

High energy hadronic interactions in QCD and applications to heavy ion collisions

IV – Saturation and the Color Glass Condensate

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General outline

BFKL equation (and a bit more)

Parton saturation

Balitsky-Kovchegov equation

Color Glass Condensate

Reaction-diffusion processes

Pomeron loops

- **Lecture I** : Introduction and phenomenology
- **Lecture II** : Lessons from Deep Inelastic Scattering
- **Lecture III** : QCD on the light-cone
- **Lecture IV** : Saturation and the Color Glass Condensate
- **Lecture V** : Calculating observables in the CGC



Lecture IV : Saturation and CGC

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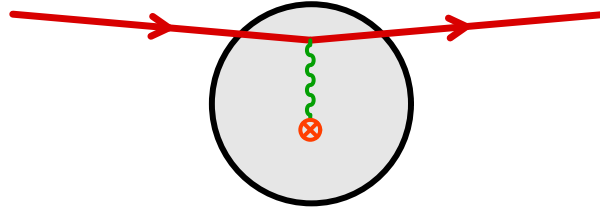
Reaction-diffusion processes

Pomeron loops

- BFKL equation
- Saturation of parton distributions
- Balitsky-Kovchegov equation
- Color Glass Condensate - JIMWLK
- Analogies with reaction-diffusion processes
- Pomeron loops

High energy scattering

- Consider the following scattering process :



- Reminder : the high energy limit of the scattering amplitude $S_{\beta\alpha}$ can be written as :

$$\begin{aligned}
 S_{\beta\alpha}^{(\infty)} &\equiv \lim_{\omega \rightarrow +\infty} \langle \beta_{\text{in}} | e^{i\omega K^-} U(+\infty, -\infty) e^{-i\omega K^-} | \alpha_{\text{in}} \rangle \\
 &= \langle \beta_{\text{in}} | U_0(+\infty, 0) F U_0(0, -\infty) | \alpha_{\text{in}} \rangle
 \end{aligned}$$

with $F \equiv \exp ig \int_{\vec{x}_\perp} \chi(\vec{x}_\perp) \rho(\vec{x}_\perp)$, and :

$$\chi(\vec{x}_\perp) \equiv \int dx^+ \mathcal{A}^-(x^+, 0, \vec{x}_\perp)$$

$$\rho(\vec{x}_\perp) \equiv \int dx^- J^+(0, x^-, \vec{x}_\perp)$$



High energy scattering

BFKL equation (and a bit more)

● High energy scattering

● Scattering of a dipole

● Virtual corrections

● Real corrections

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- Introduce two complete sets of intermediate states :

$$S_{\beta\alpha}^{(\infty)} = \sum_{\delta,\gamma} \int \left[\prod_{i \in \delta} d\Phi_i \prod_{j \in \gamma} d\Phi_j \right] \langle \beta_{\text{in}} | U_0(+\infty, 0) | \gamma_{\text{in}} \rangle \\ \times \langle \gamma_{\text{in}} | \mathbf{F} | \delta_{\text{in}} \rangle \langle \delta_{\text{in}} | U_0(0, -\infty) | \alpha_{\text{in}} \rangle$$

- Instead of labelling the intermediate states by their variables k^+ , \vec{k}_\perp , use the transverse coordinate \vec{x}_\perp conjugate to \vec{k}_\perp :

$$d\Phi \equiv \frac{dk^+}{4\pi k^+} d^2 \vec{x}_\perp$$

- In terms of these variables, the factor $\langle \delta_{\text{in}} | U_0(0, -\infty) | \alpha_{\text{in}} \rangle$ is the term of light-cone wave function of α that corresponds to δ . Let us denote :

$$\Psi_{\delta\alpha}(\{k_i^+, \vec{x}_{i\perp}\}) \equiv \langle \delta_{\text{in}} | U_0(0, -\infty) | \alpha_{\text{in}} \rangle \\ \Psi_{\gamma\beta}^\dagger(\{k_i^{+'}, \vec{x}'_{i\perp}\}) \equiv \langle \beta_{\text{in}} | U_0(+\infty, 0) | \gamma_{\text{in}} \rangle$$



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- We have seen that the number and the nature of the particles is unchanged under the action of the operator F . Moreover, in terms of the transverse coordinates, we simply have

$$\langle \gamma_{\text{in}} | F | \delta_{\text{in}} \rangle = \delta_{NN'} \prod_i \left[4\pi k_i^+ \delta(k_i^+ - k_i^{+'}) \delta(\vec{x}_{i\perp} - \vec{x}'_{i\perp}) U_{R_i}(\vec{x}_{i\perp}) \right]$$

where $U_R(\vec{x}_\perp)$ is a Wilson line operator, in the representation R appropriate for the particle going through the target

- In other words, **the states δ and γ must be identical**, except for the color index of the particles they contain (not written explicitly)
- Therefore, the high energy scattering amplitude can be written as :

$$S_{\beta\alpha}^{(\infty)} = \sum_{\delta} \int \left[\prod_{i \in \delta} d\Phi_i \right] \Psi_{\delta\beta}^{\dagger}(\{k_i^+, \vec{x}_{i\perp}\}) \left[\prod_{i \in \delta} U_{R_i}(\vec{x}_{i\perp}) \right] \Psi_{\delta\alpha}(\{k_i^+, \vec{x}_{i\perp}\})$$

Scattering of a dipole

BFKL equation (and a bit more)

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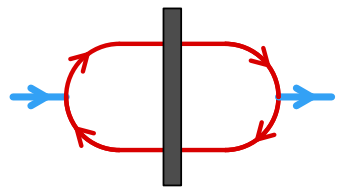
Balitsky-Kovchegov equation

Color Glass Condensate

Reaction-diffusion processes

Pomeron loops

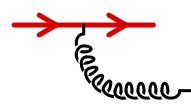
- Assume that the initial and final states α and β are a **color singlet** $Q\bar{Q}$ dipole. The simplest Fock state that contributes to their wave function is a $Q\bar{Q}$ pair, and the bare scattering amplitude can be written as :



$$\propto \Psi_{ij}^{(0)*}(\vec{x}_\perp, \vec{y}_\perp) \Psi_{kl}^{(0)}(\vec{x}_\perp, \vec{y}_\perp) U_{ik}(\vec{x}_\perp) U_{lj}^\dagger(\vec{y}_\perp)$$

$$\propto \left| \Psi^{(0)}(\vec{x}_\perp, \vec{y}_\perp) \right|^2 \text{tr} \left[U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp) \right]$$

- It turns out that 1-loop corrections to this contribution are enhanced by $\alpha_s \log(p^+)$, which can be large when the quark or antiquark has a large p^+
- In the gauge $A^+ = 0$, the emission of a gluon of momentum k by a quark can be written as :



$$= 2gt^a \frac{\vec{\epsilon}_\lambda \cdot \vec{k}_\perp}{k_\perp^2}$$

Scattering of a dipole

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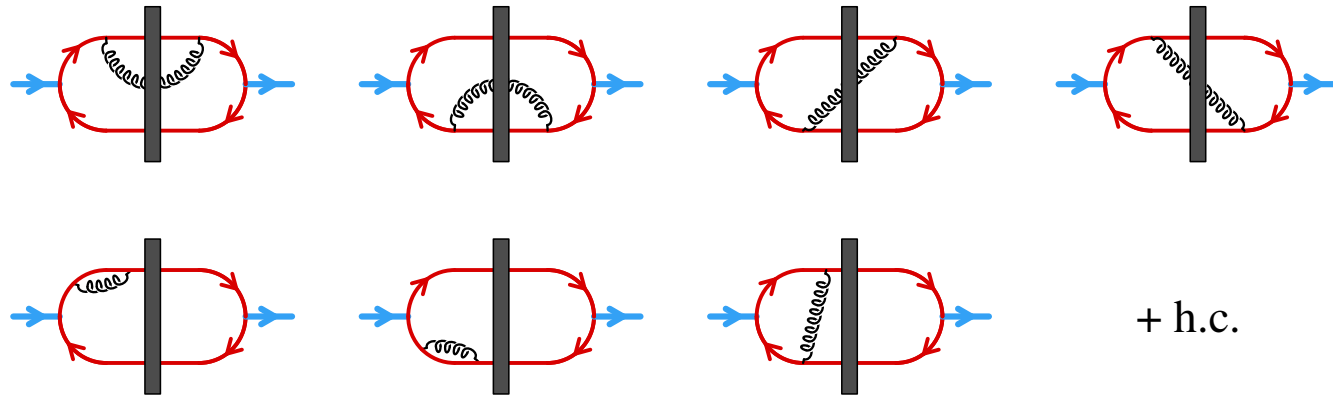
Reaction-diffusion processes

