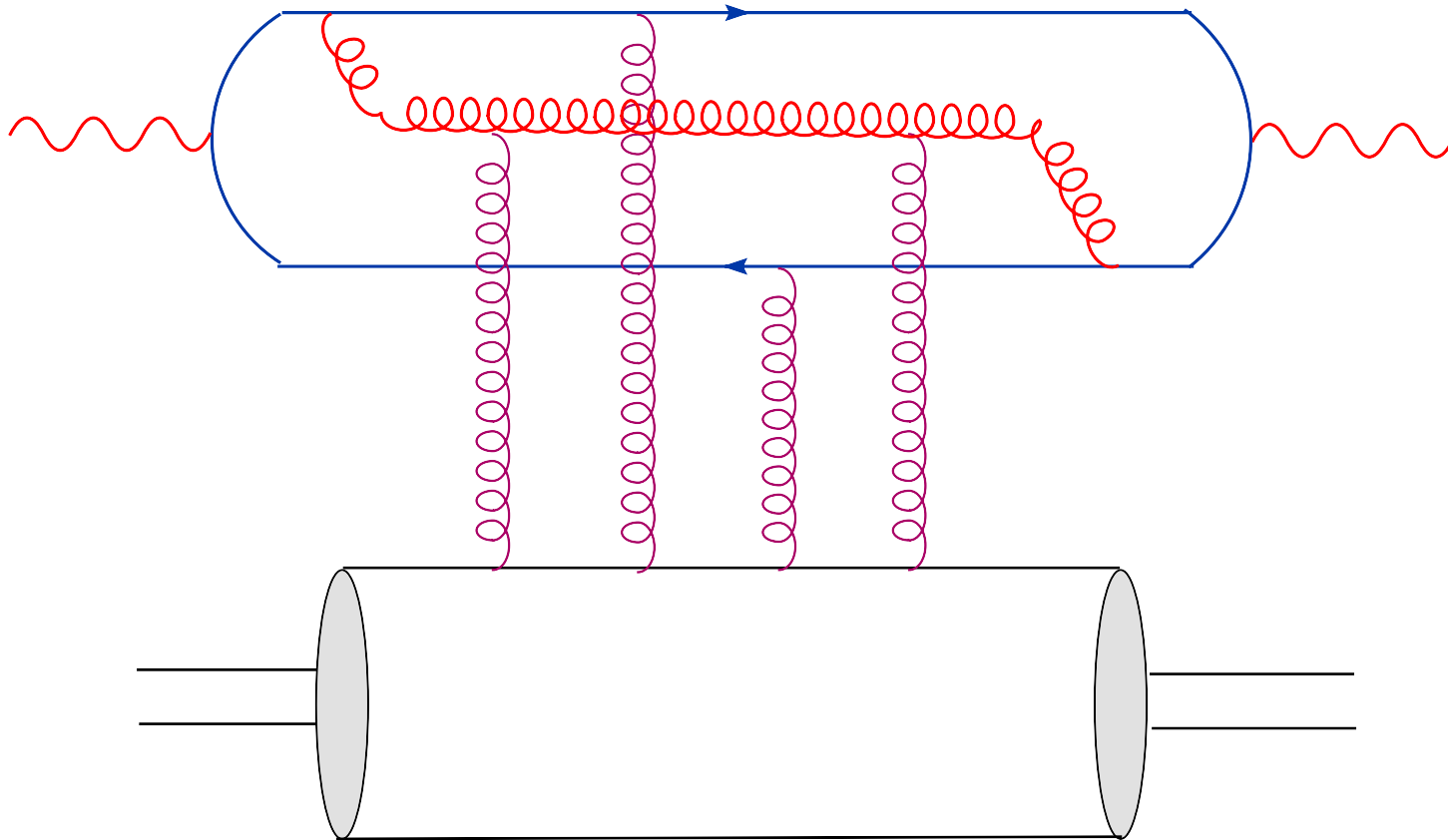
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Dipole picture for DIS

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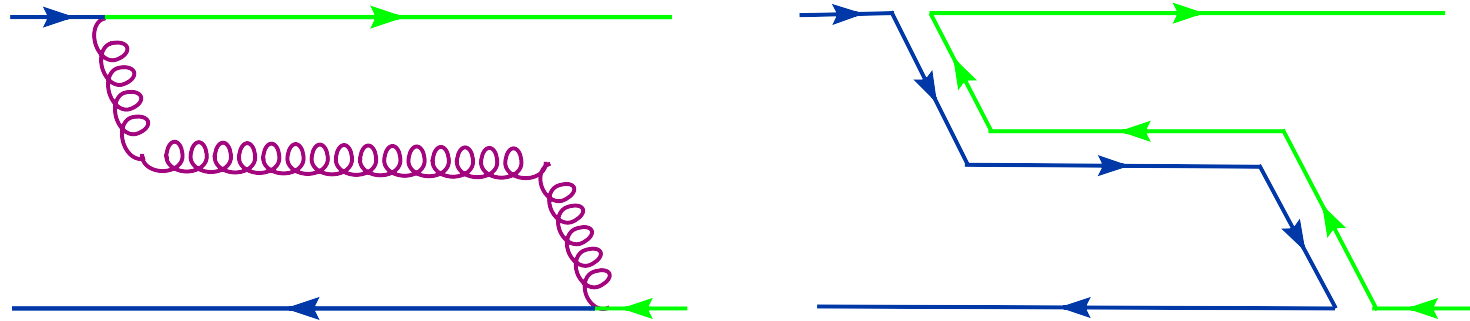
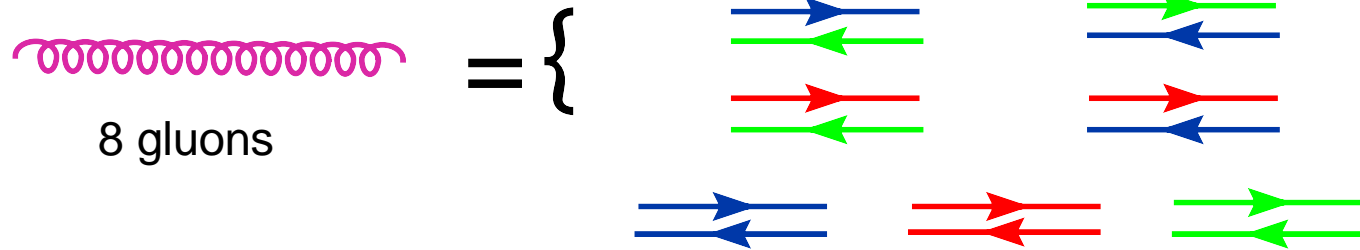
- Not restricted to single scattering !
- Evolution is simple, but scattering can be complicated.



