

# Random trees and vertex splitting

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Statistical physics, combinatorics and probability: from discrete to  
continuous models

Institut Henri Poincare

# Outline

- ▶ Introduction and background
- ▶ Definition of the vertex splitting model
- ▶ Vertex degree distribution
- ▶ Correlations
- ▶ Structure functions and the Hausdorff dimension
- ▶ Open problems

# Random graphs: two main approaches

## 1. Equilibrium statistical mechanics

$\mathcal{T}$  = Set of graphs,  $\mu$  a probability measure on  $\mathcal{T}$

$$\mu(T) = Z^{-1} e^{-\beta E(T)}$$

## 2. Growing trees $T_n \mapsto T_{n+1}$ , time discrete

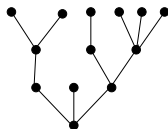
Stochastic growth rules induce a probability measure on  $\mathcal{T}_t$ , the trees that can arise in  $t$  steps

- ▶ Sometimes (1) is more natural
- ▶ Sometimes (2) is more natural
- ▶ Sometimes (1) and (2) are known to be equivalent

## Galton-Watson trees

- ▶  $p_n$  = probability of having  $n$  descendants,

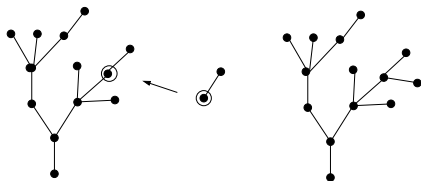
$$\sum_n p_n = 1, \quad m = \sum_n np_n$$



- ▶  $m < 1$  subcritical,  $m > 1$  supercritical,  $m = 1$  critical
- ▶  $n$  generations at time  $t = n - 1$  if no extinction
- ▶ Well understood

## Preferential attachment trees

- ▶ In each timestep one new edge is attached to a preexisting tree



- ▶ Probability of attaching to a vertex  $v$  of degree  $k$

$$P_v = \frac{w_k}{\sum_k n_k w_w}, \quad w_k \geq 0,$$

$n_k$  = the number of vertices of degree  $k$ .

- ▶ Growth rule induces a probability measure on  $\mathcal{T}_t$ .

## Local trees

- ▶ Weight factor of a tree  $T$

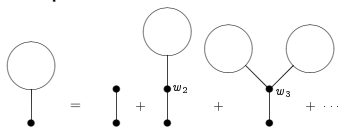
$$W(T) = \prod_{i \in T} w_{\sigma(i)}$$

$\sigma(i)$  = degree of the vertex  $i$

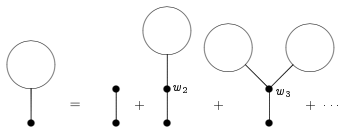
- ▶ Partition functions

$$Z_N = \sum_{T:|T|=N} W(T), \quad Z = \sum_N \zeta^N Z_N, \quad |\zeta| < \zeta_0$$

- ▶ Generating function  $g(z) = \sum_n w_n z^{n-1}$ , radius of convergence  $\rho$
- ▶ Main equation



# Generic trees



► Algebraically

$$Z(\zeta) = \zeta g(Z(\zeta)) = \zeta \sum_{i=0}^{\infty} w_{i+1} Z^i(\zeta)$$

- Define  $Z_0 = \lim_{\zeta \rightarrow \zeta_0} Z(\zeta)$
- If  $Z_0 < \rho$  then we say that the trees are *generic*.
- $Z(\zeta) - Z_0 \sim \sqrt{\zeta_0 - \zeta}$

- ▶ Define

$$\mu(T) = Z_0^{-1} \zeta_0^{|T|} \prod_{i \in T} w_{\sigma(i)}$$

Probability measure

- ▶ This measure is the same as the one obtained from a Galton-Watson process with

$$p_n = \zeta_0 w_{n+1} Z_0^{n-1}$$



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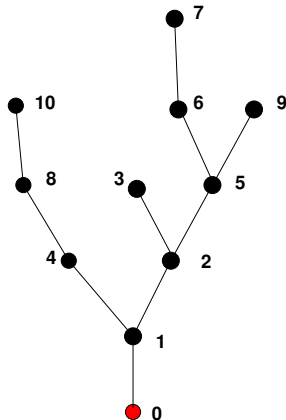
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## Another equivalence

- ▶ Preferential attachment trees  $\approx$  Causal trees
- ▶ Weight proportional to the number of *causal labelings*



- ▶ More branchings, more ways to grow

## Properties of generic trees

- ▶ Let  $V_T(R)$  = volume of a ball of radius  $R$  centered on the root

$$\langle V_T(R) \rangle \sim R^{d_H}, \quad R \rightarrow \infty \quad \text{defines } d_H$$

- ▶ Let  $p_T(t)$  = probability that a random walker is back at the root after  $t$  steps on  $T$

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- ▶ Averages taken w.r.t. a measure on infinite trees

$$\nu_N = Z_N^{-1} \prod_{i \in T} w_{\sigma(i)}$$

$$\nu_N \rightarrow \nu_\infty \text{ as } N \rightarrow \infty$$

- ▶ Properties:

- (i)  $d_H = 2$
- (ii)  $d_s = 4/3$
- (iii) There is a unique infinite simple path whose outgrowths are critical GW-trees

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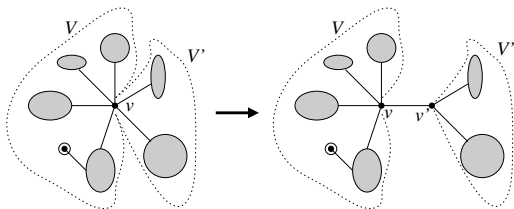
## Preferential attachment trees

- ▶ In general many infinite simple paths
- ▶  $d_H = \infty$  in many cases (all cases?)
- ▶ Can calculate vertex degree distribution - and fluctuations. Independent of the initial tree.
- ▶ Broad distribution of sizes of subtrees, depends on the initial tree