Pomeron loops

- In coordinate space, this reads :

$$\int \frac{d^2 \vec{k}_\perp}{(2\pi)^2} e^{i \vec{k}_\perp \cdot (\vec{x}_\perp - \vec{z}_\perp)} 2g t^a \frac{\vec{\epsilon}_\lambda \cdot \vec{k}_\perp}{k_\perp^2} = \frac{2ig}{2\pi} t^a \frac{\vec{\epsilon}_\lambda \cdot (\vec{x}_\perp - \vec{z}_\perp)}{(\vec{x}_\perp - \vec{z}_\perp)^2}$$

- The following diagrams must be evaluated :



- When connecting two gluons, one must use :

$$\sum_\lambda \vec{\epsilon}_\lambda^i \vec{\epsilon}_\lambda^j = -g^{ij}$$

Virtual corrections

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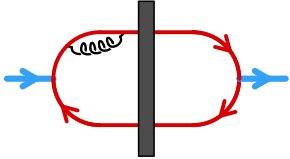
Balitsky-Kovchegov equation

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Reaction-diffusion processes

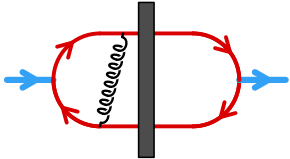
Pomeron loops

- Consider first the loop corrections inside the wavefunction of the incoming or outgoing dipole
- Examples :



$$= \left| \Psi^{(0)}(\vec{x}_\perp, \vec{y}_\perp) \right|^2 \text{tr} \left[t^a t^a U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp) \right]$$

$$\times -2\alpha_s \int \frac{dk^+}{k^+} \int \frac{d^2 \vec{z}_\perp}{(2\pi)^2} \frac{(\vec{x}_\perp - \vec{z}_\perp) \cdot (\vec{x}_\perp - \vec{z}_\perp)}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{x}_\perp - \vec{z}_\perp)^2}$$



$$= \left| \Psi^{(0)}(\vec{x}_\perp, \vec{y}_\perp) \right|^2 \text{tr} \left[t^a U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp) t^a \right]$$

$$\times 4\alpha_s \int \frac{dk^+}{k^+} \int \frac{d^2 \vec{z}_\perp}{(2\pi)^2} \frac{(\vec{x}_\perp - \vec{z}_\perp) \cdot (\vec{y}_\perp - \vec{z}_\perp)}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2}$$

- Reminder : $t^a t^a = (N_c^2 - 1)/2N_c \equiv C_F$

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- The sum of all virtual corrections is :

$$-\frac{C_F \alpha_s}{\pi^2} \int \frac{dk^+}{k^+} \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{y}_\perp)^2}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \times \left| \Psi^{(0)}(\vec{x}_\perp, \vec{y}_\perp) \right|^2 \text{tr} \left[U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp) \right]$$

- The integral over k^+ is divergent. It should have an upper bound at p^+ :

$$\int^{p^+} \frac{dk^+}{k^+} = \ln(p^+) = Y$$

▷ When Y is large, $\alpha_s Y$ may not be small. By differentiating with respect to Y , we will get an evolution equation in Y whose solution resums all the powers $(\alpha_s Y)^n$

- The integral over \vec{z}_\perp is divergent when $\vec{z}_\perp = \vec{x}_\perp$ or \vec{y}_\perp

Real corrections

BFKL equation (and a bit more)

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● Real corrections

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Parton saturation

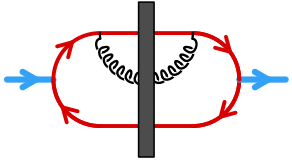
Balitsky-Kovchegov equation

Color Glass Condensate

Reaction-diffusion processes

Pomeron loops

- There are also real corrections, for which the state that interacts with the target has an extra gluon
- Example :



$$= \left| \Psi^{(0)}(\vec{x}_\perp, \vec{y}_\perp) \right|^2 \text{tr} \left[t^a U(\vec{x}_\perp) t^b U^\dagger(\vec{y}_\perp) \right]$$

$$\times 4\alpha_s \int \frac{dk^+}{k^+} \int \frac{d^2 \vec{z}_\perp}{(2\pi)^2} \tilde{U}_{ab}(\vec{z}_\perp) \frac{(\vec{x}_\perp - \vec{z}_\perp) \cdot (\vec{x}_\perp - \vec{z}_\perp)}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{x}_\perp - \vec{z}_\perp)^2}$$

- ◆ $\tilde{U}_{ab}(\vec{z}_\perp)$ is a Wilson line in the adjoint representation
- In order to simplify the color structure, first notice that :

$$t^a \tilde{U}_{ab}(\vec{z}_\perp) = U(\vec{z}_\perp) t^b U^\dagger(\vec{z}_\perp)$$

- Then use the $SU(N_c)$ Fierz identity :

$$t_{ij}^b t_{kl}^b = \frac{1}{2} \delta_{il} \delta_{jk} - \frac{1}{2N_c} \delta_{ij} \delta_{kl}$$



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- The Wilson lines can be rearranged into :

$$\text{tr} \left[t^a U(\vec{x}_\perp) t^b U^\dagger(\vec{y}_\perp) \right] \tilde{U}_{ab}(\vec{z}_\perp) = \frac{1}{2} \text{tr} \left[U^\dagger(\vec{z}_\perp) U(\vec{x}_\perp) \right] \text{tr} \left[U(\vec{z}_\perp) U^\dagger(\vec{y}_\perp) \right] - \frac{1}{2N_c} \text{tr} \left[U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp) \right]$$

- ◆ The term in $1/2N_c$ cancels against a similar term in the virtual contribution
 - ◆ All the real terms have the same color structure
- When we sum all the real terms, we generate the same kernel as in the virtual terms :

$$\frac{(\vec{x}_\perp - \vec{y}_\perp)^2}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2}$$

- In order to simplify the notations, let us denote :

$$S(\vec{x}_\perp, \vec{y}_\perp) \equiv \frac{1}{N_c} \text{tr} \left[U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp) \right]$$

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- The 1-loop scattering amplitude reads :

$$-\frac{\alpha_s N_c^2 Y}{2\pi^2} \left| \Psi^{(0)}(\vec{x}_\perp, \vec{y}_\perp) \right|^2 \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{y}_\perp)^2}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \times \left\{ \mathbf{S}(\vec{x}_\perp, \vec{y}_\perp) - \mathbf{S}(\vec{x}_\perp, \vec{z}_\perp) \mathbf{S}(\vec{z}_\perp, \vec{y}_\perp) \right\}$$

- Reminder: the bare scattering amplitude was :

$$\left| \Psi^{(0)}(\vec{x}_\perp, \vec{y}_\perp) \right|^2 N_c \mathbf{S}(\vec{x}_\perp, \vec{y}_\perp)$$

- Hence, we have :

$$\frac{\partial \mathbf{S}(\vec{x}_\perp, \vec{y}_\perp)}{\partial Y} = -\frac{\alpha_s N_c}{2\pi^2} \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{y}_\perp)^2}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \times \left\{ \mathbf{S}(\vec{x}_\perp, \vec{y}_\perp) - \mathbf{S}(\vec{x}_\perp, \vec{z}_\perp) \mathbf{S}(\vec{z}_\perp, \vec{y}_\perp) \right\}$$

- ◆ since $\mathbf{S}(\vec{x}_\perp, \vec{x}_\perp) = 1$, the integral over \vec{z}_\perp is now regular



BFKL equation

BFKL equation (and a bit more)

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Kuraev, Lipatov, Fadin (1977), Balitsky, Lipatov (1978)

- Actually, we've got more than we need : we must simplify this equation in order to obtain the BFKL equation...
- Write $S(\vec{x}_\perp, \vec{y}_\perp) \equiv 1 - T(\vec{x}_\perp, \vec{y}_\perp)$ and assume that we are in the dilute regime, so that the scattering amplitude T is small. Drop the terms that are non-linear in T :

$$\frac{\partial T(\vec{x}_\perp, \vec{y}_\perp)}{\partial Y} = \frac{\alpha_s N_c}{2\pi^2} \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{y}_\perp)^2}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \times \left\{ T(\vec{x}_\perp, \vec{z}_\perp) + T(\vec{z}_\perp, \vec{y}_\perp) - T(\vec{x}_\perp, \vec{y}_\perp) \right\}$$