Dipole splitting (large N_c)

- A quark–antiquark pair = a ‘gluon’ + a color singlet

$$3 \otimes \bar{3} = 8 \oplus 1, \quad N_c \otimes \bar{N}_c = (N_c^2 - 1) \oplus 1$$



A brief reminder

Dipole picture for DIS

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Dipole splitting (large N_c)

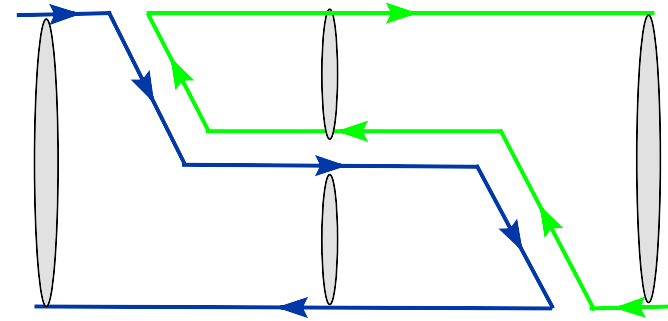
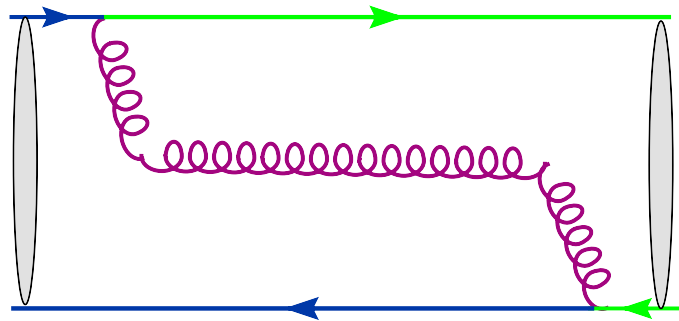
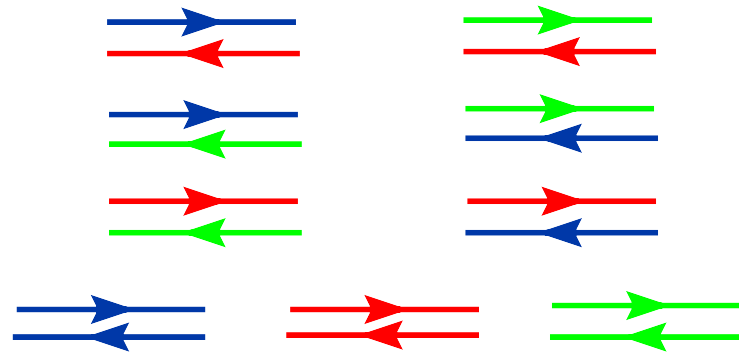
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8 gluons

= {



A brief reminder

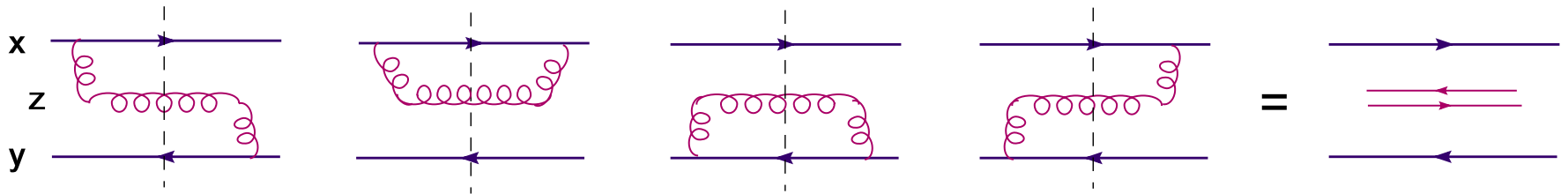
Dipole picture for DIS

BK equation

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Saturation line

- Dipole splitting at large N_c : four diagrams ...



... most conveniently evaluated in coordinate space (x_\perp).
 (Eikonal approx: soft gluons are emitted without recoil.)

- One dipole (\mathbf{x}, \mathbf{y}) splits into two dipoles (\mathbf{x}, \mathbf{z}) and (\mathbf{z}, \mathbf{y})
 (“dipole picture of BFKL evolution”, Al Mueller, 94)
- Differential probability (or ‘dipole kernel’)

$$dP_{\text{split}} = \frac{\bar{\alpha}_s}{2\pi} \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{y} - \mathbf{z})^2} d^2 z dY, \quad \text{where} \quad \bar{\alpha}_s \equiv \frac{\alpha_s N_c}{\pi}$$

