Introduction to the theory of the QGP and the CGC

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Outline



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Quark Gluon Plasma (QGP)

- Basic features of QCD
- Deconfinement phase transition
- Physics of the quark gluon plasma
- Signatures of the QGP

- Color Glass Condensate (CGC)
 - Parton model
 - Saturation
 - Color Glass Condensate
 - Signatures of the CGC



QGP

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Quarks and gluons

- Electromagnetic interaction : Quantum electrodynamics
 - Matter : electron , interaction carrier : photon
 - Interaction :



- Strong interaction : Quantum chromodynamics
 - Matter : quarks , interaction carriers : gluons
 - Interactions :





- i, j : colors of the quarks (3 possible values)
- ◆ *a*, *b*, *c* : colors of the gluons (8 possible values)
- $(t^a)_{ij}$: 3 × 3 matrix , $(T^a)_{bc}$: 8 × 8 matrix

QGP

- Basic features of QCD
- Quarks and gluons
- Confinement
- Asymptotic freedom

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Deconfinement transition
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Physics of the QGP
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Quark confinement



The quark potential increases linearly with distance Quarks are confined into color singlet hadrons

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1.5



Asymptotic freedom

Running coupling : $\alpha_s = g^2/4\pi$

 $g: \alpha_g - g / m$

• Quarks and gluons

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The effective charge seen at large distance is screened by fermionic fluctuations (as in QED)



Asymptotic freedom

Running coupling : $\alpha_s = g^2/4\pi$

$$\alpha_s(r) = \frac{2\pi N_c}{(11N_c - 2N_f)\log(1/r\Lambda_{QCD})}$$



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- The effective charge seen at large distance is screened by fermionic fluctuations (as in QED)
- But gluonic vacuum fluctuations produce an anti-screening (because of the non-abelian nature of their interactions)
- As long as $N_f < 11N_c/2 = 16.5$, the gluons win...

Asymptotic freedom



 $C \in \mathcal{D}$



- The coupling constant is small at short distances
- At high density, a hadron gas may undergo deconfinement
 puark gluon plasma

Deconfinement



 $C \in \mathcal{D}$

CGC signatures



Fast increase of the pressure :

- at $T \sim 270 \text{ MeV}$, if there are only gluons
- at $T \sim 150-170$ MeV, depending on the number of light quarks



Deconfinement

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QCD phase diagram

• Early universe

Heavy ion collisions

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- When the nucleon density increases, they merge, enabling quarks and gluons to hop freely from a nucleon to its neighbors
- This phenomenon extends to the whole volume when the phase transition ends
- Note: if the transition is first order, it goes through a mixed phase containing a mixture of nucleons and plasma

Deconfinement

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Deconfinement

QCD phase diagram

Early universe

• Heavy ion collisions

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3-flavour phase diagram





QCD phase diagram





The QGP in the early universe

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Early universe

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The QGP in the early universe





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Chemical potential

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 $\tau\sim 0~{\rm fm/c}$

Production of hard particles :

- jets
- heavy quarks
- direct photons
- calculable with the tools of perturbative QCD

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Produ
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 $C \in \mathcal{D}$

0.2 fm/c

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- uction of semi-hard particles :
 - ions, light quarks
- relatively small momentum : $p_{\perp} \lesssim 1-2$ GeV
- make up for most of the multiplicity
- sensitive to the physics of saturation (CGC)

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- $\tau \sim 1 2 \text{ fm/c}$
- Thermalization
 - experiments suggest a fast thermalization
 - but this is still not understood from QCD



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■ $2 \le \tau \lesssim 10$ fm/c ■ Quark gluon plasma



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 $10 \lesssim \tau \lesssim 20$ fm/c
Hot hadron gas



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 $\bullet \ \tau \to +\infty$

 Chemical freeze-out : density too small to have inelastic interactions

- Kinetic freeze-out :
 - no more elastic interactions



Degrees of freedom

QGP

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Deconfinement transition

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Physics of the QGP

Degrees of freedom

- Collective phenomena
- Quasi-particles
- Debye screening
- Landau damping
- Collisional width
- Length scales
- Hydrodynamical regime
- Strongly coupled plasma