# The vertex splitting model

F. David, M. Dukes, S. Stefansson and T.J.: J. Stat. Mech. (2009) P04009

- ▶ A model of randomly growing rooted, planar trees



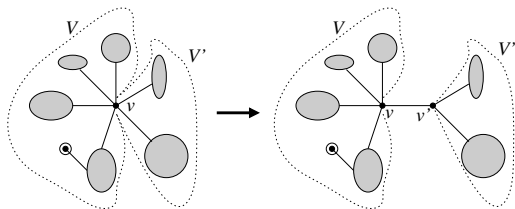
- ▶ Degree of vertices is bounded by an integer  $d$  (we also discuss the case  $d = \infty$ )
- ▶ Nonnegative **splitting weights**  $w_1, w_2, \dots, w_d$
- ▶  $n_j(T)$  = the number of vertices of degree  $j$  in a tree  $T$   
 $p_j$  = Probability of choosing a vertex  $v \in T$  of degree  $j$

$$p_j = \frac{w_j}{\sum_i w_i n_i(T)}$$

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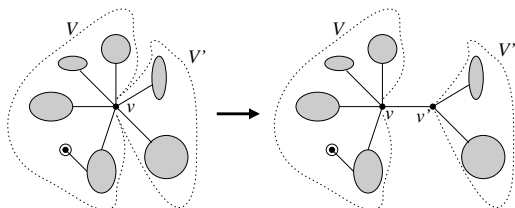
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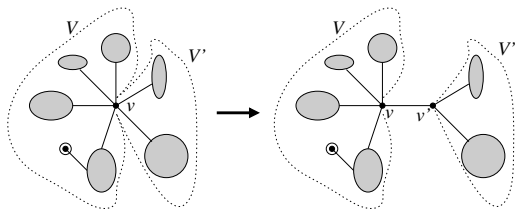
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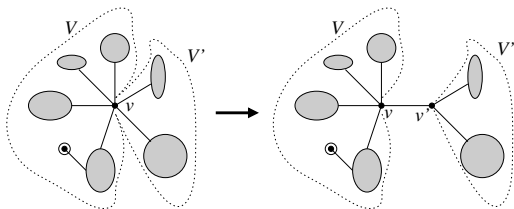
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## Splitting rules

- ▶ The parameters of the model are

$$\begin{bmatrix} 0 & w_{1,2} & w_{1,3} & \cdots & w_{1,d-1} & w_{1,d} \\ w_{2,1} & w_{2,2} & w_{2,3} & \cdots & w_{2,d-1} & w_{2,d} \\ w_{3,1} & w_{3,2} & w_{3,3} & \cdots & w_{3,d-1} & 0 \\ w_{4,1} & w_{4,2} & w_{4,3} & & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ w_{d,1} & w_{d,2} & 0 & \cdots & 0 & 0 \end{bmatrix}$$

a symmetric matrix of non-negative **partitioning weights**

- ▶ Split a vertex of degree  $i$  into vertices of degree  $k$  and  $i + 2 - k$  with probability  $w_{k,i+2-k}/w_i$  – all such splittings equally probable
- ▶ The splitting weights  $w_1, w_2, \dots, w_d$  are related to the partitioning weights by

$$w_i = \frac{i}{2} \sum_{j=1}^{i+1} w_{j,i+2-j}.$$

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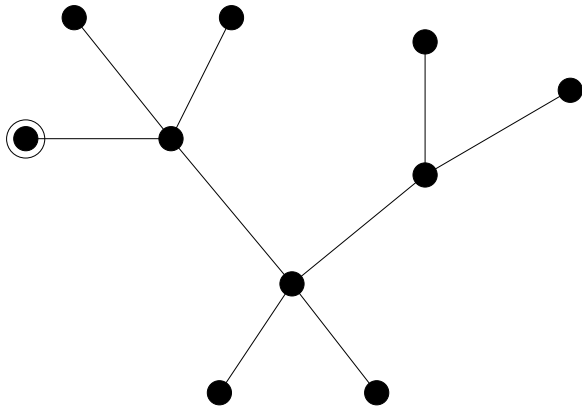
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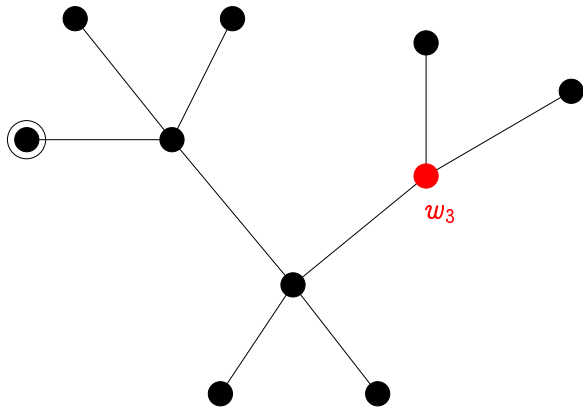
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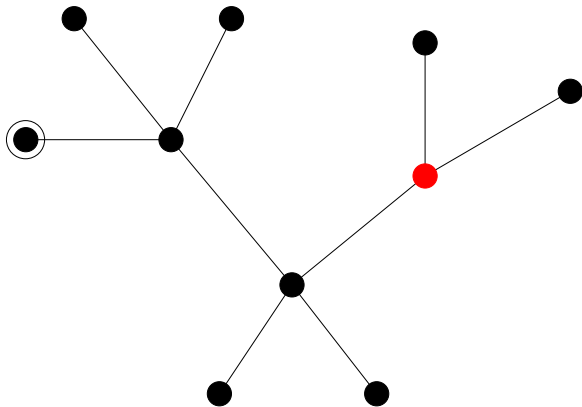
# A tree