Dipole evolution in DIS

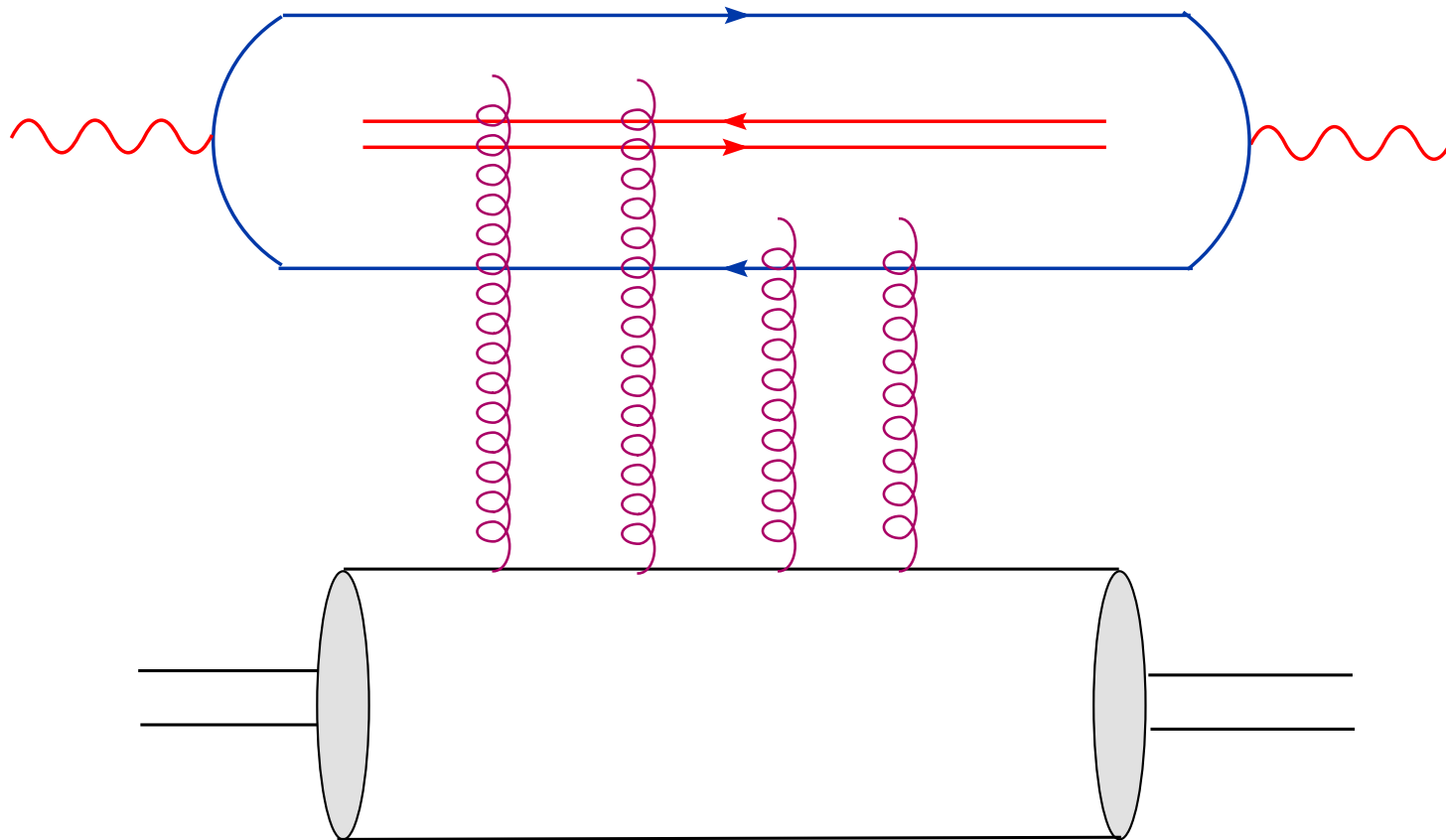
A brief reminder

Dipole picture for DIS

BK equation

- Target evolution
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Saturation line



▷ The original dipole splits into **two new dipoles** which then can scatter off the gluon fields in the target.



The first Balitsky equation

A brief reminder

Dipole picture for DIS

BK equation

● Target evolution

● Dipole evolution

● Balitsky equation

● Gluon evolution

● BK equation

Saturation line

- $\langle S(\mathbf{x}, \mathbf{y}) \rangle_Y$: S -matrix element for the scattering between the dipole (\mathbf{x}, \mathbf{y}) and the target for a rapidity separation Y

◆ $|\langle S(\mathbf{x}, \mathbf{y}) \rangle_Y|^2 =$ the dipole survival probability

- $\langle S(\mathbf{x}_1, \mathbf{y}_1) S(\mathbf{x}_2, \mathbf{y}_2) \rangle_Y$: the S -matrix for a projectile made with 2 dipoles: $(\mathbf{x}_1, \mathbf{y}_1)$ and $(\mathbf{x}_2, \mathbf{y}_2)$

- One evolution step : $Y \rightarrow Y + dY$ with $\alpha_s dY \ll 1$

$$\begin{aligned} \langle S(\mathbf{x}, \mathbf{y}) \rangle_{Y+dY} &= dY \int d^2z \frac{dP_{\text{split}}}{dY d^2z} \langle S(\mathbf{x}, \mathbf{z}) S(\mathbf{z}, \mathbf{y}) \rangle_Y \\ &+ \left(1 - dY \int d^2z \frac{dP_{\text{split}}}{dY d^2z} \right) \langle S(\mathbf{x}, \mathbf{y}) \rangle_Y \end{aligned}$$

The first Balitsky equation

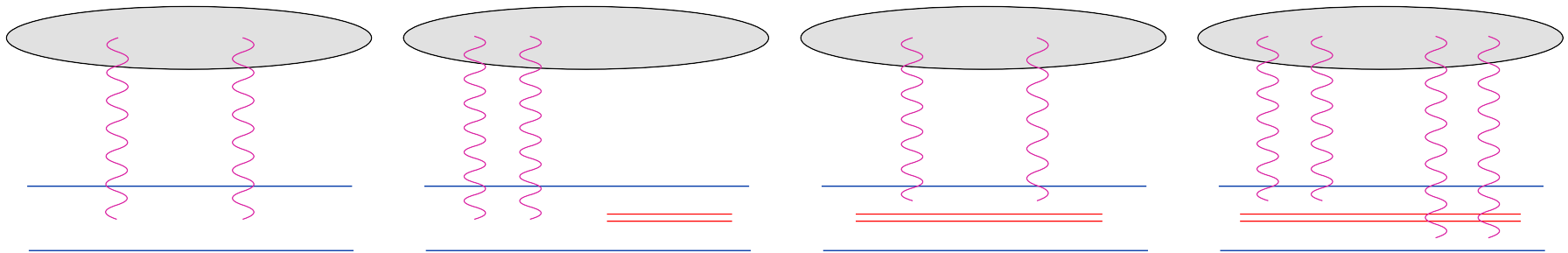
$$\frac{\partial}{\partial Y} \langle S(\mathbf{x}, \mathbf{y}) \rangle_Y = \frac{\bar{\alpha}_s}{2\pi} \int_z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{y} - \mathbf{z})^2} \left\{ -\langle S(\mathbf{x}, \mathbf{y}) \rangle_Y + \langle S(\mathbf{x}, \mathbf{z}) S(\mathbf{z}, \mathbf{y}) \rangle_Y \right\}$$

- Not a closed equation ! (one dipole \rightarrow two dipoles)

First equation from the infinite Balitsky hierarchy

- Rewritten for the dipole scattering amplitude $T \equiv 1 - S$:

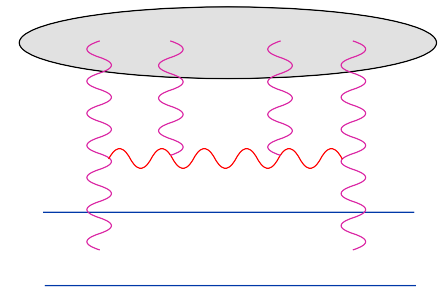
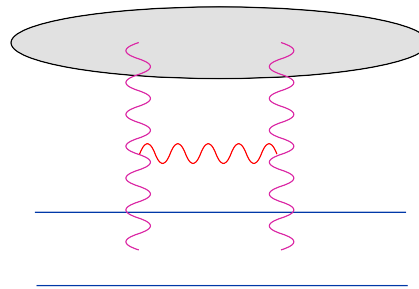
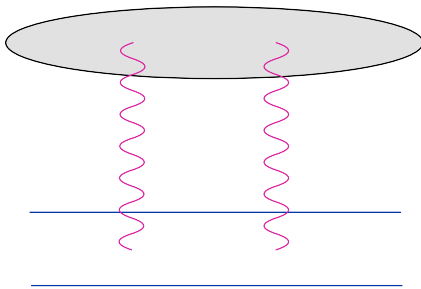
$$\frac{\partial}{\partial Y} \langle T(\mathbf{x}, \mathbf{y}) \rangle_Y = \frac{\bar{\alpha}_s}{2\pi} \int_z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{y} - \mathbf{z})^2} \left\langle \underbrace{-T(\mathbf{x}, \mathbf{y}) + T(\mathbf{x}, \mathbf{z}) + T(\mathbf{z}, \mathbf{y})}_{\text{BFKL (linear)}} - \underbrace{T(\mathbf{x}, \mathbf{z}) T(\mathbf{z}, \mathbf{y})}_{\text{non-linear}} \right\rangle_Y$$