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uarks :
$$2 \text{ (spin)} \times 3 \text{ (color)} = 6 \text{ (per flavor)}$$

$$\frac{dN_q}{d^3 \vec{x} d^3 \vec{k}} = \frac{1}{e^{\omega/T} + 1} \text{ (Fermi-Dirac)}$$

• Gluons : 3 (spin) × 8 (color) = 24
$$\frac{dN_g}{d^3\vec{x}d^3\vec{k}} = \frac{1}{e^{\omega/T} - 1}$$
 (Bose-Einstein)

Average energy per particle : $\langle \omega
angle \sim T$

Particle density : $ho \sim T^3$

Average distance between particles : $\ell \sim 1/T$

Collective phenomena

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- Phenomena involving many elementary constituents
- Large wavelength compared to the typical distance between constituents
- Small frequency or energy
- The quantum numbers of collective excitations may not be related to those of the elementary constituents
- Major collective phenomena :
 - Quasi-particles
 - Debye screening
 - Landau damping
 - Collisional width

Quasi-particles

Dispersion curves of particles in the plasma :

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 $C \in \mathcal{T}$

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Thermal masses due to interactions with the other particles in the plasma :

 $m_{\rm q} \sim m_{\rm g} \sim gT$

One needs a non-zero energy to make a particle of the plasma move

Debye screening

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A test charge polarizes the particles of the plasma in its vicinity, in order to screen its charge :



The Coulomb potential of the test charge decreases exponentially at large distance. The effective interaction range is :

 $\ell \sim 1/m_{
m debye} \sim 1/gT$

Note : static magnetic fields are not screened by this mechanism (they are screened over length-scales $\ell_{
m mag} \sim 1/g^2 T$)



Landau damping

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A wave propagating through the plasma is damped because its quanta may be absorbed by particles of the plasma :



The characteristic frequency of this damping is :

 $\omega_c \sim gT$



Collisional width

Decay width :



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Collisional width :

$$\Gamma_{\rm coll} = \left| egin{array}{c} {}^{\rm coll} {}^{\rm co$$

- $\lambda \equiv 1/\Gamma_{coll}$ is the mean free path between two small angle scatterings ($\theta \sim g$)
- Note : the mean free path between two large angle scatterings (\$\theta\$ ~ 1\$) is $\sim 1/g^4T$

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Length scales

- 1/T : wavelength of particles in the plasma
- 1/gT: typical distance for collective phenomena
 - Thermal masses of quasi-particles
 - Screening phenomena
 - Damping of waves
- $1/g^2T$: distance between two small angle scatterings
 - Color transport
 - Photon emission
- $1/g^4T$: distance between two large angle scatterings
 - Momentum, electric charge transport
 characteristic scale of hydrodynamic modes
- In the weak coupling limit ($g \ll 1$), there is a clear hierarchy between these scales
- Distinct effective theories according to the characteristic scale of the problem under study

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ceo Length scales



Hydrodynamical regime

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Hydrodynamical regime

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- The hydrodynamical regime is reached when one considers length scales that are much larger than the mean free path of the plasma constituents : $\lambda \ll R$
- In order to describe the system at such scales, one needs :
 - Hydrodynamical equations (Euler, Navier-Stokes)
 - Conservation equations for the various currents
 - Equation of state, viscosity

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Strongly coupled plasma

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In the real world, $\alpha_s \sim 0.2$ –0.3 (i.e. $g \sim 2$). No clear hierarchy between the various length scales...

Lattice QCD :

very difficult to extract transport coefficients

- Alternate approach : AdS/CFT correspondence
 - Maldacena conjecture :

The strong coupling regime of a super-symmetric Yang-Mills theory (very complicated...) is equivalent to the weak coupling regime of a theory of super-gravity (calculable)

• Viscosity of a plasma in the super-YM theory :

$$\frac{\eta}{s} = \frac{1}{4\pi}$$

• Major problem : Super-symmetric QCD \neq QCD...

