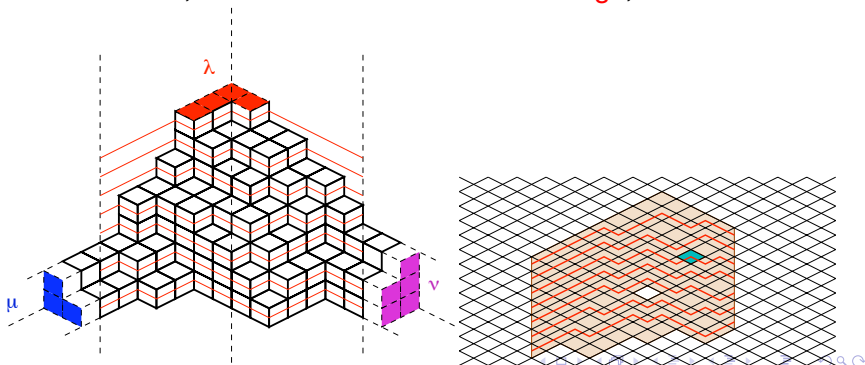


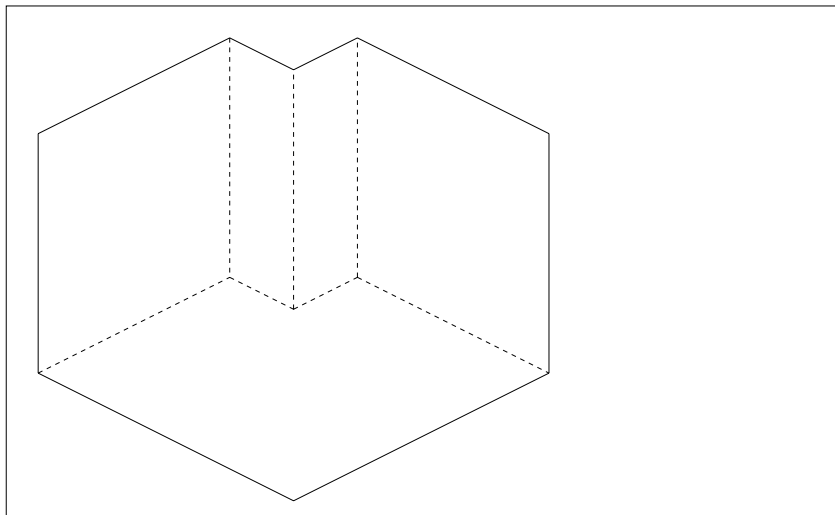
# A matrix model for counting plane partitions and tilings

Bertrand Eynard, IPHT CEA-SACLAY

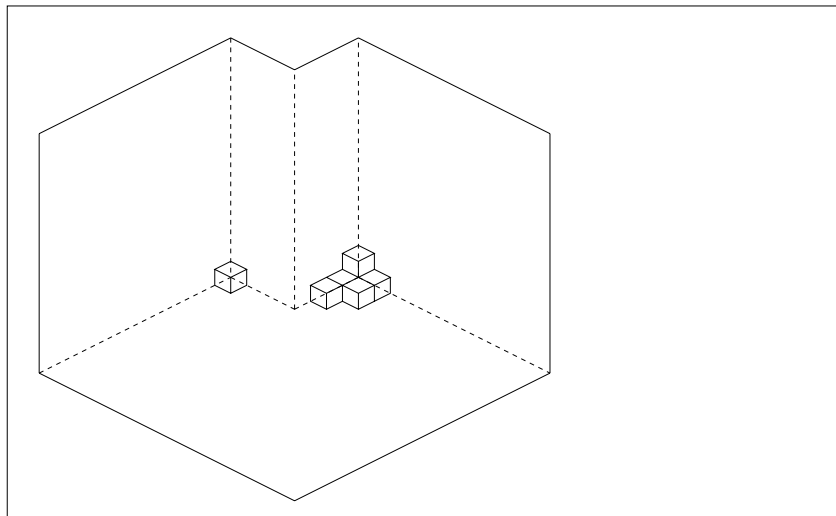
STATCOMB, Dimer models and random tilings, oct. 2009