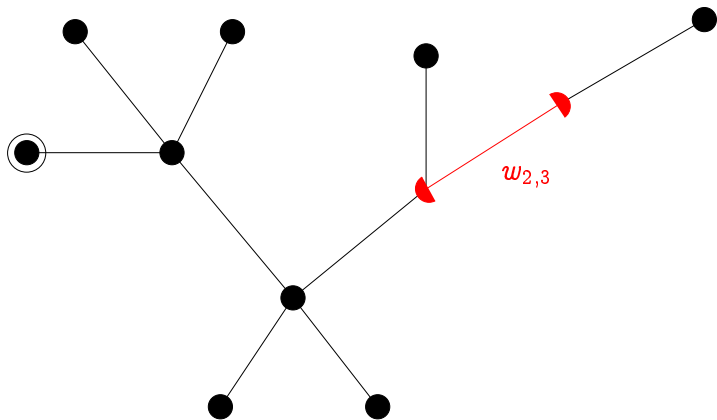
## Vertex splitting rules



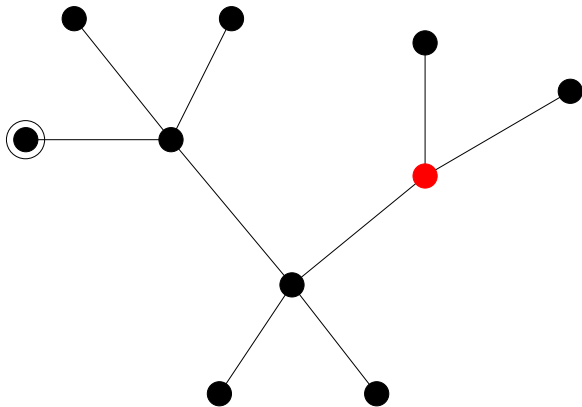
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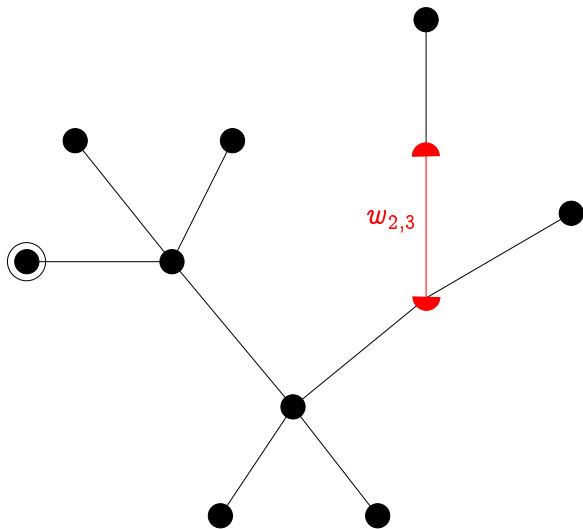
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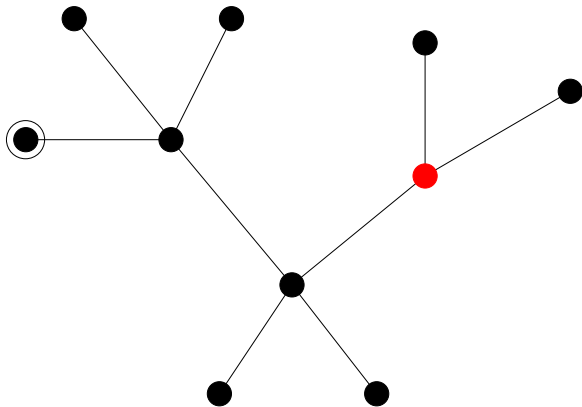
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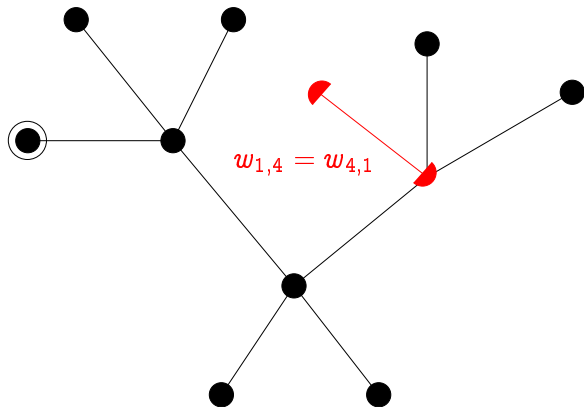
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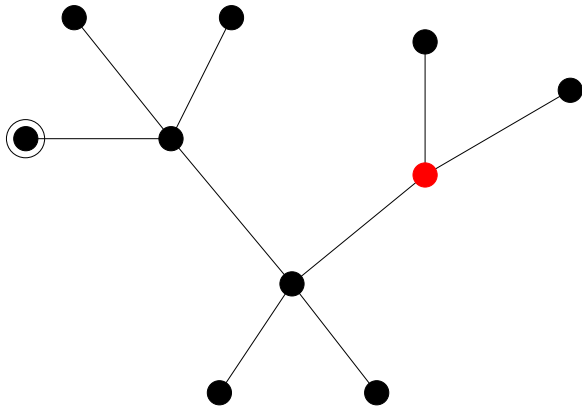


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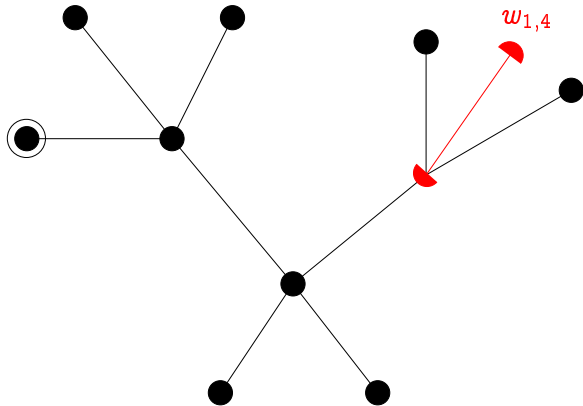




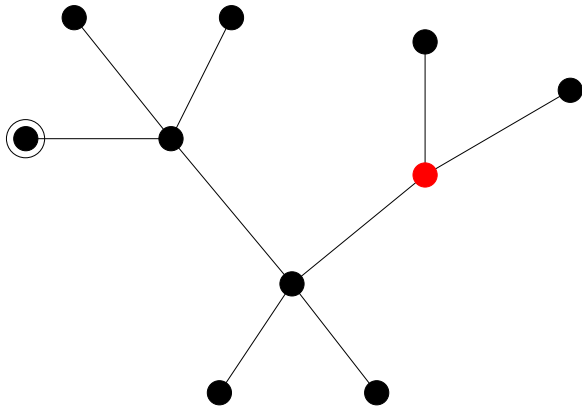
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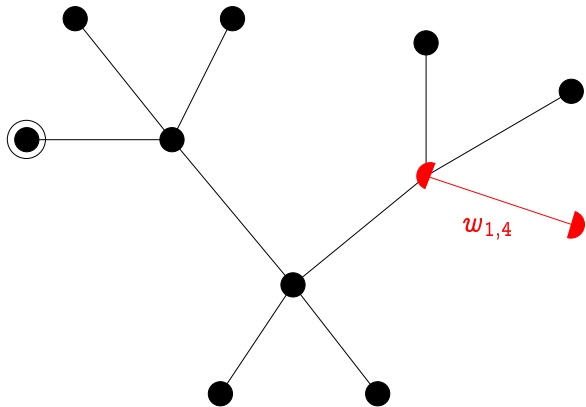
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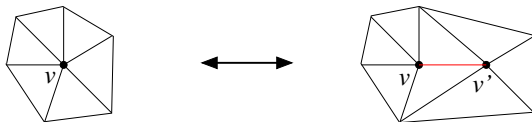