Non-linear gluon evolution

- **Alternatively:** Use the rapidity increment dY to accelerate the **target hadron** \implies **the same equation ...**

$$\frac{\partial}{\partial Y} \langle T(\mathbf{x}, \mathbf{y}) \rangle_Y = \frac{\bar{\alpha}_s}{2\pi} \int_z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{y} - \mathbf{z})^2} \left\langle \underbrace{-T(\mathbf{x}, \mathbf{y}) + T(\mathbf{x}, \mathbf{z}) + T(\mathbf{z}, \mathbf{y})}_{\text{BFKL (linear)}} - \underbrace{T(\mathbf{x}, \mathbf{z})T(\mathbf{z}, \mathbf{y})}_{\text{non-linear}} \right\rangle_Y$$

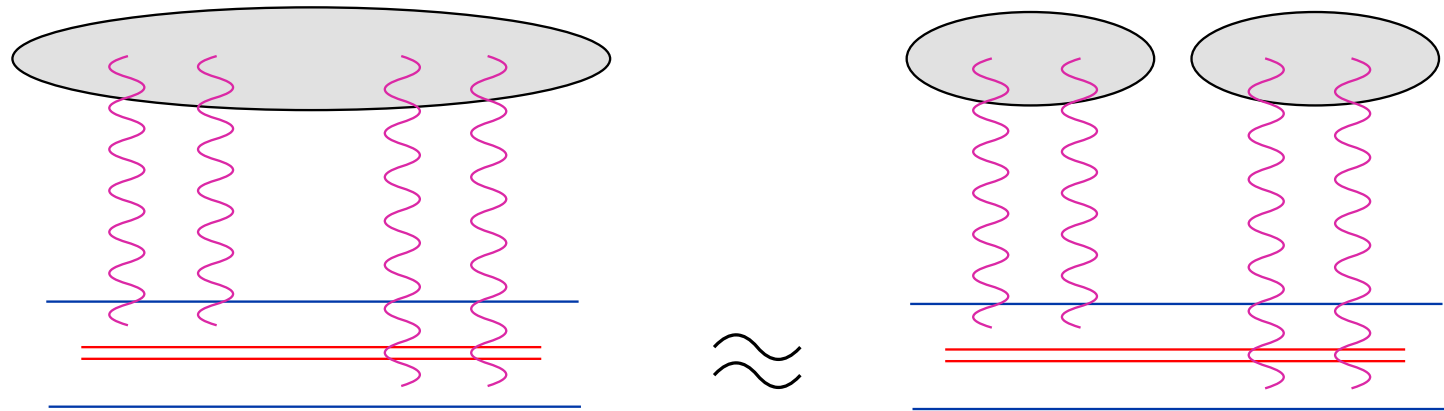


- ... but with a different **physical interpretation !**
Saturation effects in the target wavefunction

The Balitsky–Kovchegov equation

- Mean field approximation assuming factorization:

$$\langle T(\mathbf{x}, \mathbf{z})T(\mathbf{z}, \mathbf{y}) \rangle_Y \approx \langle T(\mathbf{x}, \mathbf{z}) \rangle_Y \langle T(\mathbf{z}, \mathbf{y}) \rangle_Y$$



- Neglects correlations in the target wavefunction.

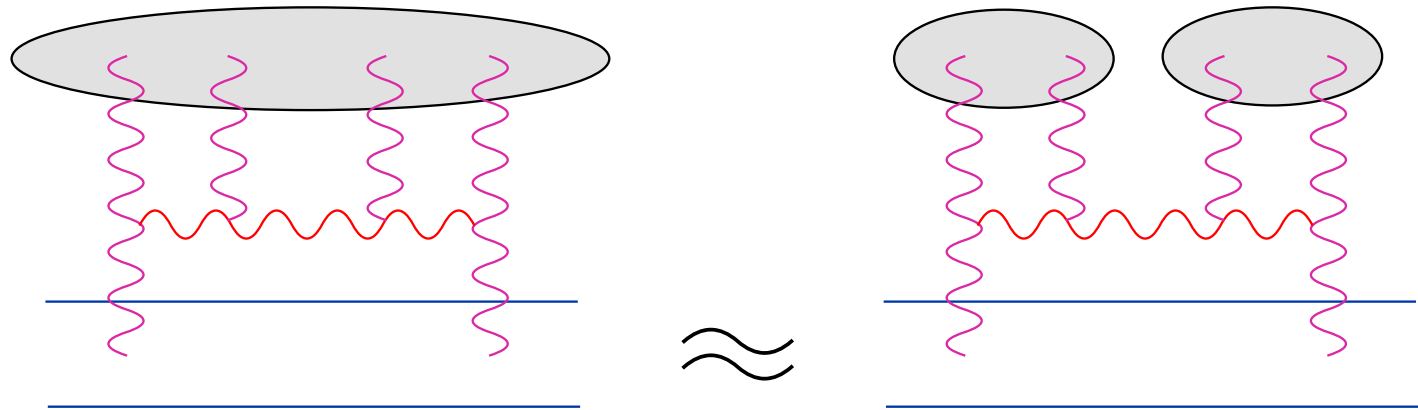
- Some usual justifications in the literature:

- ◆ large nucleus $A \gg 1$
- ◆ large N_c
- ◆ leads to a relatively simple (closed) equation ✓

The Balitsky–Kovchegov equation

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- ◆ large N_c
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The Balitsky–Kovchegov equation

$$\frac{\partial}{\partial Y} T(\mathbf{x}, \mathbf{y}) = \frac{\bar{\alpha}_s}{2\pi} \int_z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{y} - \mathbf{z})^2} \left\{ \underbrace{-T(\mathbf{x}, \mathbf{y}) + T(\mathbf{x}, \mathbf{z}) + T(\mathbf{z}, \mathbf{y})}_{\text{BFKL (linear)}} - \underbrace{T(\mathbf{x}, \mathbf{z})T(\mathbf{z}, \mathbf{y})}_{\text{non-linear}} \right\}$$

- **Notations:** $\bar{\alpha}_s \equiv \alpha_s N_c / \pi$, $T(\mathbf{x}, \mathbf{y}) \equiv \langle T(\mathbf{x}, \mathbf{y}) \rangle_Y$
- **Weak scattering** $T \ll 1$ (low energy/small dipole) \implies **BFKL**
 - ◆ $T = 0$: unstable fixed point of BK equation
 - ◆ unitarity violations, infrared diffusion
- **Strong scattering** $T \sim 1 \implies$ **Non-linear effects**
 - ◆ $T = 1$: stable fixed point of BK equation
- **BK equation:** a simple framework to study **unitarization**