Collective flow



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Basic features of QCD
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Deconfinement transition

 $C \in \mathcal{D}$

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- Strangeness enhancement
- Statistical models
- J/Psi suppression
- Coalescence models
- Thermal photons
- Jet quenching

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- In non-central collisions, pressure turns a spatial anisotropy into an anisotropy of the momenta
- Observable: 2nd harmonic of the azimuthal distribution

 $dN/d\varphi \sim 1 + 2v_1 \cos(\varphi) + 2v_2 \cos(2\varphi) + \cdots$

Note: a large v₂ implies a strong transverse pressure, but says very little on the longitudinal degrees of freedom
 b does not imply a tri-dimensional thermalization...



Strangeness enhancement

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In a nucleon, the distribution of strange quarks is smaller than that of u, d quarks (valence) by a factor of the order of $\alpha_s \sim 0.2$ –0.3

 \triangleright In *pp* collisions, less strange particles are produced than non-strange particles

In the QGP, the average energy of u, d quarks and of the gluons is of the order of the temperature
 ▷ if T is large enough (compared to the mass of the strange quark), then the processes uu → ss, dd → ss, gg → ss are not inhibited by the kinematical threshold due to the mass of the s quark

In this case, the population of strange quarks will become identical to that of light quarks

b the production of strange hadrons will be enhanced compared to proton-proton collisions

The interpretation of data based on statistical models works also for strange particles at RHIC

Statistical models

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• One assumes that particles are produced by a thermalized system with temperature T and baryon chemical potential μ_B

The number of particles of mass *m* per unit volume is :

$$\frac{dN}{d^3\vec{x}} = \int \frac{d^3\vec{p}}{(2\pi)^3} \, \frac{1}{e^{(\sqrt{p^2 + m^2} - \mu_B Q)/T} \pm 1}$$

- These models reproduce the ratios of particle yields with only two parameters
- The same models also work for e^+e^- collisions
 - Standard explanation: randomly filling a phase space leads to exponential distributions
 - However, this argument alone does not explain why the value of T that comes out is the same as in nucleus-nucleus collisions
 dynamical arguments (about the properties of the vacuum?) certainly play a role here...

Freeze-out parameters



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J/Psi suppression

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- Debye screening prevents the $Q\overline{Q}$ pair from forming a bound state Matsui, Satz (1986)
 - each heavy quark pairs with a light quark in order to form a D meson
- The inter-quark potential can be calculated using lattice QCD
- Possible observable : [J/ψ] / [Open charm]
 ▷ complication : there is also a suppression in proton-nucleus collisions, due to multiple scattering
J/Psi suppression

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The free energy of a QQ pair can be calculated on the lattice, and then converted into a potential by taking into account the entropy :

$$F = U - TS$$
 , $S = -\frac{\partial F}{\partial T}$

• Result for $T/T_c = 1.5$:





J/Psi suppression



T dependence of the potential :



J/Psi suppression

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What do we do with this potential?

• Shröedinger equation for a $Q\overline{Q}$ bound state :

$$\left[2m_Q+rac{1}{m_Q}ec{oldsymbol{
abla}}^2+U_1(r,T)
ight]\Psi=M(T)\Psi$$

- Non-relativistic
- Assumes that there are only two-body interactions
- Dissociation temperatures :

state	J/ψ	χ_c	ψ'	Υ	χ_b	Υ'
T_d/T_c	2.0	1.1	1.1	4.5	2.0	2.0

 \triangleright the $Q\overline{Q}$ states are not dissolved immediately above the critical temperature



... or enhancement ?