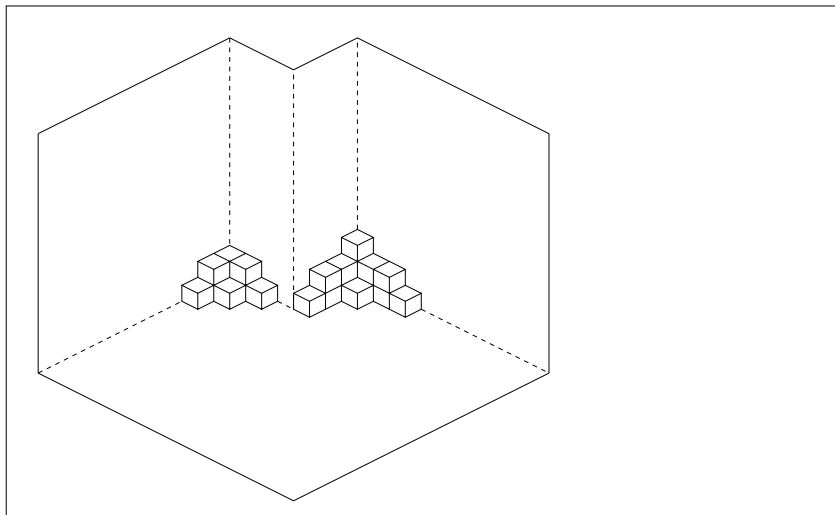
# Introduction



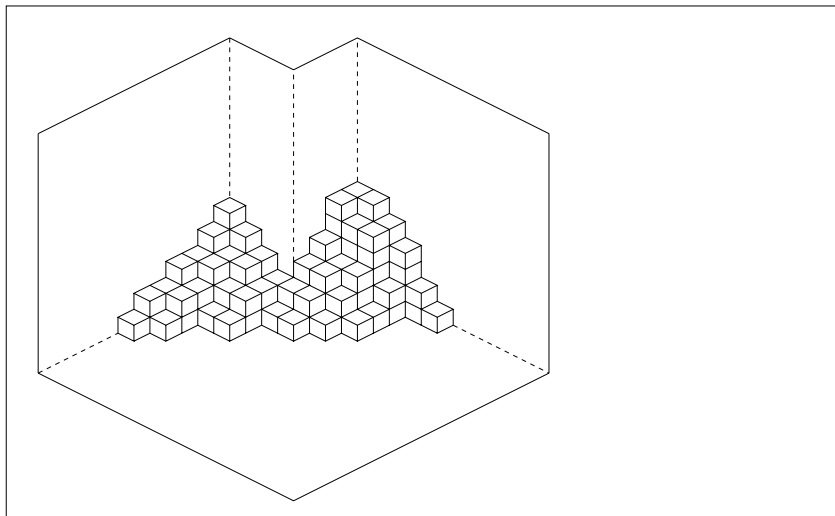
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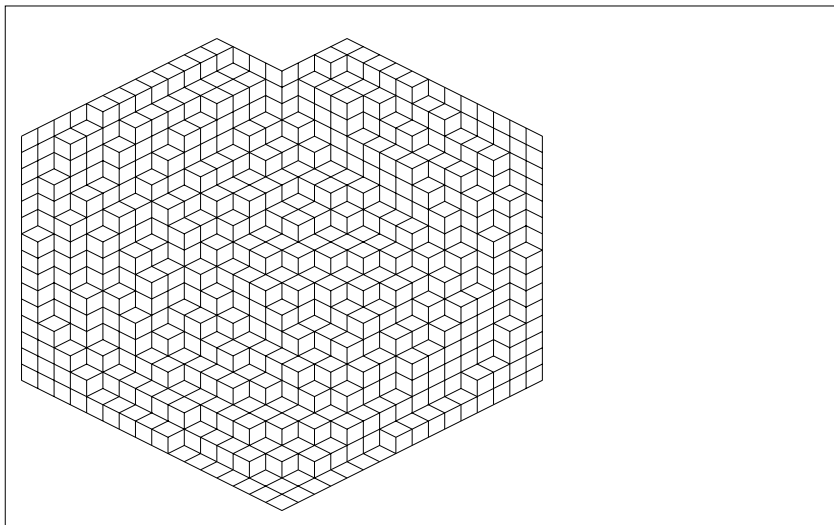
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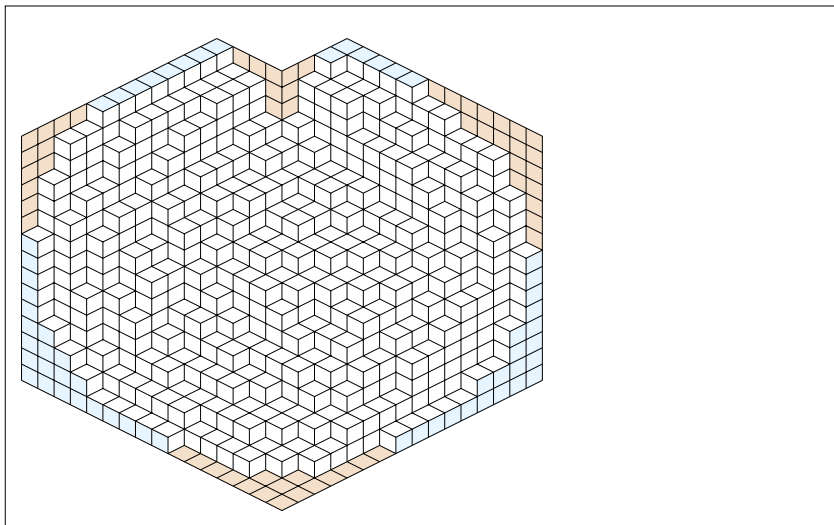
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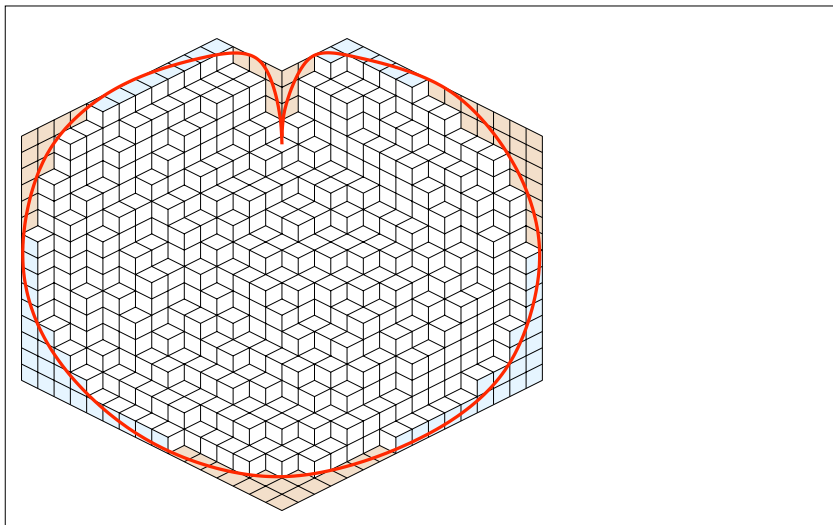
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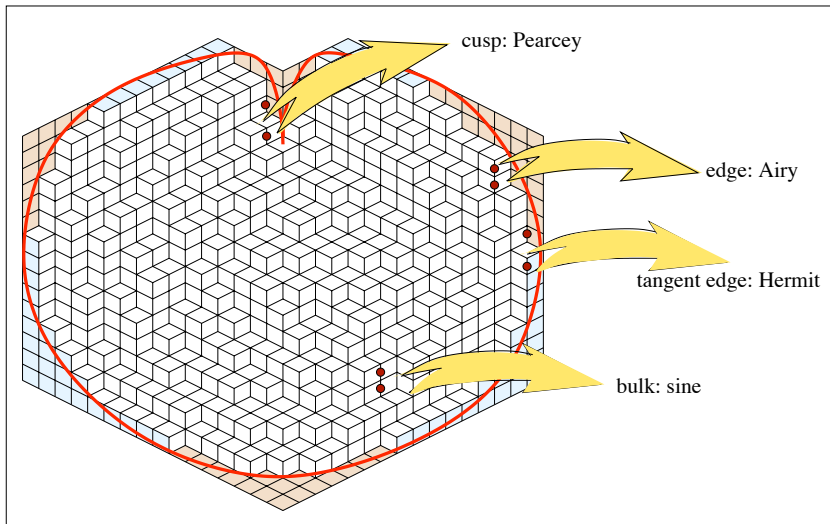