A brief reminder

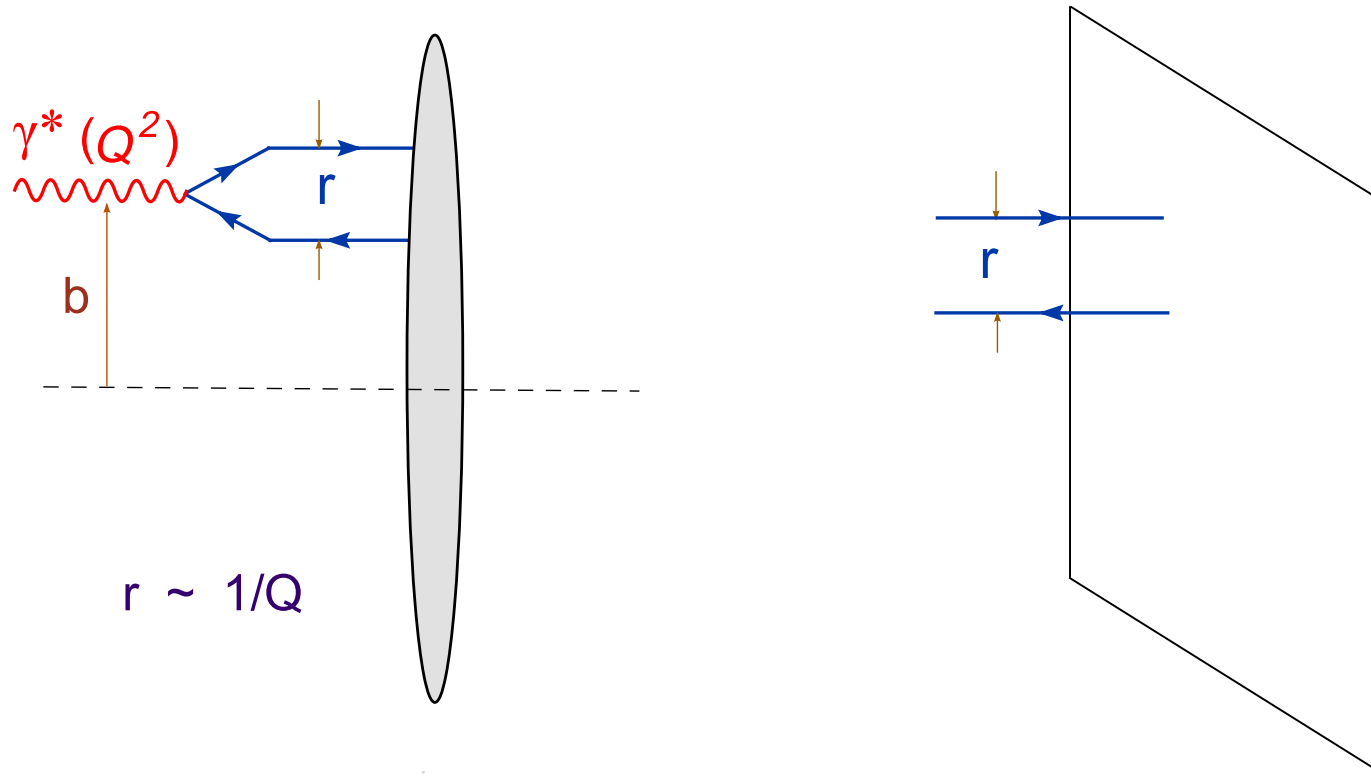
Dipole picture for DIS

BK equation

- Target evolution
- Dipole evolution
- Balitsky equation
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Saturation line

BK solution : target = 'large nucleus'



- $T(x, y|Y) = T(r, Y)$ with $r = x - y$ (dipole size)

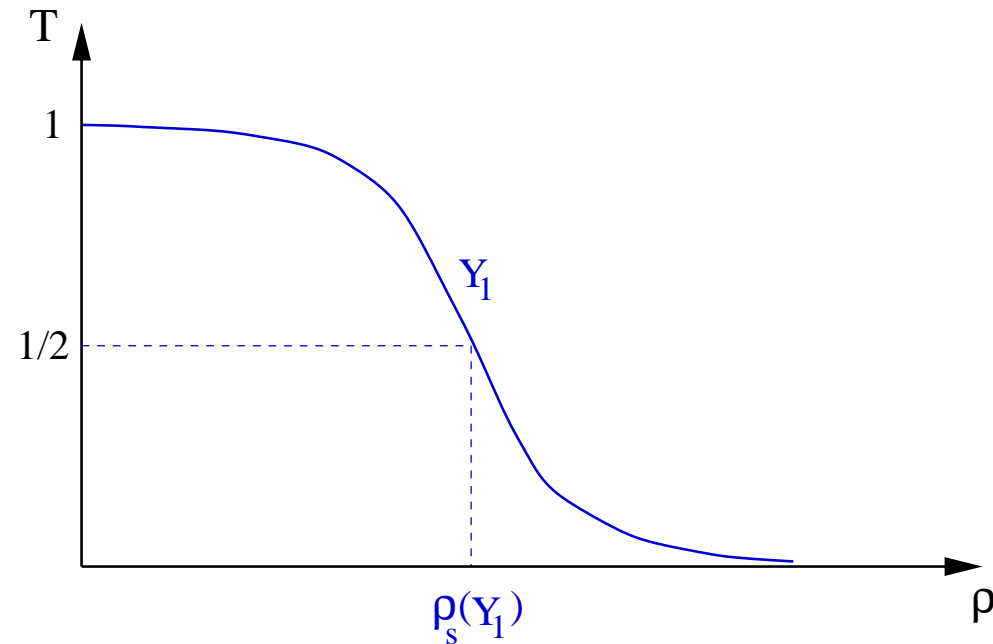
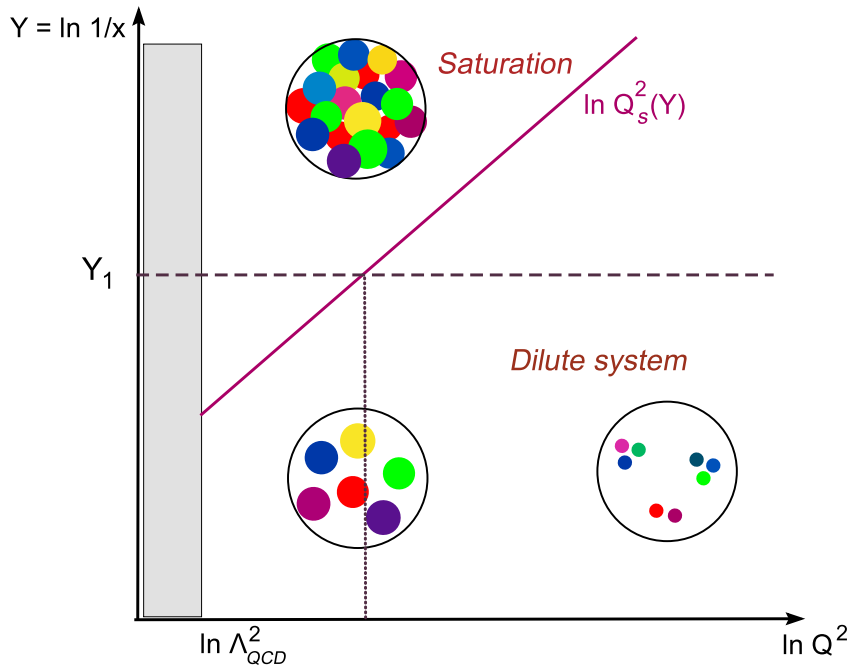
$$T(r, Y = 0) \approx \begin{cases} r^2 Q_0^2 & \text{for } r \ll 1/Q_0 \\ 1 & \text{for } r \gtrsim 1/Q_0 \end{cases}$$