## Vertex splitting rules



## Relation to other models

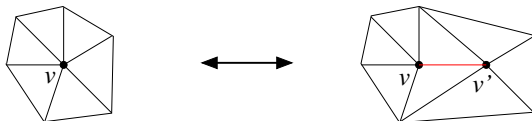
- ▶ Ergodic moves in Monte Carlo simulations of triangulations in 2d-quantum gravity



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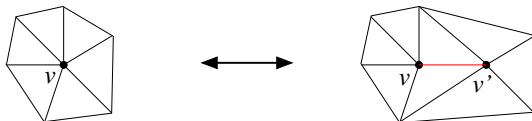
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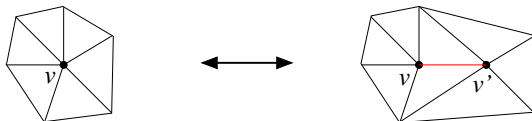
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## Main results

- ▶ Distribution of vertex degrees in a large tree
- ▶ Correlations between the degrees of vertices
- ▶ "Shape" of trees – Hausdorff dimension

If we consider linear splitting weights

$$w_i = ai + b.$$

the analysis simplifies due to the Euler relation for trees

$$\sum_{i=1}^d n_i(T) = |T|, \quad \sum_{i=1}^d i n_i(T) = 2(|T| - 1).$$

The normalization factor  $\sum_i w_i n_i(T)$  depends only on the size of the tree  $T$ .

## Recurrence for generating functions

Let  $p_t(n_1, \dots, n_d)$  be the probability that the tree  $T$  at time  $t$  has  $(n_1(T), \dots, n_d(T)) = (n_1, \dots, n_d)$ .

The probability generating function

$$\mathcal{H}_t(\mathbf{x}) = \sum_{n_1 + \dots + n_d = t} p_t(n_1, \dots, n_d) x_1^{n_1} \cdots x_d^{n_d}$$

satisfies the recurrence

$$\mathcal{H}_{t+1}(\mathbf{x}) = \sum_{n_1 + \dots + n_d = t} \frac{p_t(n_1, \dots, n_d)}{\sum_{i=1}^d n_i w_i} \mathbf{c}(\mathbf{x}) \cdot \nabla (x_1^{n_1} \cdots x_d^{n_d}),$$

where  $\mathbf{c}(\mathbf{x}) = (c_1(\mathbf{x}), c_2(\mathbf{x}), \dots, c_d(\mathbf{x}))$  with

$$c_i(\mathbf{x}) = \frac{i}{2} \sum_{j=1}^{i+1} w_{j, i+2-j} x_j x_{i+2-j} \quad \text{and} \quad \nabla = \left( \partial / \partial x_1, \dots, \partial / \partial x_d \right).$$

## Vertex degree distribution

- ▶ Begin with a tree  $T_0$  at time 0
- ▶ At time  $t > 0$  we have a tree  $T_t$  with  $n_i(T_t)$  vertices of degree  $i$
- ▶ Let  $\bar{n}_{t,i}$  denote the average of  $n_i(T)$  over all trees that can arise at time  $t$ , i.e.

$$\bar{n}_{t,k} = \sum_{n_1+\dots+n_d=t} p_t(n_1, \dots, n_d) n_k = \partial_k \mathcal{H}_t(\mathbf{x})|_{\mathbf{x}=\mathbf{1}},$$

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and we will use the notation

$$\rho(t) = (\rho_{t,1}, \dots, \rho_{t,d})$$

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- ▶ The recurrence for  $\mathcal{H}_t$  gives rise to a recurrence for  $\rho(t)$ .

$$\mathcal{H}_{t+1}(\mathbf{x}) = \frac{1}{\mathcal{W}(t)} \mathbf{c}(\mathbf{x}) \cdot \nabla \mathcal{H}_t(\mathbf{x}).$$

$\implies$

$$\rho_{t+1,k} = \frac{t}{\mathcal{W}(t)} \left( -w_k \rho_{t,k} + \sum_{i=k-1}^d i w_{k,i+2-k} \rho_{t,i} \right) + t(\rho_{t,k} - \rho_{t+1,k}).$$

- ▶ Under mild conditions on the  $w_{i,j}$  the limits

$$\lim_{t \rightarrow \infty} \rho_{t,i} = \rho_i$$

exist and are the unique positive solution to the linear equations

$$\rho_k = -\frac{w_k}{w_2} \rho_k + \sum_{i=k-1}^d i \frac{w_{k,i+2-k}}{w_2} \rho_i.$$

- ▶ These values are independent of the initial tree.
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- ▶ These values are independent of the initial tree.
- ▶ The proof uses the Perron–Frobenius theorem for "positive" matrices.



# Perron-Frobenius

**Theorem.** Let  $A$  be a matrix with nonnegative matrix elements such that all the matrix elements of  $A^p$  are positive ( $A$  primitive) for some integer  $p$ . Then the eigenvalue of  $A$  with the largest absolute value is positive and simple. The corresponding eigenvector can be taken to have positive entries.

Iterating the recurrence equation for  $\rho(t)$  we find

$$\rho(t) = \frac{1}{t} \prod_{i=1}^{t-1} \left( 1 + \frac{1}{(2a + b)i - 2a} B \right) \rho_0$$

where  $B$  is a matrix with nonnegative entries except on the diagonal. If  $B$  is primitive and diagonalizable, then  $\rho(t)$  converges to the normalized Perron-Frobenius eigenvector of  $B$ .