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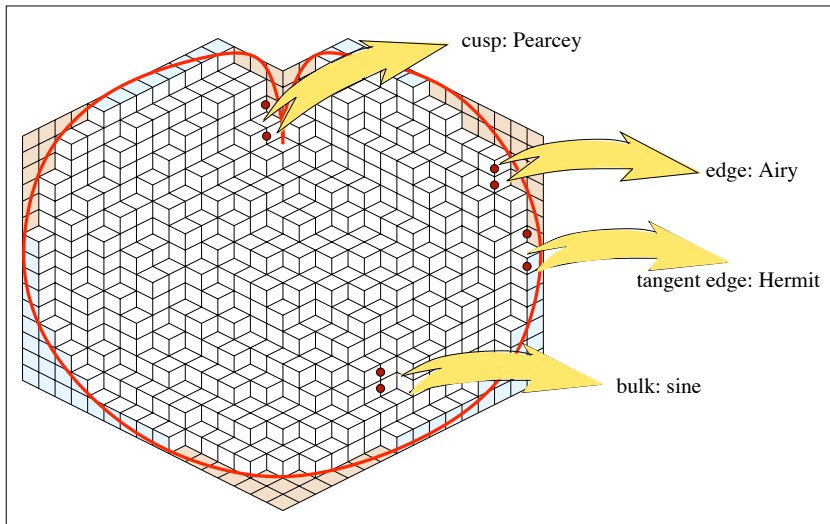


# Introduction



In all those **limits**: statistics of cubes  $\sim$  random matrix eigenvalues statistics.

# Introduction



Question: is there a **matrix model** whose eigenvalues statistics = statistics of cubes ? **before any limit** ?

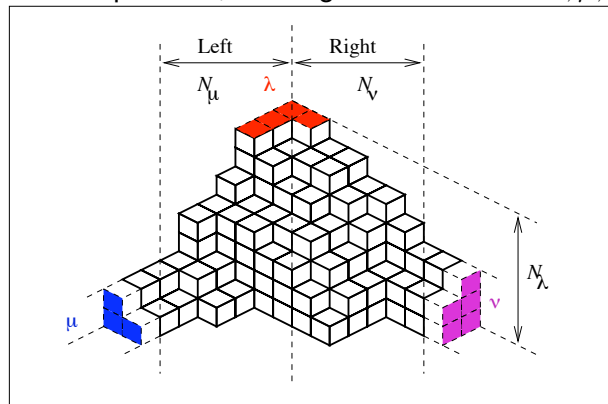


## Outline:

- Plane partitions, tilings and TASEP
- Rewriting as a matrix integral
- Tools available for matrix models  
Orthogonal polynomials, determinantal formulae, integrability, loop equations.
- topological expansion of the matrix model  
Large size asymptotics, liquid region.
- Examples  
Tiling the hexagon, the cardioid, TSSCPPs.
- Conclusion

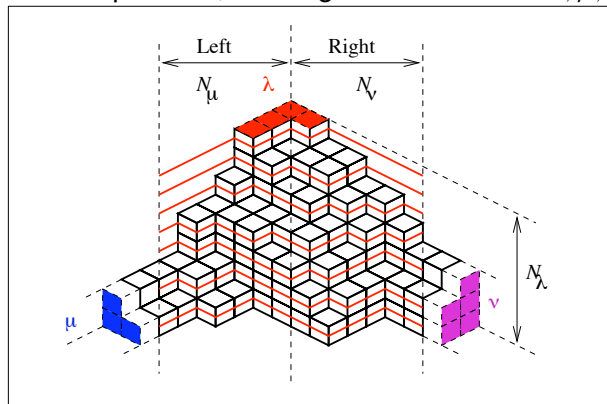
# Plane partitions

- Plane partition, with 3 given boundaries  $\lambda, \mu, \nu$ :