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Many $Q\overline{Q}$ pairs may be produced in each AA collision Braun-Munzinger, Stachel (2000) Thews, Schroedter, Rafelski (2001)

• A Q from one pair may recombine with a \overline{Q} from another pair

- Avoids the conclusion of Matsui and Satz's scenario, provided that the average distance between heavy quarks is smaller than the Debye screening length
- May lead to an enhancement of J/ψ production



Coalescence models

In proton-proton collisions, hadronization is described via fragmentation functions :

$$\frac{dN_H}{d^3\vec{p}} = \sum_i \int_0^1 dz \, F_{i\to H}(z) \left. \frac{dN_i}{d^3\vec{q}} \right|_{\vec{q}=\vec{p}/z}$$

- *F*_{p→H}(*z*) is the probability that a parton *p* gives the hadron *H* (accompanied by any other fragments), the hadron carrying the fraction *z* of the momentum of the parton
- This formulation forbids that several partons combine into the same hadron
- In an environment having a large parton density, hadronization can occur via the coalescence of several partons (Note: present models are very primitive, and take into account only the valence quark)
- These models can explain some differences between baryons and mesons observed in RHIC data

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- Photons produced by the QGP :
 - Rate determined by physics at the scale g^2T
 - Very sensitive to the temperature : $dN_{\gamma}/dtd^{3}\vec{x} \sim T^{4}$

Thermal photons



- Photons produced by the QGP :
 - Rate determined by physics at the scale g^2T
 - Very sensitive to the temperature : $dN_{\gamma}/dtd^{3}\vec{x} \sim T^{4}$
- But very important background...
 - initial photons
 - photons produced by in-medium jet fragmentation
 - photons produced by the hadron gas
 - meson decays

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Variant: thermal dileptons

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- Look for virtual photons, in the channel $\ell^+\ell^-$
- Chose the invariant mass of the lepton pair in a region which is not too contaminated by resonance decays
- Note : if the invariant mass of the virtual photon is small, then the production mechanisms are the same as for the production of real photons

Difficulty : the decay $\gamma^* \rightarrow \ell^+ \ell^-$ brings another power of the electromagnetic coupling $\alpha_{\rm em} \approx 1/137$ in the production rate \triangleright problem of statistics

Jet quenching

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Jets are produced at the initial impact

Not very interesting by themselves...

Jet quenching



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- Jets are produced at the initial impact
 - Not very interesting by themselves...
- Radiative energy loss when they travel through the QGP
 - Sensitive to the energy density of the medium
 - Depends on the path length as L^2
 - Important modification of the azimuthal correlations (at RHIC, complete absorption of the opposite jet)

Jet quenching



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- At leading order, the photon and the jet have opposite \vec{p}_{\perp} 's
- The photon escapes without any energy loss, and gives a reference for the energy of the jet ▷ one can compare the properties of jet after going through the medium to those of a jet of the same produced in the vacuum
- Complications due to higher order corrections :
 - Final state with photon + two jets
 - Photon produced by fragmentation of a quark
 in both cases, the momentum of the photon is not directly related to the initial momentum of a jet



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Where does the CGC stand ?

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- describes the content of nucleons and nuclei at small x
- framework to calculate the production of semi-hard particles
- provides initial conditions for the subsequent evolution

Nucleon at rest

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- Nucleon at rest
- Nucleon at high energy
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- Very complicated non-perturbative object...
- Contains fluctuations at all space-time scales smaller than its own size
- Only the fluctuations that are longer lived than the external probe participate in the interaction process
- The only role of short lived fluctuations is to renormalize the masses and couplings
- Interactions are very complicated if the constituents of the nucleon have a non trivial dynamics over time-scales comparable to those of the probe

Nucleon at high energy



- Dilation of all internal time-scales of the nucleon
- Interactions among constituents now take place over time-scales that are longer than the characteristic time-scale of the probe

▷ the constituents behave as if they were free

- Many fluctuations live long enough to be seen by the probe. The nucleon appears denser at high energy (it contains more gluons)
- Pre-existing fluctuations are totally frozen over the time-scale of the probe, and act as static sources of new partons

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- At the time of the interaction, the nucleon can be seen as a collection of free constituents, called partons
- The nucleon content is described by parton distributions, that depend on the momentum fraction x of the parton
- One needs only to calculate the cross-section between the probe and the partons. If the parton density is low, only one parton interacts
- One can separate the hard diffusion, perturbative, from the non-perturbative parton distributions, because the strong interactions responsible for these non-perturbative effects act on much longer time-scales ("factorization")