## Examples

- ▶  $d = 3$  The matrix  $B$  is diagonalizable and

$$\rho_1 = \rho_3 = 2/7, \quad \rho_2 = 3/7$$

if the partitioning weights are chosen to be uniform, i.e.

$$w_{i,j} = w_{i+j-2} \frac{2}{(i+j-2)(i+j-1)}.$$

- ▶  $d = 4$  Can again solve explicitly with uniform partitioning weights and get  $\rho_i$ 's which vary with  $a$  and  $b$ .
- ▶  $d = \infty$  Do not have a proof of convergence but can solve the equation for the  $\rho_i$ 's

$$\rho_k \sim \frac{1}{k!} 2^{k-1} k^{-1-x}, \quad x = b/a$$

$$\rho_k = \frac{1}{e(k-1)!}, \quad a = 0.$$

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## General splitting weights

- ▶ Use mean field theory for the normalization factor

$$\sum_i n_i(T) w_i \rightarrow t \sum_i \rho_i w_i.$$

Equation for a steady state vertex distribution

$$\rho_k = -\frac{w_k}{w} \rho_k + \sum_{i=k-1}^d i \frac{w_{k,i+2-k}}{w} \rho_i,$$

subject to the constraints

$$\rho_1 + \dots + \rho_d = 1, \quad w_1 \rho_1 + \dots + w_d \rho_d = w.$$

- ▶ There is a unique positive solution by the Perron-Frobenius theorem.
- ▶ For  $d = 3$  and uniform partitioning weights we find

$$\rho_3 = \frac{7\alpha - \sqrt{\alpha(\alpha + 24\beta + 24)}}{6(2\alpha - \beta - 1)}$$

where  $\alpha = w_2/w_1$  and  $\beta = w_3/w_1$ .

## Comparison with simulations

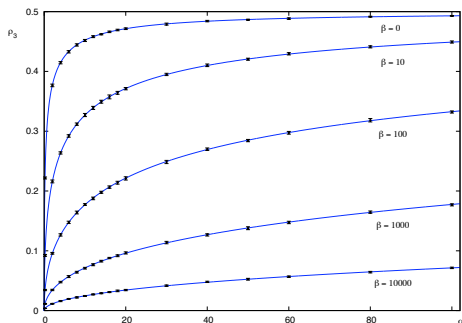


FIGURE 4. The value of  $\rho_3$  as given in (2.45) compared to results from simulations. Each point is calculated from 20 trees on 10000 vertices.

A comparison of the theoretical prediction with simulations in the case  $d=3$  and uniform partitioning weights.

$$\alpha = \frac{w_2}{w_1}, \quad \beta = \frac{w_3}{w_1}$$

# Correlations

In a typical infinite tree, what is the proportion of edges whose endpoints have degrees  $j$  and  $k$  ?

Let  $n_{j,k}$  = number of such edges in a finite tree of size  $t$ , where the vertex of degree  $j$  is closer to the root

Let  $\rho_{j,k} = \lim_{t \rightarrow \infty} \frac{n_{j,k}}{t}$ . Then (for linear splitting weights)

$$\begin{aligned} \rho_{jk} = & -\frac{w_j + w_k}{w_2} \rho_{jk} + (j-1) \frac{w_{j,k}}{w_2} \rho_{j+k-2} \\ & + (j-1) \sum_{i=j-1}^d \frac{w_{j,i+2-j}}{w_2} \rho_{ik} + (k-1) \sum_{i=k-1}^d \frac{w_{k,i+2-k}}{w_2} \rho_{ji}. \end{aligned}$$

assuming the existence of the limit.

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assuming the existence of the limit.

## Explicit solutions

Can solve in simple cases and find nontrivial correlations  
Take  $d = 3$ , linear splitting weights and uniform partitioning weights. Then  $\rho_1 = \rho_3 = 2/7$  and  $\rho_2 = 3/7$ . Let  $y = w_3/w_2$ . Then the solutions to the correlation equation are

$$\begin{aligned}\rho_{21} &= \frac{4(3 - y)}{7(11 - 2y)}, & \rho_{31} &= \frac{10}{7(11 - 2y)}, \\ \rho_{22} &= \frac{4y^2 - 12y + 105}{7(2y + 7)(11 - 2y)}, & \rho_{32} &= \frac{2(-8y^2 + 18y + 63)}{7(2y + 7)(11 - 2y)}, \\ \rho_{23} &= \frac{2(-4y^2 + 20y + 21)}{7(2y + 7)(11 - 2y)}, & \rho_{33} &= \frac{8(3y - 14)}{7(2y + 7)(2y - 11)}.\end{aligned}$$

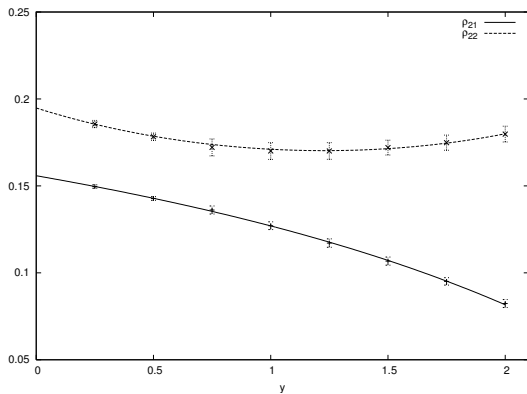
## Sum rules

The following sum rules hold:

$$\begin{aligned}\rho_{21} + \rho_{31} &= \rho_1 = 2/7 \\ \rho_{22} + \rho_{32} &= \rho_2 = 3/7 \\ \rho_{23} + \rho_{33} &= \rho_3 = 2/7, \\ \rho_{21} + \rho_{22} + \rho_{23} &= \rho_2 = 3/7 \\ \rho_{31} + \rho_{32} + \rho_{33} &= 2\rho_3 = 4/7.\end{aligned}$$

These relations show that there are only two independent link densities, e.g.  $\rho_{21}$  and  $\rho_{22}$ .