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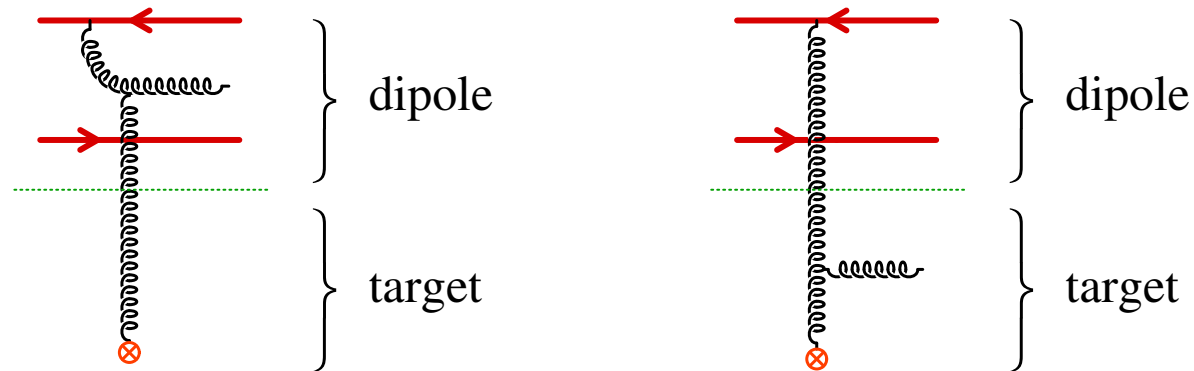
Balitsky-Kovchegov equation

Color Glass Condensate

Reaction-diffusion processes

Pomeron loops

- Note : $T(\vec{x}_\perp, \vec{y}_\perp)$ is independent on the frame. In particular, it depends only on the rapidity difference between the dipole and the target
 - ▷ in a frame where the dipole is held fixed, the target has to evolve in such a way as to reproduce the Y dependence of T



- The corresponding evolution in the target is the radiation of a gluon



Unitarity problem

BFKL equation (and a bit more)

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- The solution of this equation grows exponentially when $Y \rightarrow +\infty$ \triangleright serious unitarity problem...

- In perturbation theory, the forward scattering amplitude between a small dipole and a target made of gluons reads :

$$\mathbf{T}(\vec{x}_\perp, \vec{y}_\perp) \propto |\vec{x}_\perp - \vec{y}_\perp|^2 xG(x, |\vec{x}_\perp - \vec{y}_\perp|^{-2})$$

where $Y \equiv \ln(1/x)$

- Therefore, the exponential behavior of \mathbf{T} implies an increase of the gluon distribution at small x

$$\mathbf{T} \sim e^{\omega Y} \quad \longleftrightarrow \quad xG(x, Q^2) \sim \frac{1}{x^\delta}$$

Parton evolution under boosts

BFKL equation (and a bit more)

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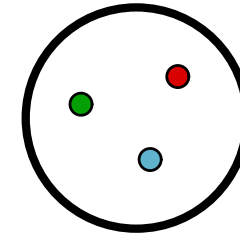
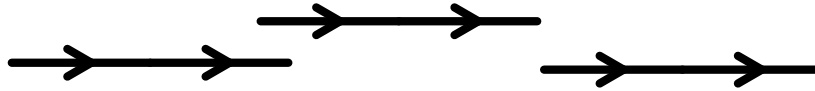
Parton saturation

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▷ at low energy, only valence quarks are present in the hadron wave function

Parton evolution under boosts

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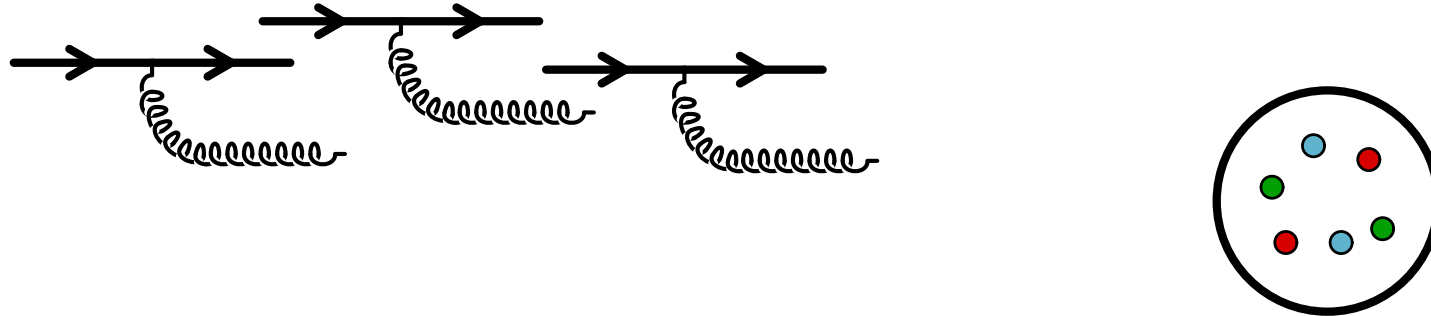
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- ▷ when energy increases, new partons are emitted
- ▷ the emission probability is $\alpha_s \int \frac{dx}{x} \sim \alpha_s \ln\left(\frac{1}{x}\right)$, with x the longitudinal momentum fraction of the gluon
- ▷ at small- x (i.e. high energy), these logs need to be resummed

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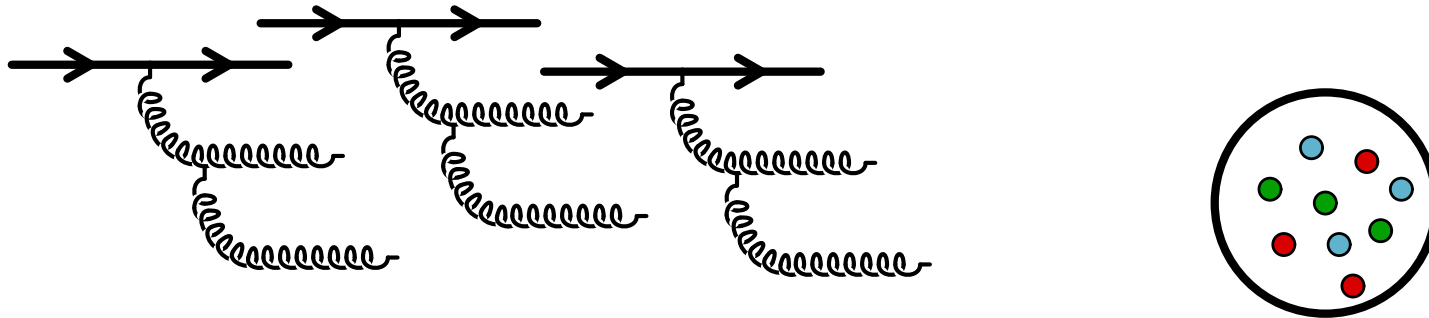
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▷ as long as the density of constituents remains small, the evolution is **linear**: the number of partons produced at a given step is proportional to the number of partons at the previous step

Parton recombination

BFKL equation (and a bit more)

Parton saturation

● Non linear evolution

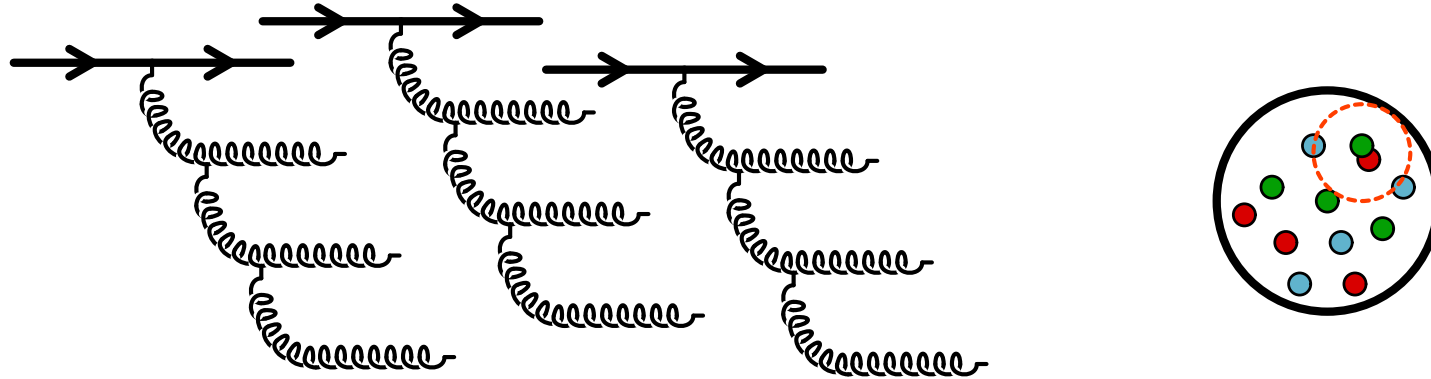
● Saturation criterion

Balitsky-Kovchegov equation

Color Glass Condensate

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▷ eventually, the partons start overlapping in phase-space