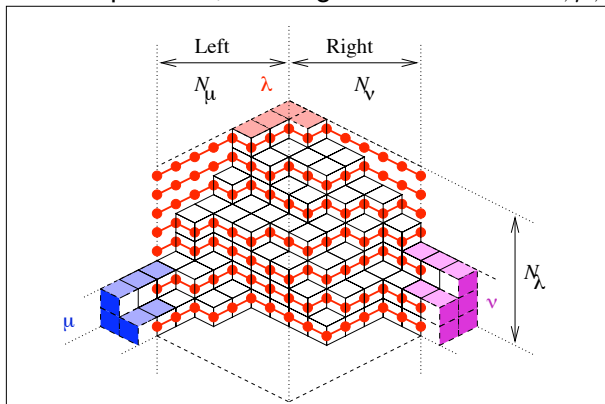
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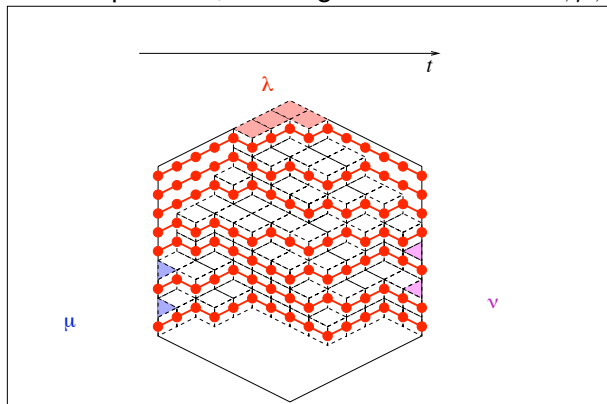
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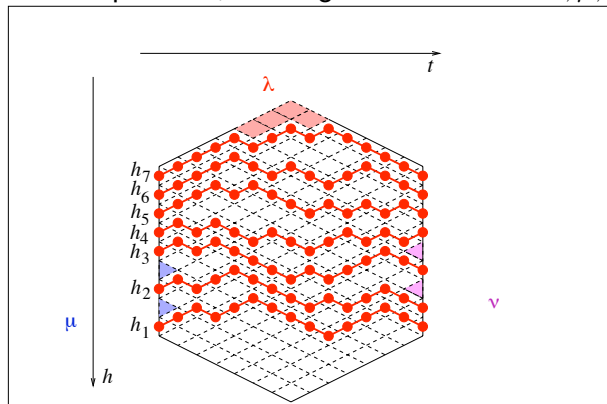
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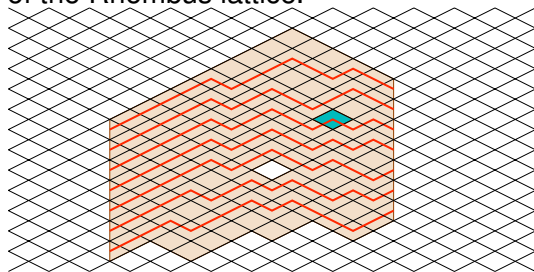
=  $N$  self avoiding particles moving in a given region of the Rhombus lattice.

$$h_i(t), i = 1, \dots, N, h_i(t) - \frac{t}{2} \in \mathbb{Z}, \quad h_i(t+1) = h_i(t) \pm \frac{1}{2},$$
$$h_1(t) > h_2(t) > h_3(t) > \dots > h_N(t).$$



# Generalization

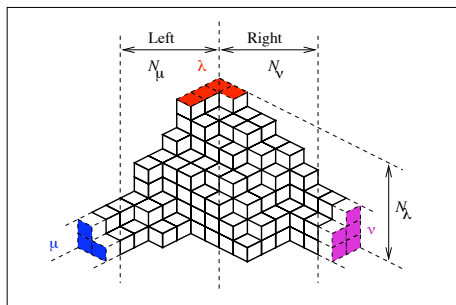
$N$  self avoiding particles moving in a given arbitrary domain  $\mathcal{D}$  of the Rhombus lattice.



$$\begin{aligned}h_i(t), i = 1, \dots, N, h_i(t) - \frac{t}{2} \in \mathbb{Z}, \\h_1(t) > h_2(t) > h_3(t) > \dots > h_N(t), \\h_i(t+1) = h_i(t) + \frac{1}{2} \text{ with proba } \alpha(t + \frac{1}{2}) \\h_i(t+1) = h_i(t) - \frac{1}{2} \text{ with proba } \beta(t + \frac{1}{2})\end{aligned}$$

Possibility of having forbidden places, obliged places, non flat landscape, jumps other than  $\pm \frac{1}{2}, \dots$

# Partition function



Plane partitions:

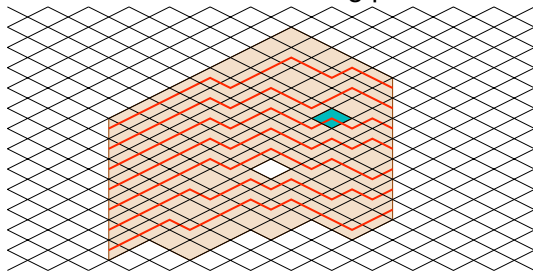
$$Z_{N_\lambda, N_\mu, N_\nu}(\lambda, \mu, \nu) = \sum_{\pi, \partial\pi = (\lambda, \mu, \nu)} q^{|\pi|}$$

Example, Mac-Mahon formula  $N_\lambda = N_\mu = N_\nu = \infty$ ,  $\lambda, \mu, \nu = \emptyset$ :

$$Z = \sum_{\pi} q^{|\pi|} = \prod_{k=1}^{\infty} (1 - q^k)^{-k} = 1 + q + 3q^2 + 6q^3 + 13q^4 + \dots$$