# Comparison with simulations

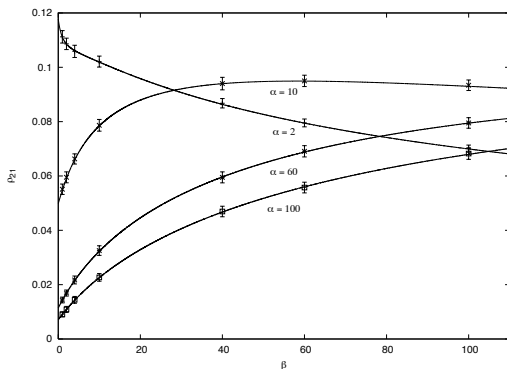


## Nonlinear splitting weights

Taking  $d = 3$  and general nonlinear splitting weights

$$\rho_{21} = \frac{1}{3} \frac{(3 + \beta)(7\alpha - \gamma)}{(2\alpha - \beta - 1)(3\alpha + 2\beta + \gamma + 6)}$$

where  $\alpha = w_2/w_1$ ,  $\beta = w_3/w_1$  and  $\gamma = \sqrt{\alpha(\alpha + 24\beta + 24)}$ .



# Comparison with simulations

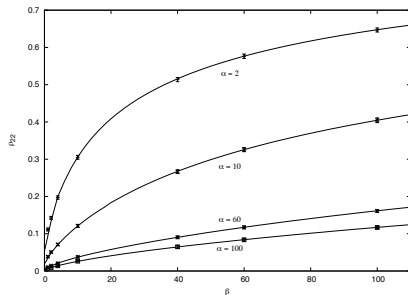


FIGURE 17. The solution (5.5) for the density  $\rho_{22}$  plotted as a function of  $\beta$  for a few values of  $\alpha$ . Each datapoint is calculated from simulations of 100 trees on 10000 vertices.

$$\begin{aligned} \rho_{22} = & \frac{16}{3} \left( 284 \alpha^2 \beta^4 \gamma - 177 \alpha^5 \beta \gamma + 3564 \alpha^3 + 18 \alpha^6 \gamma + 161 \alpha \beta^5 \gamma - 873 \gamma + 11979 \alpha^2 \beta^3 \right. \\ & - 2259 \alpha^5 - 39 \alpha^6 \beta - 207 \alpha^5 \gamma + 6516 \alpha^2 \beta^4 - 5205 \alpha^5 \beta - 1419 \alpha^4 \beta \gamma + 996 \alpha \beta^5 \\ & - 5994 \alpha^4 - 892 \alpha^4 \beta^2 \gamma + 1543 \alpha^2 \beta^5 - 18 \alpha^7 - 668 \alpha^3 \beta^4 + 324 \alpha^2 \gamma + 909 \alpha \beta^3 \gamma \\ & - 2600 \alpha^5 \beta^2 - 975 \alpha^3 \beta^3 + 222 \alpha \beta^6 - 1533 \alpha^3 \beta^2 \gamma + 10206 \alpha^2 \beta^2 - 11799 \alpha^4 \beta \\ & - 5300 \alpha^4 \beta^3 - 1521 \alpha^3 \beta \gamma + 1899 \alpha^2 \beta^2 \gamma + 1059 \alpha^2 \beta^3 \gamma + 1269 \alpha^3 \beta^2 + 3240 \alpha^2 \beta \\ & + 756 \alpha \beta^3 + 4860 \alpha^3 \beta + 6 \beta^6 \gamma - 11703 \alpha^4 \beta^2 + 1728 \alpha^2 \beta \gamma - 162 \alpha^3 \gamma + 486 \alpha \beta^2 \gamma \\ & \left. + 18 \beta^4 \gamma + 1530 \alpha \beta^4 + 624 \alpha \beta^4 \gamma - 772 \alpha^3 \beta^3 \gamma - 9 \alpha^6 + 24 \beta^5 \gamma \right) / \left( (3 \alpha + 2 \beta + \gamma + 6) \right. \\ & \left. \times (11 \alpha^2 + 25 \alpha \beta + 5 \alpha \gamma + 3 \beta \gamma + 12 \alpha + 4 \beta^2) (-\alpha + \gamma) (1 - 2 \alpha + \beta) (7 \alpha + 2 \beta + \gamma)^2 \right) \end{aligned}$$

## Subtree probabilities

- ▶ Label vertices in the tree by their time of creation
- ▶ Use linear weights
- ▶ Derive expressions for the probabilistic structure of the tree as seen from the vertex created at a given time
- ▶ Average over the creation time
- ▶ Introduce a scaling assumption
- ▶ Extract the Hausdorff dimension
- ▶ Get results which agree with simulations



- ▶ Begin with a tree consisting of a single vertex at time  $t = 0$
- ▶ In a tree of size  $\ell$  let  $p_R(\ell; s)$  be the probability that the vertex created at time  $s \leq \ell$  is the root
- ▶ We find

$$p_R(\ell; s) = \frac{1}{W(\ell - 1) + w_1} W(\ell - 1) p_R(\ell - 1; s), \quad s < \ell$$

$$p_R(\ell; \ell) = \frac{1}{W(\ell - 1) + w_1} \sum_{s=0}^{\ell-1} w_1 p_R(\ell - 1; s), \quad s = \ell$$

$W(\ell) = (2a + b)\ell - a$  is a normalization factor.

$$\bullet_s \text{---} (\ell) = \frac{W(\ell-1)}{W(\ell-1) + w_1} \bullet_s \text{---} (\ell-1)$$

$$\bullet_\ell \text{---} (\ell) = \frac{1}{W(\ell-1) + w_1} \sum_{s=0}^{\ell-1} w_1 \bullet_s \text{---} (\ell-1)$$