Parton recombination

BFKL equation (and a bit more)

Parton saturation

● Non linear evolution

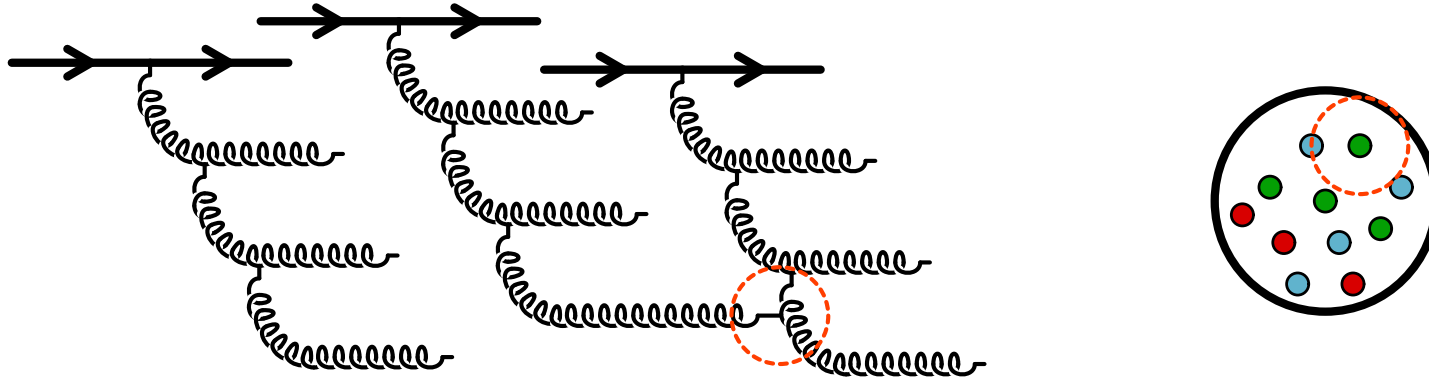
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- ▷ parton recombination becomes favorable
 - ▷ after this point, the evolution is **non-linear**:
the number of partons created at a given step depends non-linearly on the number of partons present previously
- Balitsky (1996), Kovchegov (1996,2000)
 Jalilian-Marian, Kovner, Leonidov, Weigert (1997,1999)
 Iancu, Leonidov, McLerran (2001)

Gribov, Levin, Ryskin (1983), Mueller, Qiu (1986)

- Number of partons per unit area:

$$\rho \sim \frac{xG(x, Q^2)}{\pi R^2}$$

- Recombination cross-section:

$$\sigma_{gg \rightarrow g} \sim \frac{\alpha_s}{Q^2}$$

- Recombination if $\rho \sigma_{gg \rightarrow g} \gtrsim 1$, or $Q^2 \lesssim Q_s^2$, with:

$$Q_s^2 \sim \frac{\alpha_s xG(x, Q_s^2)}{\pi R^2} \sim A^{1/3} \frac{1}{x^{0.3}}$$

- At saturation, the gluon phase-space density is:

$$\frac{dN_g}{d^2 \vec{x}_\perp d^2 \vec{p}_\perp} \sim \frac{\rho}{Q^2} \sim \frac{1}{\alpha_s}$$

Saturation domain

BFKL equation (and a bit more)

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● Non linear evolution

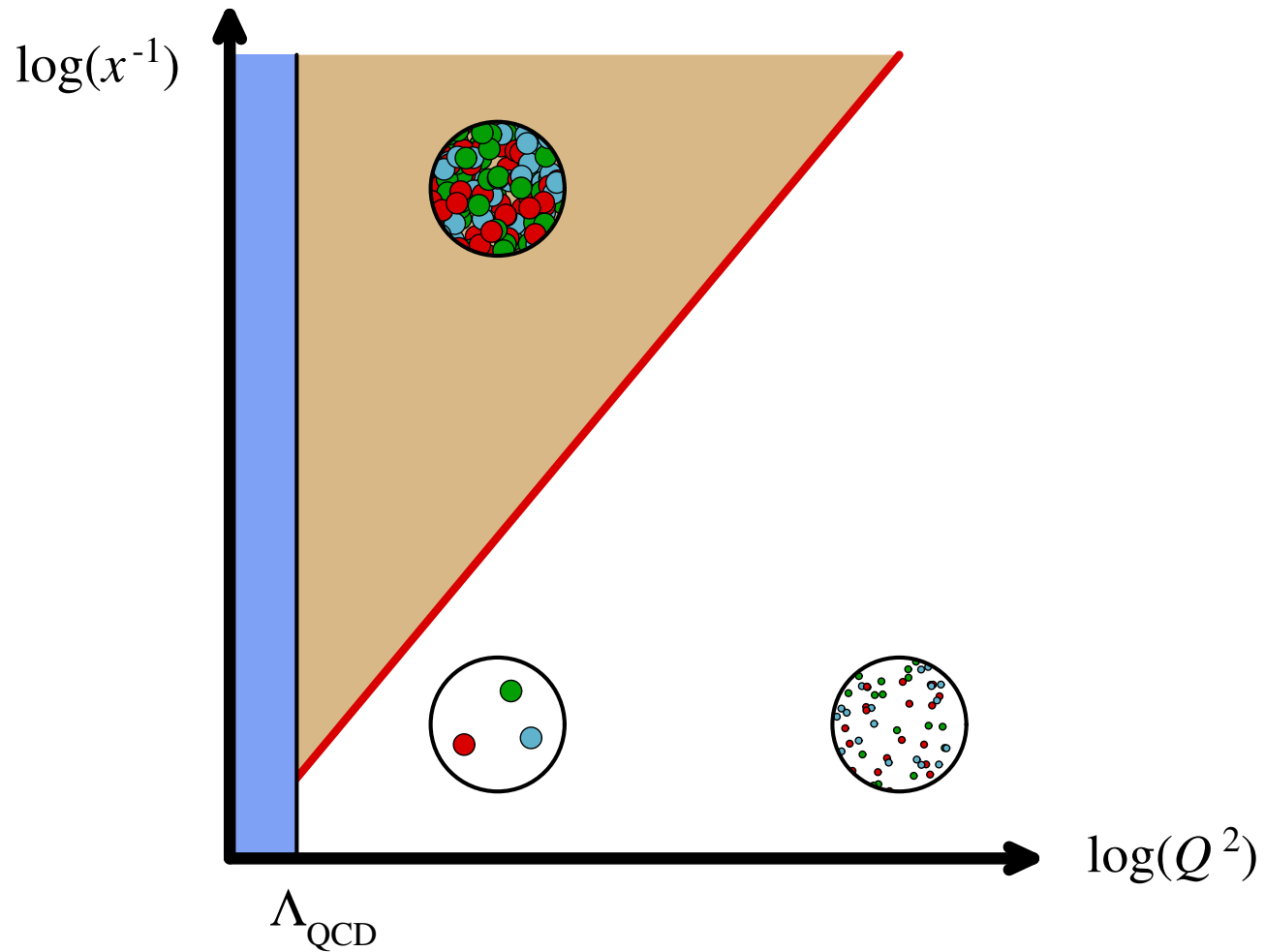
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- Boundary defined by $Q^2 = Q_s^2(x)$



Non-linear evolution equation

BFKL equation (and a bit more)

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Balitsky-Kovchegov equation

● Non-linear evolution equation

● Balitsky hierarchy

● Balitsky-Kovchegov equation

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- In fact, the first evolution equation we derived has a bounded solution. The BFKL equation has unbounded solutions because it is an approximation in which a term quadratic in T has been neglected. The full equation reads :

$$\frac{\partial T(\vec{x}_\perp, \vec{y}_\perp)}{\partial Y} = \frac{\alpha_s N_c}{2\pi^2} \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{y}_\perp)^2}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \times \left\{ T(\vec{x}_\perp, \vec{z}_\perp) + T(\vec{z}_\perp, \vec{y}_\perp) - T(\vec{x}_\perp, \vec{y}_\perp) - T(\vec{x}_\perp, \vec{z}_\perp) T(\vec{z}_\perp, \vec{y}_\perp) \right\}$$

(Balitsky-Kovchegov equation)