# Partition function, TASEP

Generalization self-avoiding process in a domain  $\mathcal{D}$ :



$$Z = \sum_{h_1(t) > \dots > h_N(t)} \prod_{t=t_{\min}}^{t_{\max}-1} \prod_{i=1}^N e^{-V_t(h_i(t))} q^{h_i(t)}$$
$$\prod_{t'} \prod_i \left( \alpha(t') \delta_{h_i(t'+\frac{1}{2}), h_i(t'-\frac{1}{2})+\frac{1}{2}} + \beta(t') \delta_{h_i(t'+\frac{1}{2}), h_i(t'-\frac{1}{2})-\frac{1}{2}} \right)$$

# Transformation into a matrix integral

Idea:

- **Gessel-Viennot:**  $\sum_{h_1(t) > \dots > h_N(t)} \prod_i \text{paths} = \sum_{h_i(t)} \det(\text{paths})$ .
- **Fourier transform  $\delta$ -functions:**
- **Harish Chandra-Itzykson-Zuber:**  $H = \text{diag}(h_i)$ ,  $R = \text{diag}(r_i)$
- **Matrices:**  $M(t) = U H(t) U^\dagger$ ,  $\Delta(H(t))^2 dH(t) dU = dM(t)$ ,  
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# Matrix integral

We end up with

$$Z = \frac{\Delta(H_{t_{\max}})}{\Delta(H_{t_{\min}})} \int \prod_{t=t_{\min}}^{t_{\max}-1} dM(t) e^{-\text{Tr } V_t(M(t))} q^{\text{Tr } M(t)}$$
$$\int \prod_{t'=t_{\min}+\frac{1}{2}}^{t_{\max}-\frac{1}{2}} d\tilde{M}(t') e^{-\text{Tr } \tilde{V}_{t'}(\tilde{M}(t'))} e^{\text{Tr } \tilde{M}(t') (M(t'+\frac{1}{2})-M(t'-\frac{1}{2}))}$$

The potentials  $V_t(h)$  encode the domain, and landscape weight.

The potentials  $\tilde{V}_{t'}$  encode the jumps:

$$e^{-\tilde{V}_{t'}(x)} = (\alpha(t')e^{-\frac{x}{2}} + \beta(t')e^{\frac{x}{2}}).$$

The eigenvalues of  $M(t)$  are  $h_i(t)$  = position of the  $i^{\text{th}}$  particle at time  $t$ .

# Summary matrix model

## Theorem

*The "lozenge tiling/plane partitions/particle process" generating function  $Z$ , is a matrix integral.*

→ **Chain of matrices**, with  $2(t_{\max} - t_{\min}) + 1$  matrices.

Summary:

- **matrices**  $M(t)$ ,  $t \in \mathbb{Z}$ : eigenvalues  $h_i(t)$  = particles, potential  $e^{-V_t(h)}$  characterizes the domain+landscape.
- **matrices**  $\tilde{M}(t')$ ,  $t' \in \mathbb{Z} + \frac{1}{2}$ , eigenvalues  $r_i(t')$  = Lagrange multipliers for jumps, potential  $e^{-\tilde{V}_{t'}(r)} = \alpha(t')e^{-r/2} + \beta(t')e^{r/2}$ .
- **Angular parts** = Fourier transform of Gessel-Viennot → HCIZ.

# Generalities: Chain of matrices

Consider a general chain of matrices:

$$Z = \int dM_1 \dots dM_k e^{-Q \text{Tr} \sum_{i=1}^k V_i(M_i) - c_i M_i M_{i+1}}$$

- method of biorthogonal polynomials  $\rightarrow$  **determinantal formuale**. Correlation functions of eigenvalues are determinant of some Christoffel-Darboux kernel [E., Mehta 1997].
- **Integrability**  $\rightarrow$   $Z$  =tau-function, Hirota equation, various pde's.
- method of loop equations  $\rightarrow$  **topological expansion**  
 $\ln Z = \sum_{g=0}^{\infty} Q^{2-2g} F_g.$
- Matrix laws **universal limits** = Bergman, Sine, Tracy-Widom (= (1, 2)), Pearcey, Hermit,  $(p, q)$  conformal laws,...

# Generalities: Loop equations

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Assume it has a **topological expansion**:

$$\ln Z = \sum_{g=0}^{\infty} Q^{2-2g} F_g.$$

$$W_n(x_1, \dots, x_n) = \left\langle \text{Tr} \frac{1}{x_1 - M_1} \dots \text{Tr} \frac{1}{x_n - M_1} \right\rangle_c = \sum_g Q^{2-2g-n} W_n^{(g)}$$

then, by solving loop equations (=integration by parts  $\Rightarrow$  equations relating correlation functions) we get:

**Theorem (E.-Prats Ferrer 2008)**

*For every chain of matrices having a topological expansion, the  $W_n^{(g)}$ 's and  $F_g$ 's satisfy the "topological recursion".*

# Topological recursion

Define the recursion kernel:

$$H(x_0, x) = \frac{\int_x^{\bar{x}} W_2^{(0)}(x_0, x') dx'}{2(W_1^{(0)}(x) - W_1^{(0)}(\bar{x}))}$$

Then the **topological recursion** [E.-Orantin 2007] is:

$$W_{n+1}^{(g)}(x_0, \overbrace{x_1, \dots, x_n}^J) = \sum_i \operatorname{Res}_{x \rightarrow a_i} H(x_0, x) \left[ W_{n+2}^{(g-1)}(x, x, J) + \sum_{h=0}^g \sum_{I \subset J} W_{1+\#I}^{(h)}(x, I) W_{1+n-\#I}^{(g-h)}(x, J \setminus I) \right]$$

→ if one knows  $W_1^{(0)}(x)$  (called spectral curve  $\mathcal{S}$ ) and  $W_2^{(0)}$  (called Bergman kernel of  $\mathcal{S}$ ), then this recursion **easily computes every  $W_n^{(g)}$** .