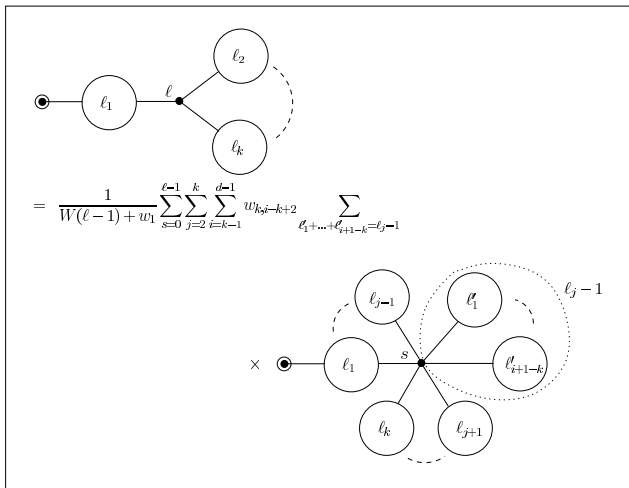
Let  $p_k(\ell_1, \ell_2, \dots, \ell_k; s)$  be the probability that the vertex  $v$  created at time  $s$  has degree  $k$ , the root subtree has  $\ell_1$  links and the other subtrees incident on  $v$  have size  $\ell_2, \dots, \ell_k$ . Denote the sum of the  $\ell_i$ 's by  $\ell$ . Then for  $k = 1$  and  $s < \ell$

$$\begin{aligned} \bullet_s \text{---} (\ell) &= \frac{1}{W(\ell-1) + w_1} \left( W(\ell-1) \bullet_s \text{---} (\ell-1) \right. \\ &+ \left. \sum_{i=1}^{d-1} i w_{i+1,1} \sum_{\ell_1 + \dots + \ell_i = \ell-1} \bullet_s \text{---} (\ell_1) \text{---} s \begin{array}{l} (\ell_2) \\ \vdots \\ (\ell_i) \end{array} + \delta_{\ell 1} w_1 \bullet_s \right) \end{aligned}$$

and for  $k > 1$  and  $s < \ell$

$$\begin{aligned}
 & \left( \begin{array}{c} \bullet \\ | \\ \textcircled{\ell_1} \\ | \\ s \\ \begin{array}{l} \swarrow \textcircled{\ell_2} \\ \searrow \textcircled{\ell_k} \end{array} \end{array} \right) = \frac{1}{W(\ell-1) + w_1} \left( \delta_{k2} \delta_{\ell_1 1} w_1 \begin{array}{c} \bullet \\ | \\ \textcircled{\ell-1} \\ | \\ s \end{array} \right) \\
 & + \sum_{i=1}^k W(\ell_i - 1) \left( \begin{array}{c} \bullet \\ | \\ \textcircled{\ell_1} \\ | \\ s \\ \begin{array}{l} \swarrow \textcircled{\ell_2} \\ \searrow \textcircled{\ell_k} \end{array} \end{array} \right) \\
 & + \sum_{i=k}^d (i+1-k) w_{k,i-k+2} \sum_{\ell_1 + \dots + \ell_{i-k+1} = \ell_1 - 1} \left( \begin{array}{c} \bullet \\ | \\ \textcircled{\ell_1} \\ | \\ s \\ \begin{array}{l} \swarrow \textcircled{\ell_{i+1-k}} \\ \searrow \textcircled{\ell_k} \end{array} \end{array} \right)
 \end{aligned}$$

Finally  $k > 1$  and  $s = \ell$



We **average over  $s$**  to get simpler recursions:

$$p_R(\ell + 1) = \frac{\ell + 1}{\ell + 2} p_R(\ell).$$

$$p_1(\ell + 1) \tag{3.11}$$

$$= \frac{\ell + 1}{\ell + 2} \frac{1}{W(\ell) + w_1} \left[ W(\ell) p_1(\ell) + \sum_{i=1}^{d-1} i w_{i+1,1} \sum_{\substack{\ell'_1 + \dots + \ell'_i \\ = \ell}} p_i(\ell'_1, \dots, \ell'_i) + 2\delta_{\ell 0} w_1 \right].$$

$$p_k(\ell_1, \dots, \ell_k)$$

$$\begin{aligned} &= \frac{\ell + 1}{\ell + 2} \frac{1}{W(\ell) + w_1} \left[ \delta_{k2} \delta_{\ell_1 1} w_1 p_R(\ell) + \sum_{i=1}^k W(\ell_i - 1) p_k(\ell_1, \dots, \ell_i - 1, \dots, \ell_k) \right. \\ &+ \sum_{i=k}^d (i - k + 1) w_{k, i-k+2} \sum_{\substack{\ell'_1 + \dots + \ell'_{i+1-k} \\ = \ell_1 - 1}} p_i(\ell'_1, \dots, \ell'_{i+1-k}, \ell_2, \dots, \ell_k) \tag{3.12} \\ &\left. + \sum_{j=2}^k \sum_{i=k-1}^d w_{k, i-k+2} \sum_{\substack{\ell'_1 + \dots + \ell'_{i+1-k} \\ = \ell_j - 1}} p_i(\ell_1, \dots, \ell_{j-1}, \ell'_1, \dots, \ell'_{i+1-k}, \ell_{j+1}, \dots, \ell_k) \right] \end{aligned}$$

Finally we define the "two point functions" that are needed to calculate the Hausdorff dimension:

$$q_{ki}(\ell_1, \ell_2) = \sum_{\ell'_1 + \dots + \ell'_{k-i} = \ell_1} \sum_{\ell''_1 + \dots + \ell''_i = \ell_2} p_k(\ell'_1, \dots, \ell'_{k-i}, \ell''_1, \dots, \ell''_i),$$

which is the probability that  $i$  trees of total volume  $\ell_1$ , none of which contains the root, are attached to a vertex of order  $k$  in a tree of total volume  $\ell = \ell_1 + \ell_2$ . There are  $d(d-1)/2$  such functions,  $1 \leq i \leq k-1$ .

The two point functions satisfy the recursion relation

$$\begin{aligned}
 q_{ki}(\ell_1, \ell_2) = & \frac{\ell + 1}{\ell + 2} \frac{1}{W(\ell) + w_1} \left[ \right. \\
 & \sum_{j=k-1}^d w_{k,j+2-k} \left( (j-i)q_{ji}(\ell_1 - 1, \ell_2) + iq_{j,j-(k-i)}(\ell_1, \ell_2 - 1) \right) \\
 & + \left( W(\ell_1 - 1) + (k-i-1)(w_2 - w_3) \right) q_{ki}(\ell_1 - 1, \ell_2) \\
 & + \left( W(\ell_2 - 1) + (i-1)(w_2 - w_3) \right) q_{ki}(\ell_1, \ell_2 - 1) \\
 & \left. + \delta_{k2} \delta_{\ell_1 1} w_1 p_R(\ell_2) + \delta_{i1} \delta_{\ell_2 1} w_{k,1} \sum_{\ell'_1 + \dots + \ell'_{k-1} = \ell_1} p_{k-1}(\ell'_1, \dots, \ell'_{k-1}) \right]
 \end{aligned}$$

An **almost closed** system of linear equations.