Note: parton distributions also depend on a "transverse resolution scale", Q :

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Linear evolution

Non-linear evolution

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▷ at low energy, only valence quarks are present in the hadron wave function

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> when energy increases, new partons are emitted

▷ the emission probability is $\alpha_s \int \frac{dx}{x} \sim \alpha_s \ln(\frac{1}{x})$, with x the longitudinal momentum fraction of the gluon ▷ at small-x (i.e. high energy), these logs need to be resummed



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▷ as long as the density of constituents remains small, the evolution is linear: the number of partons produced at a given step is proportional to the number of partons at the previous step (BFKL)

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> eventually, the partons start overlapping in phase-space

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 $C \in \mathcal{D}$

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⊳ parton recombination becomes favorable

> after this point, the evolution is non-linear: the number of partons created at a given step depends non-linearly on the number of partons present previously



Saturation criterion

Gribov, Levin, Ryskin (1983)

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Number of gluons per unit area:

$$o \sim \frac{xG(x, Q^2)}{\pi R^2}$$

Recombination cross-section:

$$\sigma_{gg \to g} \sim \frac{\alpha_s}{Q^2}$$

Recombination happens if $\rho\sigma_{gg\to g} \gtrsim 1$, i.e. $Q^2 \leq Q_s^2$, with:

$$Q_s^2 \sim \frac{\alpha_s x G(x, Q_s^2)}{\pi R^2}$$

$$\frac{dN_g}{d^2 \vec{\pmb{x}}_\perp d^2 \vec{\pmb{p}}_\perp} \sim \frac{\rho}{Q^2} \sim \frac{1}{\alpha_s}$$



Saturation domain

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Boundary defined by $Q^2 = Q_s^2(x)$



Degrees of freedom

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McLerran, Venugopalan (1994) Iancu, Leonidov, McLerran (2001)

- Small *x* modes have a large occupation number
 ▷ they can be described by a classical color field *A*^µ
- Large x modes, slowed down by time dilation, are described as static color sources ρ
 - The classical field obeys Yang-Mills equations :

$$D_{\nu}F^{\nu\mu} = J^{\mu} = \delta^{\mu+}\delta(x^{-})\rho(\vec{x}_{\perp})$$

- The color sources ρ are random, and described by a statistical distribution $W_{x_0}[\rho]$, where x_0 is the separation between "small x" and "large x"
- An evolution equation (JIMWLK) controls the changes of $W_{x_0}[\rho]$ with x_0 (generalizes BFKL to the saturated regime)

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A brief lesson of semantics...

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McLerran (mid 2000)

Color : more or less obvious...

- Glass : the system has degrees of freedom whose time-scale is much larger than the typical time-scales for interaction processes. Moreover, these degrees of freedom are stochastic variables, like in "spin glasses" for instance
- Condensate : the soft degrees of freedom are as densely packed as they can (the density remains finite, of order α_s^{-1} , due to repulsive interactions between gluons)

McLerran-Venugopalan model

- The JIMWLK equation must be completed by an initial condition, given at some x₀
 - As with DGLAP, the initial condition is in general non-perturbative
 - The McLerran-Venugopalan model is often used as an initial condition at moderate x_0 for a large nucleus :
 - partons are randomly distributed
 - many partons in each "tube"
 - ullet absence of correlations at different $ec{x}_{\perp}$



The MV model assumes that the density of color charges $\rho(\vec{x}_{\perp})$ has a gaussian distribution :

$$W_{\boldsymbol{x_0}}[
ho] = \exp\left[-\int d^2 ec{oldsymbol{x}_{\perp}} rac{
ho(ec{oldsymbol{x}_{\perp}})
ho(ec{oldsymbol{x}_{\perp}})}{2\mu^2(ec{oldsymbol{x}_{\perp}})}
ight]$$