# Symplectic invariants

The  $F_g = W_0^{(g)}$ 's are computed (for  $g \geq 2$ ) by:

$$F_g = W_0^{(g)} = \frac{1}{2-2g} \sum_i \operatorname{Res}_{x \rightarrow a_i} \Phi(x) W_1^{(g)} dx$$

where  $d\Phi/dx = W_1^{(0)}(x)$ .

+ more sophisticated expressions for  $F_0$  and  $F_1$ , see [E. Orantin 2007].

*Remark:*  $F_g$ 's and  $W_n^{(g)}$ 's can be computed for any function  $W_1^{(0)}(x)$ , related to any matrix model or not.

The  $F_g(\mathcal{S})$ 's and  $W_n^{(g)}$ 's are functionals of a **spectral curve**  $\mathcal{S} = \{W_1^{(0)}(x)\}$ .

# Symplectic invariants

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## Examples:

- $W_1^{(0)}(x) = \sqrt{x}$ , then  $W_n = \sum_g W_n^{(g)} = \det_{n \times n}(\text{Airy kernel})$ .
- $W_1^{(0)}(x) = tx\sqrt{x^2 - a^2}$ ,  $a^2 = \frac{2}{3}(1 - \sqrt{1 - 12t})$ , then  $F_g =$  enumerating **quadrangulations** of genus  $g$ .
- $W_1^{(0)}(x) = \sin(\sqrt{x})$ , then  $F_g = \text{Vol}(\mathcal{M}_g) =$  **Weil-Petersson**.
- $y = W_1^{(0)}(x)$ , solution of  $e^x = ye^{-y}$ , then  $W_n^{(g)} =$  gen. function of **Hurwitz numbers** of genus  $g$ .
- $y = W_1^{(0)}(x)$ , solution of  $0 = P(e^x, e^y) =$  mirror curve of some toric CY 3-fold  $\mathfrak{X}$ , then  $F_g =$  **Gromov – Witten** $_g(\mathfrak{X})$  (= [BKMP 2007] conjecture).
- + plenty of other examples...

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Some general properties of the invariants  $F_g(\mathcal{S})$ :  
( $\mathcal{S} = \{y(x)\}$ )

- **homogeneity** ( $g \geq 2$ ):  $F_g(\lambda\mathcal{S}) = \lambda^{2-2g} F_g(\mathcal{S})$ .
- **symplectic invariance**  $F_g(\{y(x) + \text{Ratl}(x)\}) = F_g(\{y(x)\})$ ,  
 $F_g(\{-x(y)\}) = F_g(\{y(x)\})$ ,  $F_g(\{\lambda y(x/\lambda)\}) = F_g(\{y(x)\})$ .
- **Special geometry** formulae for the derivatives  
 $\partial_t F_g = \oint_{t^*} W_1^{(g)}$ , where  $t^*$  =dual cycle to the form  $\partial_t y dx$ .
- **Commute with limits**:  $\lim F_g(\mathcal{S}) = F_g(\lim \mathcal{S})$ .
- **Integrability**:  $\tau = e^{\sum_g F_g} \Theta$  =Tau-function, satisfies Hirota.  
Determinantal formulae:  $W_n = \sum_g W_n^{(g)}$  =determinants.
- **modular properties**, ... etc

# Summary loop equations

## Summary of the loop equation method:

- If one knows the "spectral curve"

$$\mathcal{S} = W_1^{(0)}(x) = \lim_{Q \rightarrow 0} \left\langle \text{tr} \left( \frac{1}{x - M_1} \right) \right\rangle$$

- then:  $W_2^{(0)}$  = Bergman kernel of  $\mathcal{S}$  ( $\rightarrow$  heat equation on  $\mathcal{S}$ ).

- then:  $\ln Z = \sum_g Q^{2-2g} F_g(\mathcal{S})$ , where  $F_g(\mathcal{S})$  = symplectic invariants of  $\mathcal{S}$ .

- and the  $W_n^{(g)}$ 's satisfy the topological recursion:

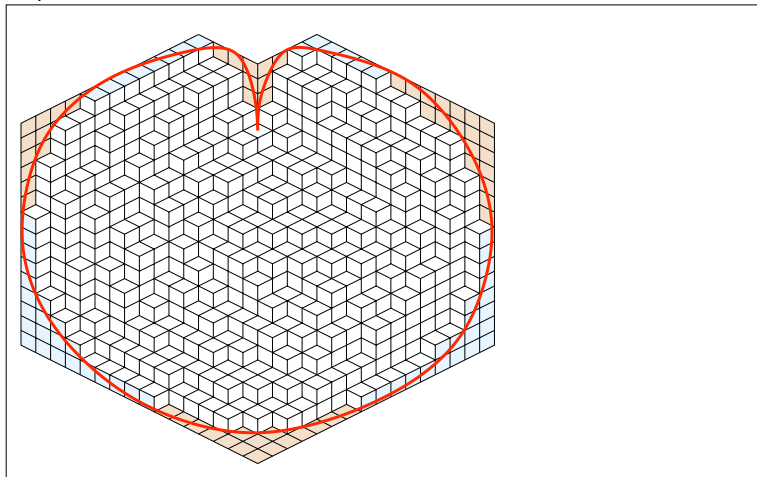
$$W_n(x_1, \dots, x_n) = \left\langle \text{Tr} \frac{1}{x_1 - M_1} \dots \text{Tr} \frac{1}{x_n - M_1} \right\rangle_c = \sum_g Q^{2-2g-n} W_n^{(g)}$$

$\rightarrow$  so, once  $W_1^{(0)}(x)$  is known, corrections to all orders can be easily computed.