- Q_0 : saturation momentum in the initial conditions

- Saturation front
- Pulled front
- FKPP
- Geometric scaling
- Traveling wave
- Geometric scaling at HERA

BK solution : the saturation front

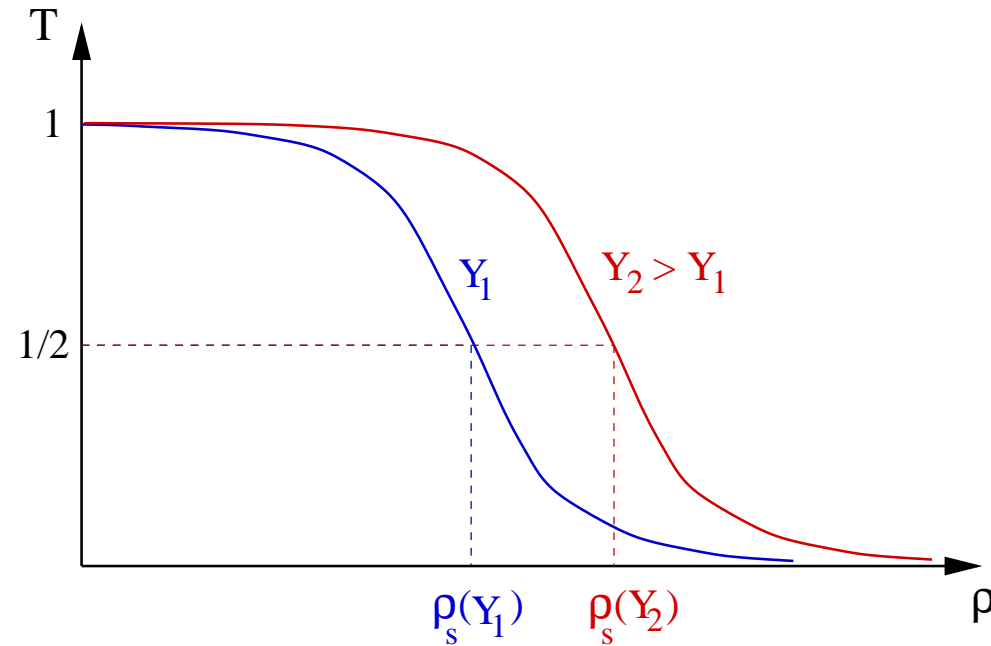
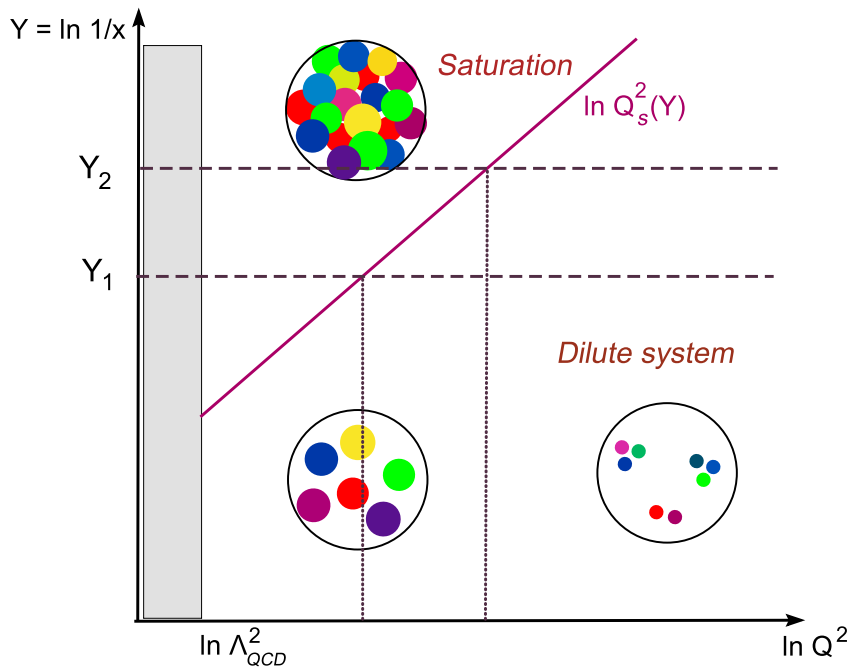
- $T(r, Y) \equiv T(\rho, Y)$ with $\rho \equiv \ln(1/Q_0^2 r^2) \equiv \ln(Q^2/Q_0^2)$
a **front** interpolating between $T = 0$ and $T = 1$



- $T = 1$ for $\rho \lesssim \rho_s(Y)$ and $T \propto e^{-\rho} = r^2$ for $\rho \gg \rho_s(Y)$
- The front position: the saturation scale $\rho_s \equiv \ln(Q_s^2/Q_0^2)$

BK solution : the saturation front

- Increase Y : the front propagates towards larger values of ρ



- Main questions :

What are the front **position** (ρ_s) and its **shape** around ρ_s ?