- The r.h.s. vanishes when T reaches 1, and the growth stops. The non-linear term lets both dipoles interact after the splitting of the original dipole
- Both $T = 0$ and $T = 1$ are fixed points of this equation
 - ◆ $T = \epsilon$: r.h.s. > 0 \triangleright $T = 0$ is unstable
 - ◆ $T = 1 - \epsilon$: r.h.s. > 0 \triangleright $T = 1$ is stable

BFKL equation (and a bit more)

Parton saturation

Balitsky-Kovchegov equation

● Non-linear evolution equation

● Balitsky hierarchy

● Balitsky-Kovchegov equation

Color Glass Condensate

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Pomeron loops

- So far, we have studied the scattering amplitude between a color dipole and a “god given” patch of color field. This is too crude to describe any realistic situation

- One can describe Deep Inelastic Scattering as an interaction between a dipole and the proton, but for that we need to improve the treatment of the target

- At high energy, the duration of the interaction between the dipole and the proton is short. Therefore, it is legitimate to treat the proton as a frozen configuration of color fields. But an experimentally measured cross-section is an **average over many collisions**, and there is no reason why these fields should be the same in different collisions



Balitsky hierarchy

BFKL equation (and a bit more)

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- Because of this average over the target configurations, the evolution equation we have derived should be written as :

$$\frac{\partial \langle \mathbf{T}(\vec{x}_\perp, \vec{y}_\perp) \rangle}{\partial Y} = \frac{\alpha_s N_c}{2\pi^2} \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{y}_\perp)^2}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \\ \times \left\{ \langle \mathbf{T}(\vec{x}_\perp, \vec{z}_\perp) \rangle + \langle \mathbf{T}(\vec{z}_\perp, \vec{y}_\perp) \rangle - \langle \mathbf{T}(\vec{x}_\perp, \vec{y}_\perp) \rangle - \langle \mathbf{T}(\vec{x}_\perp, \vec{z}_\perp) \mathbf{T}(\vec{z}_\perp, \vec{y}_\perp) \rangle \right\}$$

- As one can see, the equation is no longer a closed equation, since the equation for $\langle \mathbf{T} \rangle$ depends on a new object, $\langle \mathbf{T} \mathbf{T} \rangle$
- One can derive an evolution equation for $\langle \mathbf{T} \mathbf{T} \rangle$. Its right hand side contains objects with **six Wilson lines**
 - ◆ Unlike what happened previously, this combination of six Wilson lines simplifies into dipolar operators **only in the large N_c limit**
 - ◆ There is in fact an infinite hierarchy of nested evolution equations, whose generic structure is

$$\frac{\partial \langle (\mathbf{U} \mathbf{U}^\dagger)^n \rangle}{\partial Y} = \int \dots \langle (\mathbf{U} \mathbf{U}^\dagger)^n \rangle \oplus \langle (\mathbf{U} \mathbf{U}^\dagger)^{n+1} \rangle$$

Balitsky-Kovchegov equation

BFKL equation (and a bit more)

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- If one performs the large N_c approximation on all the equations of the Balitsky hierarchy, they can be rewritten in terms of the dipole operator $\mathbf{T} \equiv \text{tr}(UU^\dagger)$ only. But they still contain averages like $\langle \mathbf{T}^n \rangle$

- In order to truncate the hierarchy of equations, one may assume that

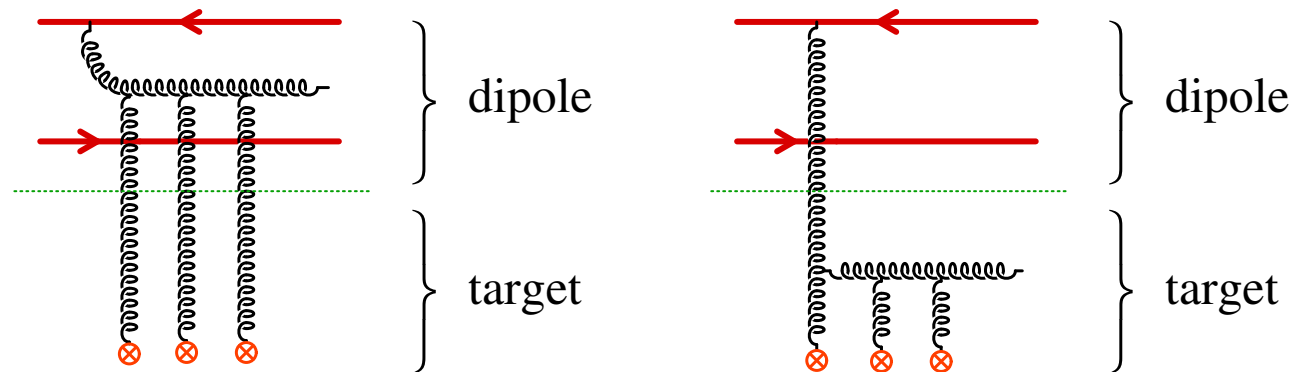
$$\langle \mathbf{T} \mathbf{T} \rangle \approx \langle \mathbf{T} \rangle \langle \mathbf{T} \rangle$$

- This approximation gives for $\langle \mathbf{T} \rangle$ the same evolution equation as the one we had for a fixed configuration of the target
- Moreover, it was shown by **Janik** that if the initial condition is factorized :

$$\langle \mathbf{T}_1 \cdots \mathbf{T}_n \rangle_{Y_0} = \langle \mathbf{T}_1 \rangle_{Y_0} \cdots \langle \mathbf{T}_n \rangle_{Y_0}$$

then the solution remains factorized at all $Y > Y_0$

- One may view the Color Glass Condensate as a **description centered on the target** of the physics contained in Balitsky's hierarchy
- In this “target-centric” description, we need to describe how the distribution of color fields in the target changes with rapidity
- In the non-linear regime, the gluon radiation in the target must be corrected by rescatterings in the field of the target :





Degrees of freedom and their interplay

[BFKL equation \(and a bit more\)](#)

[Parton saturation](#)

[Balitsky-Kovchegov equation](#)

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● Target average

● JIMWLK equation

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McLerran, Venugopalan (1994)

Iancu, Leonidov, McLerran (2001)

- Small- x modes have a large occupation number
 - ▷ they are described by a **classical color field** A^μ
- The classical field obeys Yang-Mills's equation:

$$[D_\nu, F^{\nu\mu}]_a = J_a^\mu$$

- The source term J_a^μ comes from the faster partons. The large- x modes, slowed down by time dilation, are described as **frozen color sources** ρ_a . Hence :

$$J_a^\mu = \delta^{\mu+} \delta(x^-) \rho_a(\vec{x}_\perp)$$

McLerran (mid 2000)

- **Color** : pretty much obvious...
- **Glass** : the system has degrees of freedom whose timescale is much larger than the typical timescales for interaction processes. Moreover, these degrees of freedom are stochastic variables, like in “spin glasses” for instance
- **Condensate** : the soft degrees of freedom are as densely packed as they can (the density remains finite, of order α_s^{-1} , due to the interactions between gluons)



Target average

BFKL equation (and a bit more)

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- The color sources ρ_a are **random**, and described by a **distribution functional** $W_Y[\rho]$, with $Y \equiv \ln(1/x_0)$, x_0 being the frontier between “small- x ” and “large- x ”
- The averaged dipole operator $\langle \mathbf{T} \rangle$ studied in the Balitsky-Kovchegov approach can be written as :

$$\langle \mathbf{T}(\vec{x}_\perp, \vec{y}_\perp) \rangle = \int [D\rho] W_Y[\rho] \left[1 - \frac{1}{N_c} \text{tr}(U(\vec{x}_\perp)U^\dagger(\vec{y}_\perp)) \right]$$

- Since in this description, all the evolution is placed inside the target, Y must in fact be the rapidity difference between the projectile and the target
- The Y dependence of $\langle \mathbf{T} \rangle$ will have to come from the Y dependence of $W_Y[\rho]$

JIMWLK evolution equation

Jalilian-Marian, Iancu, McLerran, Weigert, Leonidov, Kovner

- The distribution $W_Y[\rho]$ evolves with Y (more modes are included in W as x_0 decreases)
- In a high density environment, the newly created gluons can interact with all the sources already present
- The evolution is governed by a functional diffusion equation:

$$\frac{\partial W_Y[\rho]}{\partial Y} = \frac{1}{2} \int_{\vec{x}_\perp, \vec{y}_\perp} \frac{\delta}{\delta \rho_a(\vec{x}_\perp)} \chi_{ab}(\vec{x}_\perp, \vec{y}_\perp) \frac{\delta}{\delta \rho_b(\vec{y}_\perp)} W_Y[\rho]$$

with

$$\begin{aligned} \chi_{ab}(\vec{x}_\perp, \vec{y}_\perp) \equiv & \frac{\alpha_s}{4\pi^3} \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{z}_\perp) \cdot (\vec{y}_\perp - \vec{z}_\perp)}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \\ & \times \left[\left(1 - \tilde{U}^\dagger(\vec{x}_\perp) \tilde{U}(\vec{z}_\perp) \right) \left(1 - \tilde{U}^\dagger(\vec{z}_\perp) \tilde{U}(\vec{y}_\perp) \right) \right]_{ab} \end{aligned}$$