# Spectral curve for plane-partitions and TASEP

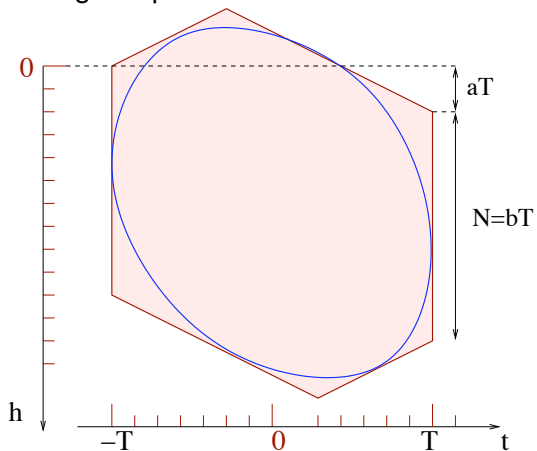
Result: Matrix model's spectral curve

$W_1^{(0)}(x) \leftrightarrow$  limit shape of [Kenyon-Okounkov-Sheffield]



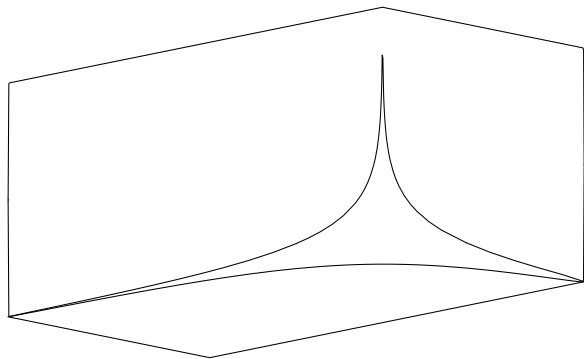
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Hexagon' spectral curve:



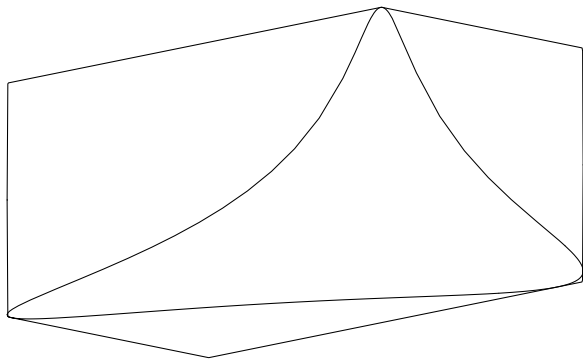
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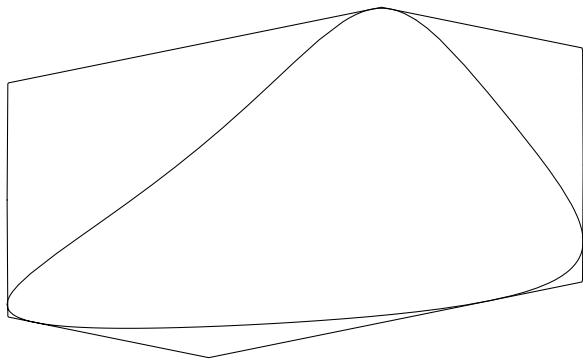
$$b = 2, a = 0.3, \quad q = 0.001$$

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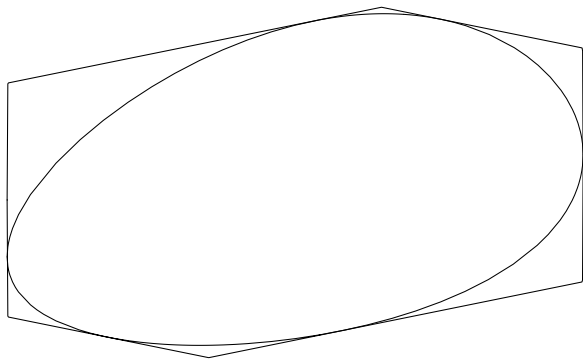
$$b = 2, a = 0.3, \quad q = 0.1$$

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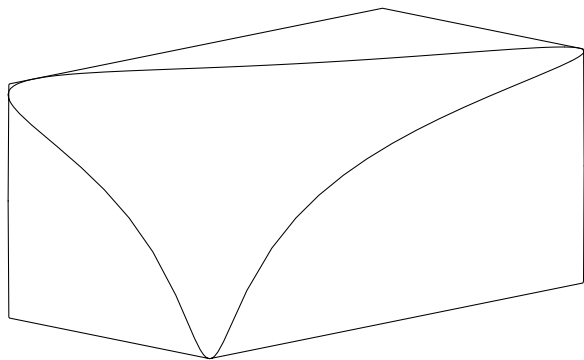
Hexagon' spectral curve:



$$b = 2, a = 0.3, \quad q = 0.8$$

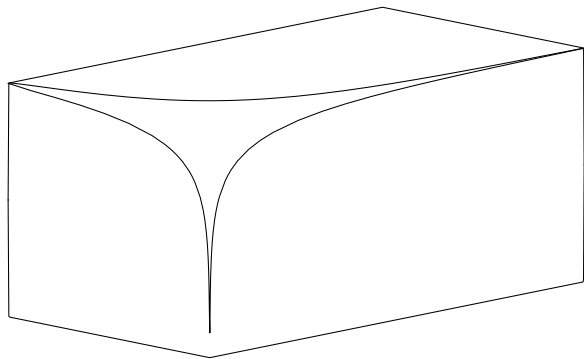


Hexagon' spectral curve:



$$b = 2, a = 0.3, \quad q = 10$$

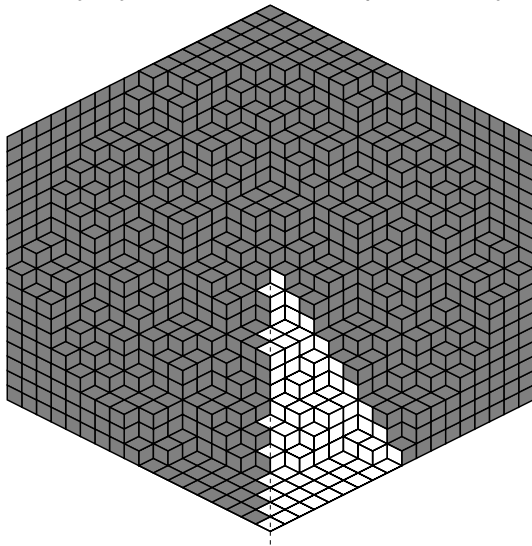
Hexagon' spectral curve:



$$b = 2, a = 0.3, \quad q = 1000$$

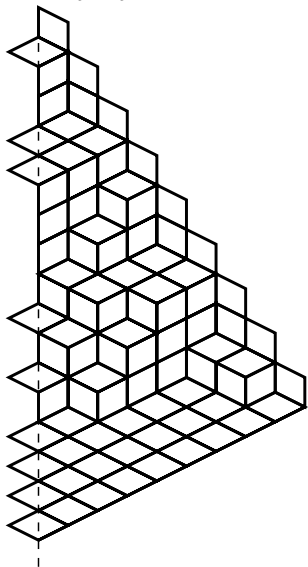
# Example: TSSCPP's

Totally Symmetric Self Complementary Plane Partitions



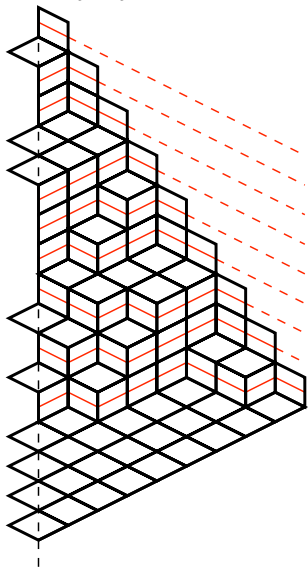
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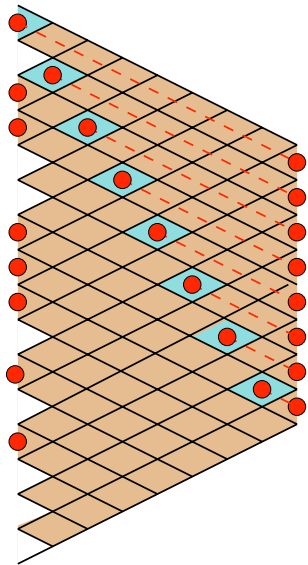
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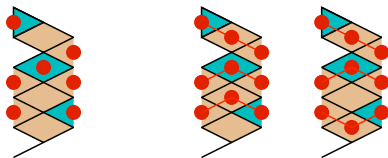


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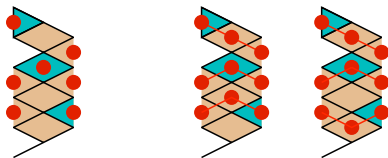
Example:  $N = 3$

$$Z_3(1^2) = \frac{1}{144} \int dM_1 dM_2 d\tilde{M}_{3/2} d\tilde{M}_{5/2} e^{-\text{tr}(V_1(M_1))} q^{\text{tr}(M_1+M_2+M_3)} \\ e^{-\text{tr}(\tilde{V}_{3/2}(\tilde{M}_{3/2})+\tilde{V}_{5/2}(\tilde{M}_{5/2}))} e^{\text{tr}(\tilde{M}_{3/2}(M_2-M_1)+\tilde{M}_{5/2}(M_3-M_2))} \\ \text{tr}(P_2(M_2))$$

$$M_3 = \text{diag}(2, 3, 4), \quad P_2(x) = \frac{1}{2}(x - \frac{3}{2})(x - \frac{7}{2})(x - \frac{9}{2})$$

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# Conclusion

- **General method**: tiling problem  $\rightarrow$  matrix model
- saying that **limit laws** of plane partitions = matrix models limit laws, is a truism.
- possibility to use the huge **matrix models toolbox**: orthogonal polynomials, integrability, loop equations, ...
- **loop equations**  $\rightarrow$  possibility to use the "**topological recursion**" to find the asymptotic expansion (large size, or small  $\ln q$ ), **to ALL ORDERS**.
- Possible application: **Gromov-Witten invariants** of toric CY 3-folds, [BKMP 2007] conjecture ("remodelling the B-model"): *The Gromov-Witten invariants do satisfy the topological recursion ?*