- A priori, a very complicated problem: **non-linear equation**

BK solution : pulled front

A brief reminder

Dipole picture for DIS

BK equation

Saturation line

● Saturation front

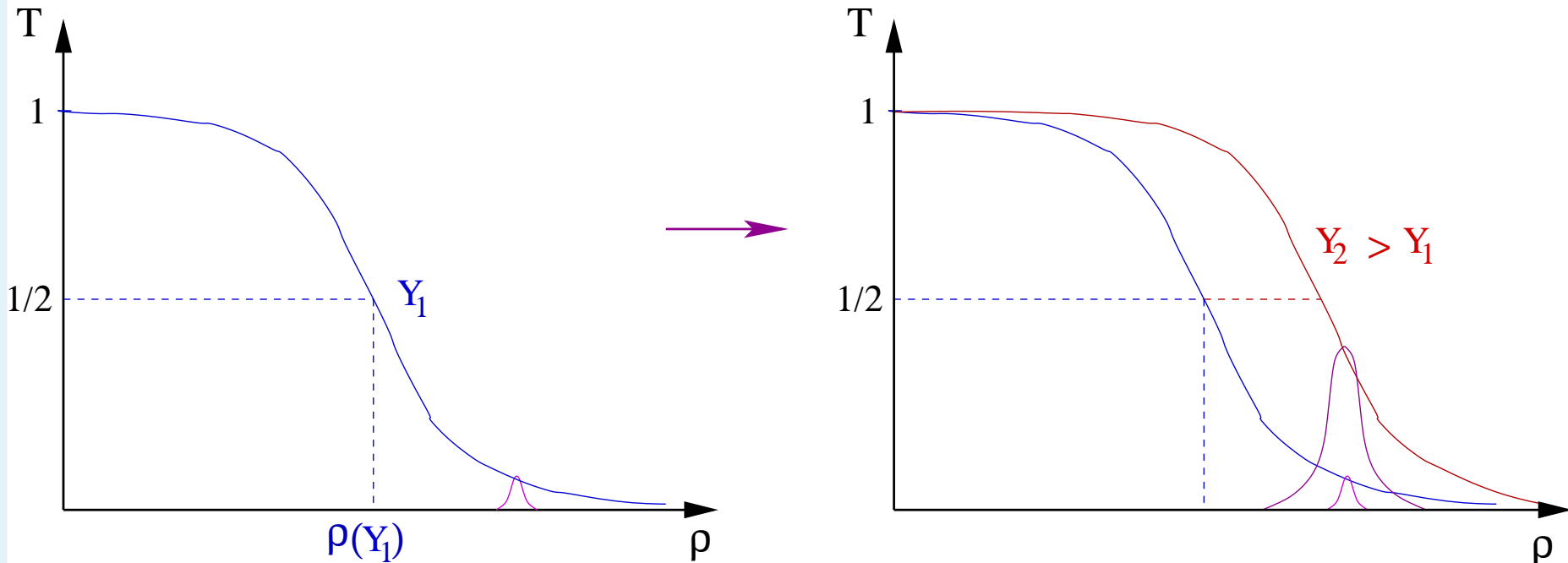
● Pulled front

● FKPP

● Geometric scaling

● Traveling wave

● Geometric scaling at HERA



- The front is **pulled** by the **rapid growth** in the tail of the amplitude at large $\rho \gg \rho_s(Y)$, where $T \ll 1$
- The evolution is controlled by the **linear (BFKL) equation** with **saturation boundary condition**: $T(\rho_s(Y), Y) \sim 1$

E.I., Itakura, McLerran (02); Mueller, Triantafyllopoulos (02);
Munier, Peschanski (03) \longrightarrow **Fischer–Kolmogorov equation**



BK solution : FKPP equation

- Gradient expansion of the non-locality \implies FKPP equation:

$$\partial_Y T(\rho, Y) = \underbrace{\partial_\rho^2 T(\rho, Y)}_{\text{diffusion}} + \underbrace{T(\rho, Y)}_{\text{growth}} \underbrace{- T^2(\rho, Y)}_{\text{saturation}}$$

- Linearized equation ($T \ll 1$) : diffusion + exponential growth

- Boundary condition : $T(\rho, Y) \sim 1$ when $\rho \sim \rho_s(Y)$

$$T(\rho, Y) \simeq e^{-\gamma_s(\rho - \rho_s)} \exp\left\{-\frac{(\rho - \rho_s)^2}{2D\bar{\alpha}_s Y}\right\}$$

with the anomalous dimension $\gamma_s = 0.63\dots$

and the saturation scale $\rho_s(Y) = \lambda_s \bar{\alpha}_s Y$ with $\lambda_s = 4.88\dots$

- **NB:** $\lambda_s \bar{\alpha}_s = \mathcal{O}(1)$, whereas HERA data require $\lambda \sim 0.2 \div 0.3$
- NLO BFKL + saturation $\implies \lambda \approx 0.3$ (*Triantafyllopoulos, 02*)

A brief reminder

Dipole picture for DIS

BK equation

Saturation line

● Saturation front

● Pulled front

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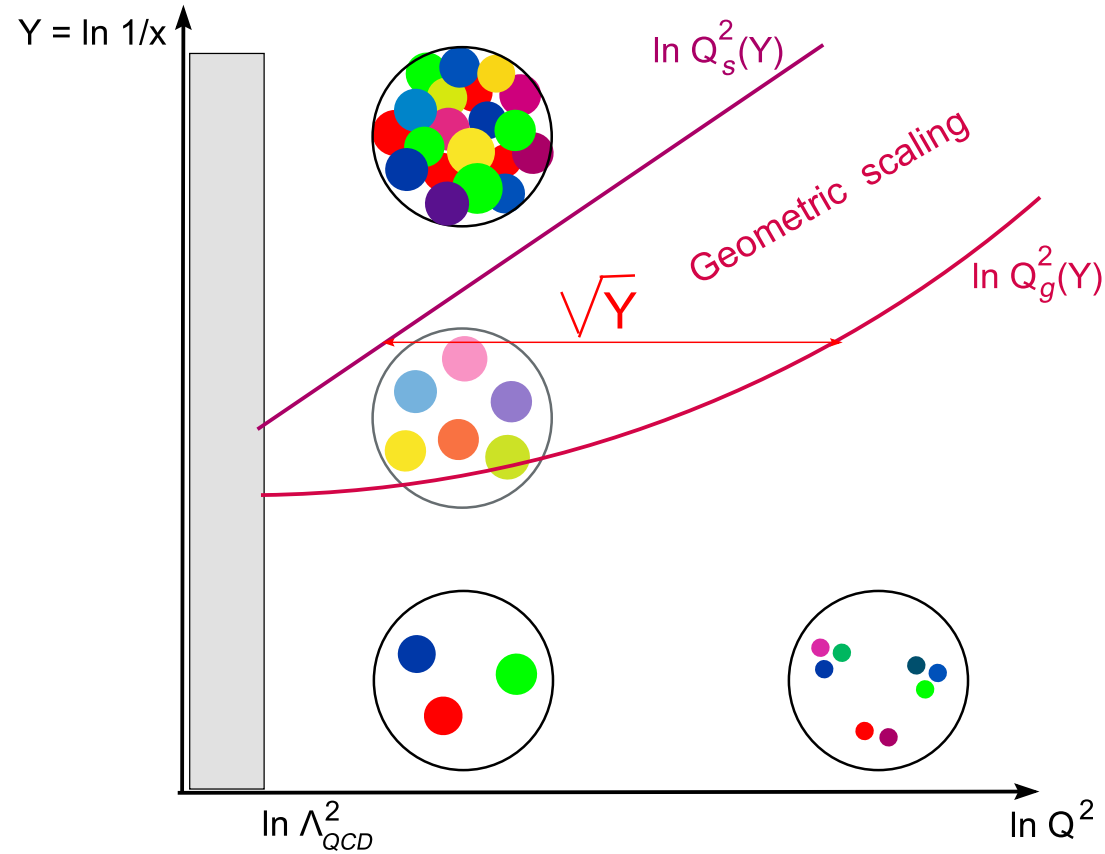
● Geometric scaling

● Traveling wave

● Geometric scaling at HERA

BK solution : Geometric scaling

- Diffusion is negligible for $\rho - \rho_s \ll \sqrt{2D\bar{\alpha}_s Y}$



$$T(\rho, Y) \simeq e^{-\gamma_s(\rho - \rho_s)} \iff T(r, Y) \simeq (r^2 Q_s^2)^{\gamma_s}$$