- ◆ \tilde{U} is a Wilson line in the adjoint representation, constructed from the gauge field A^+ such that $\nabla_\perp^2 A^+ = -\rho$

BFKL equation (and a bit more)

Parton saturation

Balitsky-Kovchegov equation

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- Sketch of a proof : exploit the frame independence in order to write :

$$\langle \mathcal{O} \rangle_Y = \int [D\rho] W_0[\rho] \mathcal{O}_Y[\rho] = \int [D\rho] W_Y[\rho] \mathcal{O}_0[\rho]$$

- The first formula leads to

$$\frac{\partial \langle \mathcal{O} \rangle_Y}{\partial Y} = \int [D\rho] W_0[\rho] \frac{\partial \mathcal{O}_Y[\rho]}{\partial Y}$$

- ◆ The derivative under the integral is determined by a method similar to the derivation of the Balitsky-Kovchegov equation, by attaching one extra gluon to the operator $\mathcal{O}_Y[\rho]$ in all the possible ways
- ◆ As pointed out by Mueller (2001), $\partial \mathcal{O}_Y[\rho] / \partial Y$ can be written as the action of an Hamiltonian on $\mathcal{O}_Y[\rho]$:

$$\frac{\partial \mathcal{O}_Y[\rho]}{\partial Y} = \mathcal{H} \left[\frac{\delta}{\delta \rho} \right] \mathcal{O}_Y[\rho]$$

JIMWLK evolution equation

- Then, one can write formally :

$$\mathcal{O}_Y[\rho] = \mathcal{U}(Y) \mathcal{O}_0[\rho]$$

with $d\mathcal{U}(Y)/dY = \mathcal{H} \mathcal{U}(Y)$ and $\mathcal{U}(0) = 1$

- From there, we get :

$$\langle \mathcal{O} \rangle_Y = \int [D\rho] W_0[\rho] \mathcal{U}(Y) \mathcal{O}_0[\rho] = \int [D\rho] \left[\mathcal{U}^\dagger(Y) W_0[\rho] \right] \mathcal{O}_0[\rho]$$

and we are led to identify :

$$W_Y[\rho] = \mathcal{U}^\dagger(Y) W_0[\rho]$$

- And finally :

$$\frac{\partial W_Y[\rho]}{\partial Y} = \left[\frac{d\mathcal{U}^\dagger(Y)}{dY} \mathcal{U}(Y) \right] \mathcal{U}^\dagger(Y) W_0[\rho] = \mathcal{H}_{JIMWLK} W_Y[\rho]$$

with $\mathcal{H}_{JIMWLK} = [d\mathcal{U}^\dagger(Y)/dY] \mathcal{U}(Y)$

Initial condition - MV model

BFKL equation (and a bit more)

Parton saturation

Balitsky-Kovchegov equation

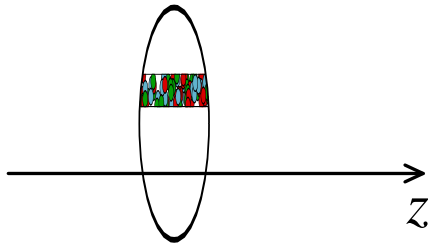
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- The JIMWLK equation must be completed by an initial condition, given at some moderate x_0
- As with DGLAP, the problem of finding the initial condition is in general non-perturbative
- The **McLerran-Venugopalan** model is often used as an initial condition at moderate x_0 for a **large nucleus** :



- ◆ partons distributed randomly
- ◆ many partons in a small tube
- ◆ no correlations at different \vec{x}_\perp

- The MV model assumes that the density of color charges $\rho(\vec{x}_\perp)$ has a **Gaussian** distribution :

$$W_{x_0}[\rho] = \exp \left[- \int d^2 \vec{x}_\perp \frac{\rho_a(\vec{x}_\perp) \rho_a(\vec{x}_\perp)}{2\mu^2(\vec{x}_\perp)} \right]$$

Color correlation length

[BFKL equation \(and a bit more\)](#)

[Parton saturation](#)

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Color Glass Condensate

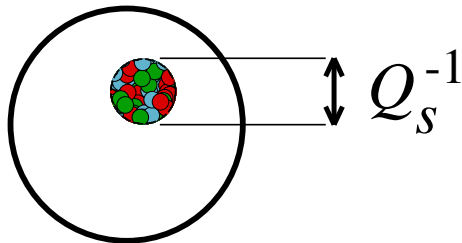
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● **Color correlation length**

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- In a nucleon at low energy, the typical correlation length among color charges is of the order of the nucleon size, i.e. $\Lambda_{QCD}^{-1} \sim 1 \text{ fm}$. This is because the typical color screening distance is Λ_{QCD}^{-1} . At low energy, color screening is due to confinement, and thus non-perturbative
- At high energy (small x), partons are much more densely packed, and it can be shown that color neutralization occurs in fact over distances of the order of $Q_s^{-1} \ll \Lambda_{QCD}^{-1}$



- This implies that all hadrons, and nuclei, behave in the same way at high energy. In this sense, the small x regime described by the CGC is universal

Munier, Peschanski (2003,2004)

- Assume rotation invariance, and define :

$$N(Y, k_{\perp}) \equiv 2\pi \int d^2 \vec{x}_{\perp} e^{i\vec{k}_{\perp} \cdot \vec{x}_{\perp}} \frac{\langle \mathbf{T}(0, \vec{x}_{\perp}) \rangle_Y}{x_{\perp}^2}$$

- From the Balitsky-Kovchegov equation for $\langle \mathbf{T} \rangle_Y$, we obtain the following equation for N :

$$\frac{\partial N(Y, k_{\perp})}{\partial Y} = \frac{\alpha_s N_c}{\pi} \left[\chi(-\partial_L) N(Y, k_{\perp}) - N^2(Y, k_{\perp}) \right]$$

with

$$L \equiv \ln(k^2/k_0^2)$$

$$\chi(\gamma) \equiv 2\psi(1) - \psi(\gamma) - \psi(1 - \gamma)$$

Analogy with reaction-diffusion

BFKL equation (and a bit more)

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● Traveling waves

● Geometrical scaling

Pomeron loops

- Expand the function $\chi(\gamma)$ to second order around its minimum $\gamma = 1/2$

- Introduce new variables :

$$t \sim Y$$

$$z \sim L + \frac{\alpha_s N_c}{2\pi} \chi''(1/2) Y$$

- The equation for N becomes :

$$\partial_t N = \partial_z^2 N + N - N^2$$

(known as the Fisher-Kolmogorov-Petrov-Piscounov equation)

Analogy with reaction-diffusion

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- **Interpretation** : this equation is typical for all the **diffusive systems** in which a **reaction** $A \longleftrightarrow A + A$ takes place
 - ◆ $\partial_z^2 N$: diffusion term (the quantity under consideration can hop from a site to the neighboring sites)
 - ◆ $+N$: gain term corresponding to $A \rightarrow A + A$
 - ◆ $-N^2$: loss term corresponding to $A + A \rightarrow A$

- **Note** : this equation has two fixed points :
 - ◆ $N = 0$: unstable
 - ◆ $N = 1$: stable

- The stable fixed point at $N = 1$ exists only if one keeps the loss term. In other words, one would not have it from the BFKL equation

Traveling waves

BFKL equation (and a bit more)

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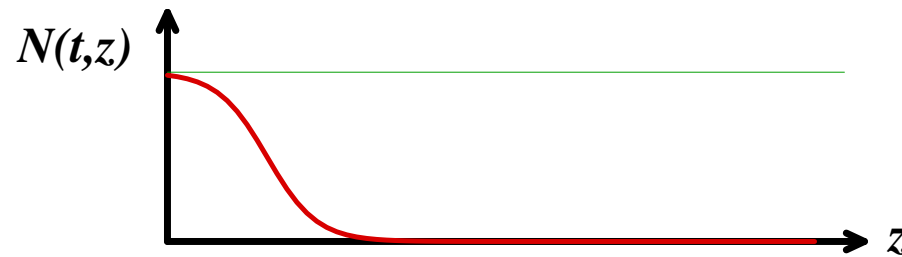
● Statistical physics analogies