## Hausdorff dimension

- ▶ Let  $T$  be a tree with  $\ell$  edges and  $v, w$  vertices of  $T$ .
- ▶ Denote the graph distance between  $v$  and  $w$  by  $d_T(v, w)$ .
- ▶ We define the **radius of  $T$**  as

$$R_T = \frac{1}{(2\ell)} \sum_{v \in T} d_T(r, v) \sigma(v),$$

- ▶ We define the Hausdorff dimension of the tree,  $d_H$ , by the scaling law for large trees

$$\langle R_T \rangle \sim \ell^{1/d_H} \quad \ell \rightarrow \infty$$

This definition is different from the one we wrote down earlier for infinite trees but is expected to be equivalent.



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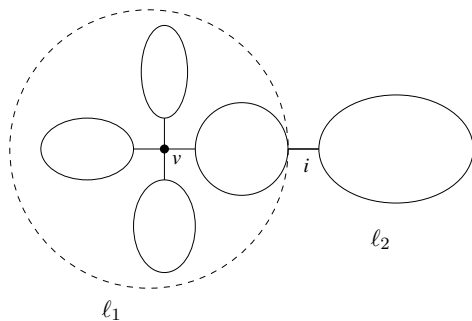
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## Combinatorics



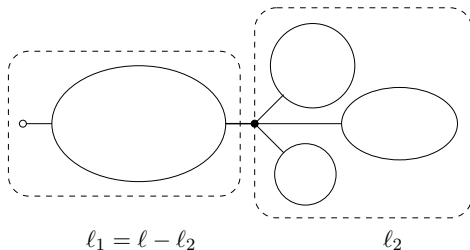
- ▶ Cutting the tree at an edge  $i$  we get two subtrees of size  $\ell_1$  and  $\ell_2$
- ▶ One can prove the following identity:

$$\sum_w d_T(v, w) \sigma(w) = \sum_i (2\ell_2(v; i) + 1)$$

valid for any vertex  $v$ . We use it for  $v = r$ .

- The identity implies:

$$\begin{aligned} \langle R_T \rangle &= \frac{1}{2\ell} \sum_T P(T) \sum_i (2\ell_2(r; i) + 1) \\ &= \frac{\ell + 1}{2\ell} \sum_{\ell_2=0}^{\infty} (2\ell_2 + 1) \sum_{k=1}^d q_{k, k-1}(\ell - \ell_2; \ell_2) \end{aligned}$$



- ▶ We use a scaling assumptions about the  $q$  functions

$$q_{ki}(\ell_1, \ell - \ell_1) = \ell^{-\rho} \omega_{ki}(\ell_1/\ell) + O(\ell^{\rho+1})$$

- ▶ Inserting into the recurrence equation for  $q_{ki}$  keeping leading order terms in  $\ell^{-1}$  gives

$$(2 - \rho)\bar{\omega}_{ki} = \frac{1}{w_2} \sum_{j=k-1}^d w_{k,j+2-k} \left( (j-i)\bar{\omega}_{ji} + i\bar{\omega}_{j,j-(k-i)} \right) - \frac{w_k}{w_2} \bar{\omega}_{ki}.$$

- ▶ This is a Perron-Frobenius type equation. Gives  $\rho$  in principle.
- ▶ Can solve in simple cases and prove some bounds in more general cases.

# Hausdorff dimension

Linear weights and  $d = 3$

$$d_H = \frac{3(1 + \sqrt{1 + 16y})}{8y}, \quad y = w_3/w_2$$

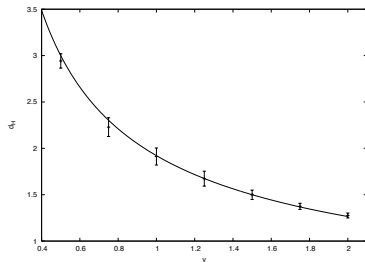


FIGURE 13. Equation (4.25) compared to simulations. The Hausdorff dimension,  $d_H$ , is plotted against  $y = w_3/w_2$ . The leftmost datapoint is calculated from 50 trees on 50000 vertices and the others are calculated from 50 trees on 10000 vertices.

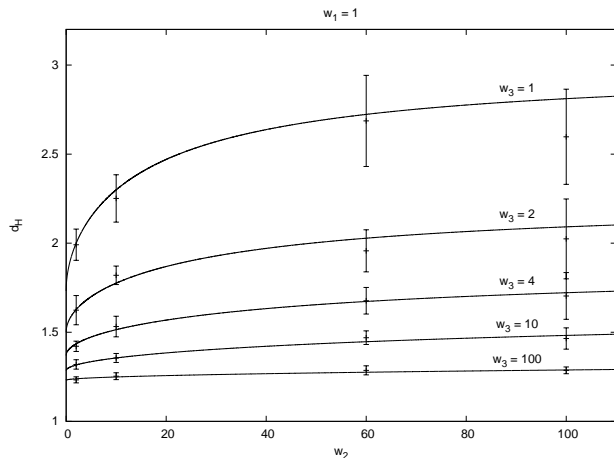
# Hausdorff dimension

General solution for  $d = 3$

$$d_H = \frac{(w_{2,2} - 2w_{3,1}) + \sqrt{(w_{2,2} - 2w_{3,1})^2 + 8w_{3,1}(w_{2,1} + 3w_{3,2})}}{(w_{2,2} - 2w_{3,1}) + \sqrt{(w_{2,2} - 2w_{3,1})^2 + 16w_{3,1}w_{3,2}}}$$

$$w_{3,2} = w_3/3$$

$$w_{3,1} = w_{2,2} = w_2/3$$



# Open problems

- ▶ Description in terms of equilibrium statistical mechanics
- ▶ The infinite volume limit
- ▶ A continuum limit
- ▶ Spectral properties
- ▶ Properties of the finite volume measures