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- In a nucleon at low energy, the typical correlation length among color charges is of the order of the nucleon size, i.e. $\Lambda_{_{QCD}}^{-1} \sim 1$ fm. Indeed, at low energy, color screening is due to confinement, controlled by the non-perturbative scale $\Lambda_{_{QCD}}$
- At high energy (small x), partons are much more densely packed, and it can be shown that color neutralization occurs in fact over distances of the order of $Q_s^{-1} \ll \Lambda_{_{OCD}}^{-1}$



This implies that all hadrons, and nuclei, behave in the same way at high energy. In this sense, the small x regime described by the CGC is universal

Leading twist shadowing

Interactions between the partons of the target :



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 At small x, the wave function of a parton "spreads" outside of the nucleon it belongs to, so that it can interact with partons from other nucleons. This implies :

$$xG_{noyau}(x,Q^2) < A xG_{nucleon}(x,Q^2)$$

• At small *x*, one has a suppression of cross-sections :

$$d\sigma_{pA}/d^2ec{m{p}}_\perp\sim A^lpha$$
 with $lpha<1$

Note: these interactions are the same as those involved in saturation

Multiple scatterings

Because of the large parton density at small x in the target, the external probe can interact several times :



- One of the scatterings "produces" the final state, and the others merely change its momentum ("higher twist" shadowing)
- Each additional scattering brings a correction $\alpha_s A^{1/3} \Lambda^2 / p_{\perp}^2$ \triangleright important effect at small p_{\perp} , despite the α_s suppression
- At leading order, multiple scattering only affect the momentum distribution of the final particles, but not their total number. The suppression at small p_⊥ is compensated by an increase at larger p_⊥ (Cronin effect)

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Multiple scatterings

• At high p_{\perp} , a single scattering dominates :



- Standard result for a random walk in an external potential, when the potential does not decrease fast at large momentum ("intermittency")
- Differential cross-sections scale like the atomic number A at high p_{\perp}
- Note : the MV model describes correctly multiple scatterings, but does not contain any "leading twist" shadowing at small x

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Deep Inelastic Scattering



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Nucleus-nucleus collisions

Proton-nucleus collisions

In a frame in which the virtual photon has a large energy :



The structure function F₂ can be expressed in terms of the "dipole" cross-section :

$$F_2 \sim \sigma_{\gamma^* p}(x, Q^2) = \int_0^\infty r dr \int_0^1 dz \left| \psi(z, r, Q^2) \right|^2 \sigma_{\text{dipole}}(x, r)$$

Deep Inelastic Scattering

• "Geometrical" scaling : $F_2(x, Q^2) = F_2(\tau \equiv Q^2/Q_s^2(x))$





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Deep Inelastic Scattering



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Nucleus-nucleus collisions

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- The major problem is that the CGC only describes the very first instants after the collision ($\tau \leq 0.2$ fm/c), while most of the observables undergo important modifications due to their interactions with the plasma
- In fact, by definition, thermalization (if it happens) implies that the system "forgets" all about the details of its initial state...
- Only inclusive quantities, like the multiplicity, have a chance of staying unchanged until the end
- The dependence of the total multiplicity at RHIC on the center of mass energy \sqrt{s} and on the centrality of the collision is correctly predicted by the CGC
- Some hydrodynamical descriptions of the evolution of the system have successfully used "CGC inspired" initial conditions



Proton-nucleus collisions

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- The produced particles escape without having to go through an extended dense medium
 - b the phenomena predicted in the CGC framework can be measured rather directly
 - The proton is much less dense than the nucleus, and can be described with the standard structure functions :



- The matrix elements that enter in cross-sections are directly calculable in the CGC framework (they are known for a number of processes, like gluon or quark production)
- Note : contrary to DIS, one does not know exactly the momentum of the incoming parton


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Results of the BRAHMS experiment at RHIC for deuteron-gold collisions :





- At small rapidity, suppression at low p_{\perp} and enhancement at high p_{\perp} (multiple scatterings Cronin effect)
- At large rapidity, suppression at all p_{\perp} 's (shadowing)