● **Traveling waves**

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Pomeron loops

- Assume an initial condition $N(t_0, z)$ that goes smoothly from 1 at $z = -\infty$ to 0 at $z = +\infty$, and behaves like $\exp(-\beta z)$ when $z \gg 1$



- The solution of the **F-KPP equation** is known to behave like a **traveling wave** at asymptotic times (**Bramson, 1983**) :

$$N(t, z) \underset{t \rightarrow +\infty}{\sim} N(z - m_\beta(t))$$

with

- ◆ $m_\beta(t) = (\beta + \beta^{-1})t + \mathcal{O}(1)$ if $\beta < 1$
- ◆ $m_\beta(t) = 2t - \ln(t)/2 + \mathcal{O}(1)$ for $\beta = 1$
- ◆ $m_\beta(t) = 2t - 3 \ln(t)/2 + \mathcal{O}(1)$ if $\beta > 1$

Traveling waves

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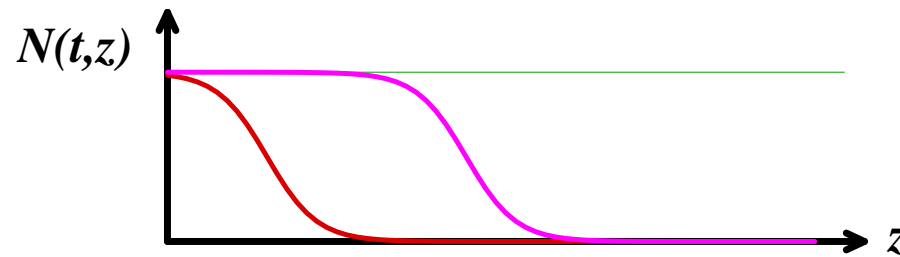
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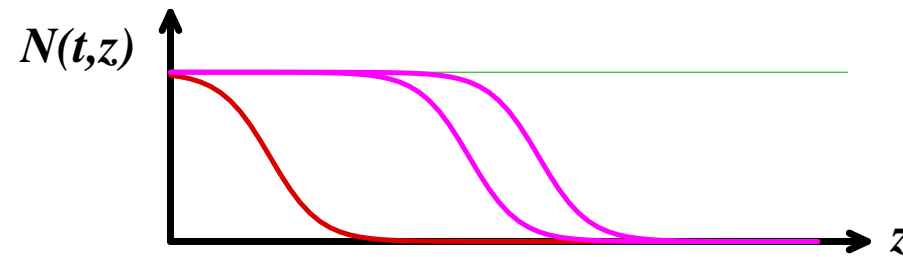
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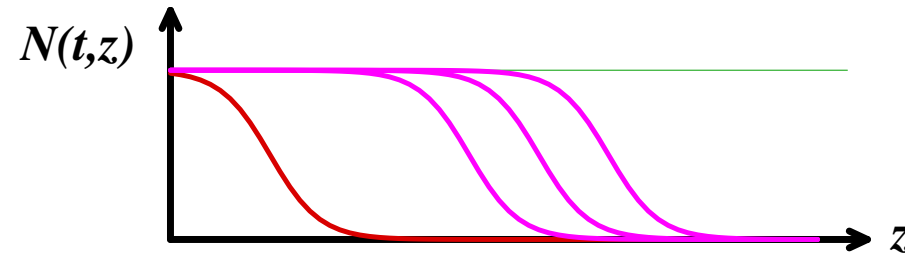
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Geometrical scaling in DIS

[BFKL equation \(and a bit more\)](#)

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Iancu, Itakura, McLerran (2002)

Mueller, Triantafyllopoulos (2002)

Munier, Peschanski (2003)

- In QCD, the initial condition is of the required form, with $\beta > 1$
 - ▷ front velocity independent of the initial condition
- Going back to the original variables, one gets :

$$N(Y, k_{\perp}) = N(k_{\perp}/Q_s(Y))$$

with

$$Q_s^2(Y) = k_0^2 Y^{-\frac{3}{2(1-\bar{\gamma})}} e^{\bar{\alpha}_s \chi''(\frac{1}{2})(\frac{1}{2}-\bar{\gamma})Y}$$

- Going from $N(Y, k_{\perp})$ to $\langle T(0, \vec{x}_{\perp}) \rangle_Y$, we obtain :

$$\langle T(0, \vec{x}_{\perp}) \rangle_Y = T(Q_s(Y)x_{\perp})$$



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- The γ^*p cross-section, measured in Deep Inelastic Scattering, can be written in terms of N :

$$\sigma_{\gamma^*p}(Y, Q^2) = 2\pi R^2 \int d^2\vec{x}_\perp \int_0^1 dz |\psi(z, \vec{x}_\perp, Q^2)|^2 \langle \mathbf{T}(0, \vec{x}_\perp) \rangle_Y$$

- ◆ The photon wavefunction ψ is calculable in QED :

$$|\psi_T(z, \vec{x}_\perp, Q^2)|^2 = \frac{3\alpha_{em}}{2\pi^2} \sum_f e_f^2 \left\{ [z^2 + (1-z)^2] \bar{Q}_f^2 K_1^2(\bar{Q}_f x_\perp) + m_f^2 K_0^2(\bar{Q}_f x_\perp) \right\}$$

$$|\psi_L(z, \vec{x}_\perp, Q^2)|^2 = \frac{3\alpha_{em}}{2\pi^2} \sum_f e_f^2 \left\{ 4 Q^2 z^2 (1-z)^2 K_0^2(\bar{Q}_f x_\perp) \right\}$$

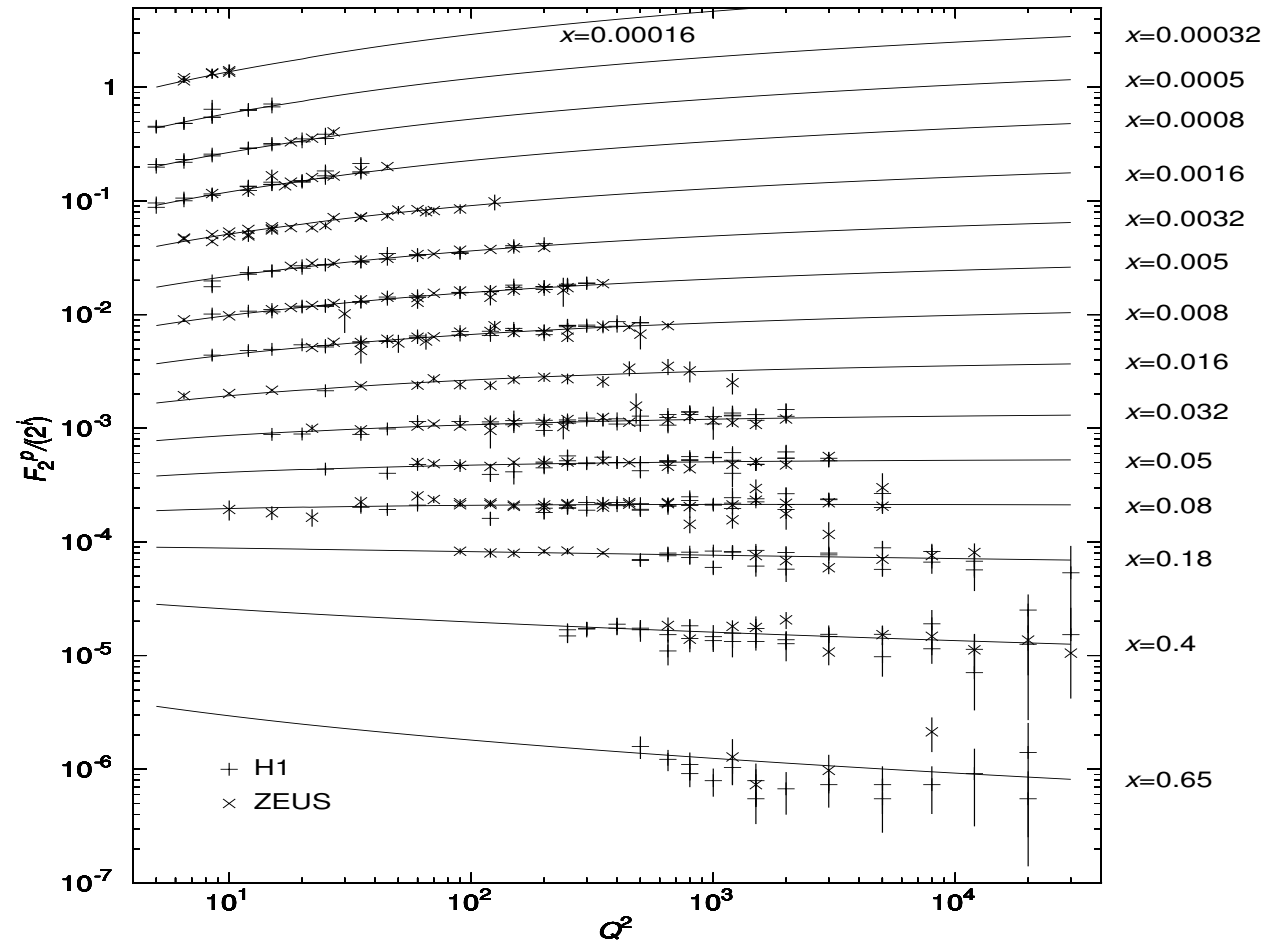
$$\text{with } \bar{Q}_f^2 \equiv m_f^2 + Q^2 z^2 (1-z^2)$$