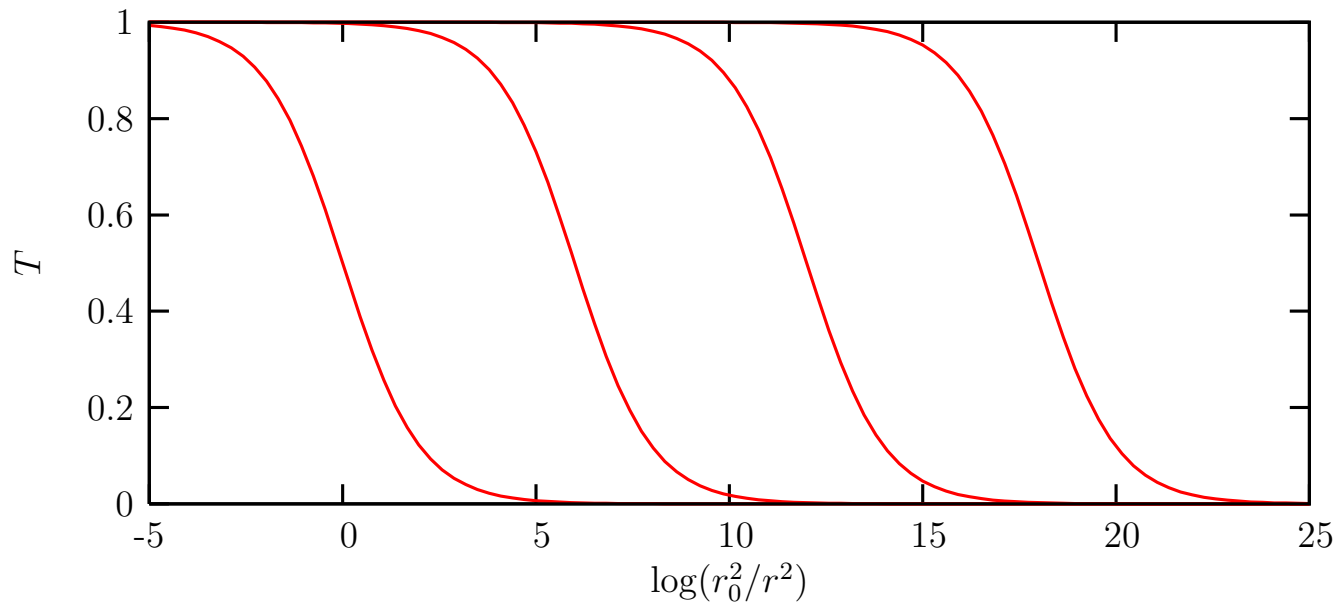
- Saturation makes itself felt in the dilute regime ($Q^2 > Q_s^2$)

- Saturation front
- Pulled front
- FKPP
- Geometric scaling
- Traveling wave
- Geometric scaling at HERA

The traveling wave

- The amplitude ‘scales’ as a function of the variable $r^2 Q_s^2(Y)$

$$T(\rho, Y) \simeq T(\rho - \rho_s(Y)) = T(r^2 Q_s^2(Y))$$



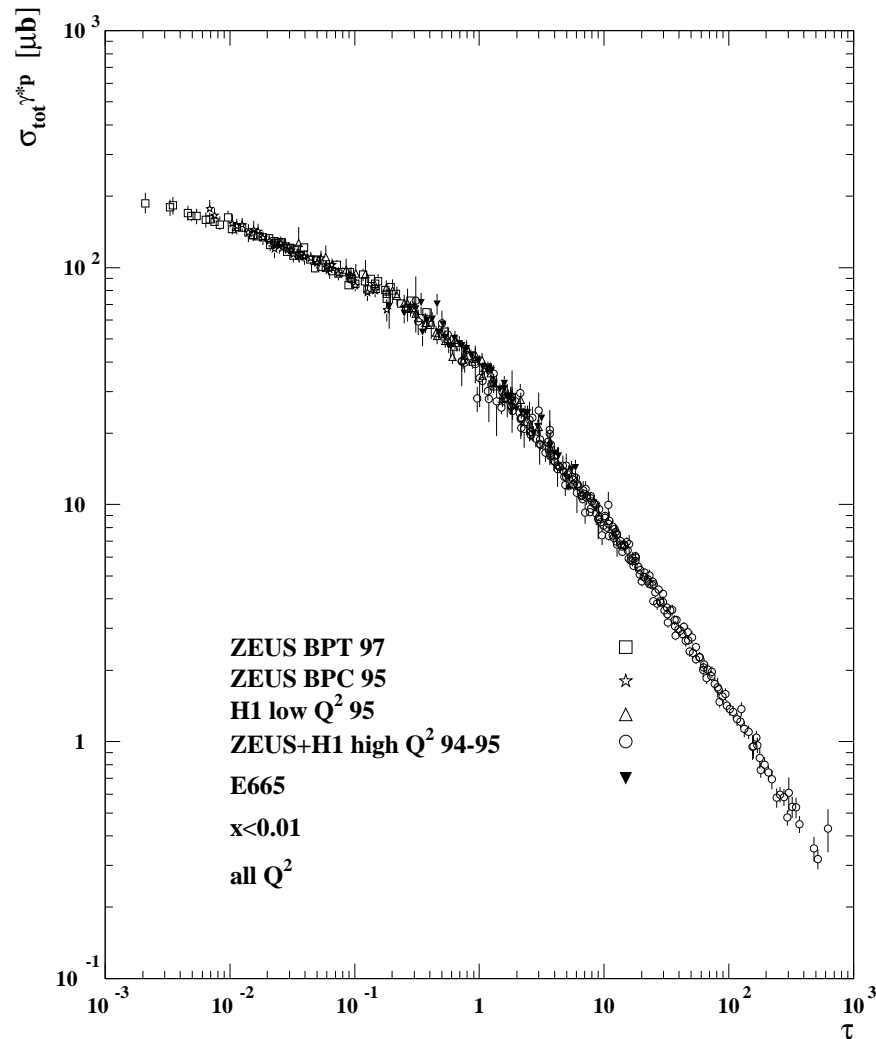
- The **shape** of the front is not altered by the evolution
- The front propagates like a ‘traveling wave’
(Munier, Peschanski,03)



Geometric Scaling at HERA

(*Staśto, Golec-Biernat and Kwieciński, 2000*)

$$\sigma(x, Q^2) \approx \sigma(\tau) \quad \text{with} \quad \tau \equiv Q^2/Q_s^2(x), \quad Q_s^2(x) = (x_0/x)^\lambda \text{ GeV}^2, \quad \lambda \simeq 0.3$$



$$x \leq 0.01$$

$$Q^2 \leq 450 \text{ GeV}^2$$

$$Q_s^2 \sim 1 \text{ GeV}^2$$

for $x \sim 10^{-4}$

A brief reminder

Dipole picture for DIS

BK equation

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Geometric Scaling at HERA (2)

(Marquet and Schoeffel 2006)

A brief reminder

Dipole picture for DIS

BK equation

Saturation line

● Saturation front

● Pulled front

● FKPP

● Geometric scaling

● Traveling wave

● Geometric scaling at HERA