- If one neglects the quark masses, the scaling properties of $\langle \mathbf{T} \rangle_Y$ imply that σ_{γ^*p} depends only on the ratio $Q^2/Q_s^2(Y)$, rather than on Q^2 and Y separately



Geometrical scaling in DIS

■ HERA data as a function of Q^2 and x :



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Geometrical scaling in DIS

Stasto, Golec-Biernat, Kwiecinski (2000)

BFKL equation (and a bit more)

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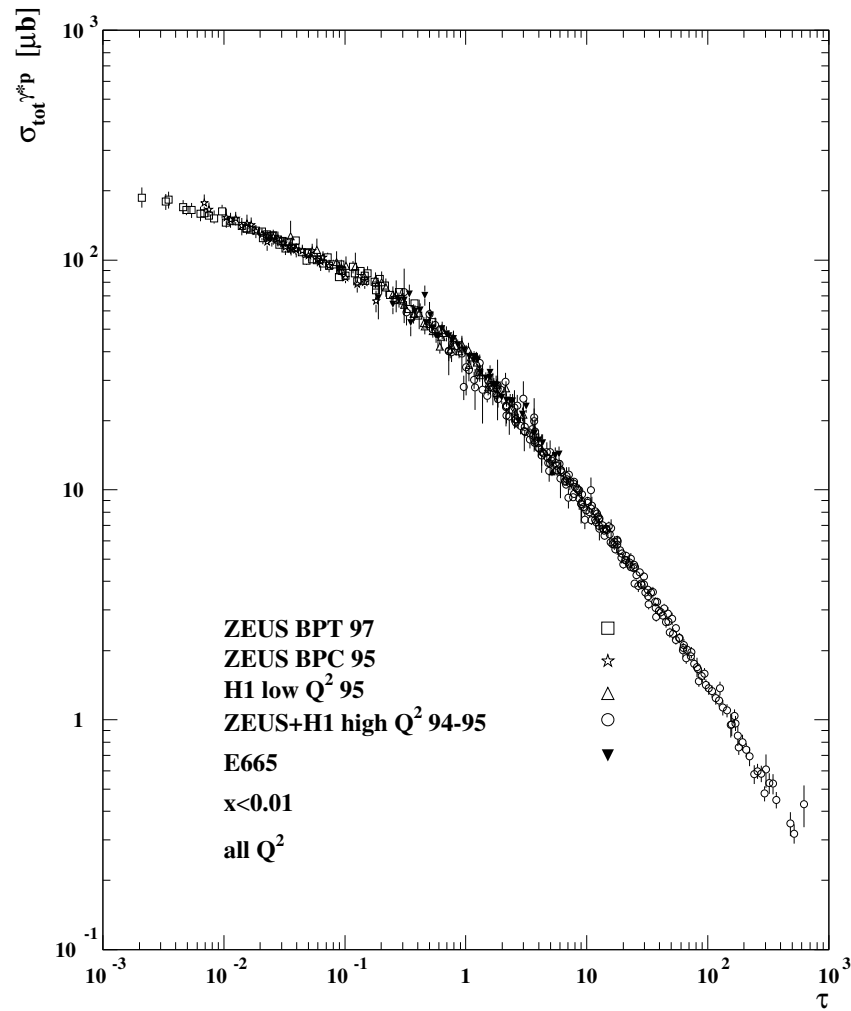
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● Statistical physics analogies

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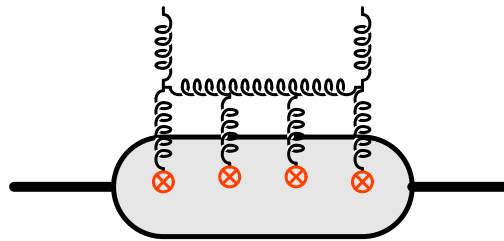
● **Geometrical scaling**

Pomeron loops

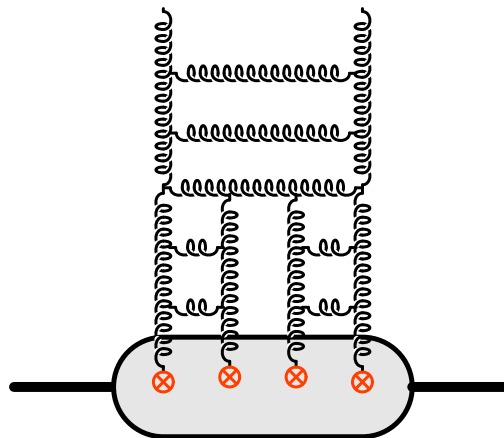


What's wrong with JIMWLK?

- In one step of evolution in Y , the JIMWLK equation allows n gluons to become 2 gluons :



- These contributions are crucial when the color fields inside the target are large (i.e. when the parton density is large)
- When this evolution in rapidity is repeated several times, the JIMWLK equation generates the following type of diagrams :



What's wrong with JIMWLK?

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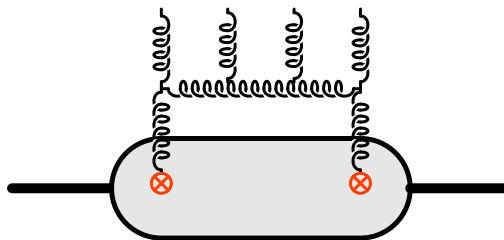
Pomeron loops

● What's wrong with JIMWLK?

● Modified Balitsky hierarchy

● Fluctuations in F-KPP

- The JIMWLK equation does not include the reverse processes, where for instance 2 gluons go into n :



- They can be seen as a way of producing n gluons from quantum fluctuations rather than from the color field of the target
 - ▷ therefore, they are important only when the field in the target is weak
- Moreover, these high multiplicity quantum fluctuations grow faster during the evolution in Y . Therefore, their effect is still felt at high Y , even if at this point these splitting processes are negligible

What's wrong with JIMWLK?

[BFKL equation \(and a bit more\)](#)

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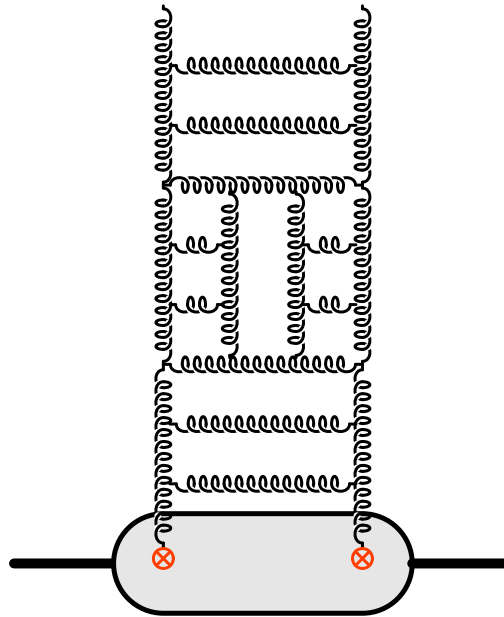
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Pomeron loops

- What's wrong with JIMWLK?
- Modified Balitsky hierarchy
- Fluctuations in F-KPP

- By keeping into account both the mergings and the splittings, one gets **Pomeron loops** :



- Naturally, the full theory should have all the $n \rightarrow n'$ splittings



Modified Balitsky hierarchy

BFKL equation (and a bit more)

Parton saturation

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● Fluctuations in F-KPP

- Warning : in the “projectile centric” description provided by the Balitsky equations, there are **splittings** but no **mergings**...

- Loosely speaking, the first Balitsky equation reads :

$$\frac{\partial \langle \mathbf{T} \rangle}{\partial Y} = \int \dots \left\{ \langle \mathbf{T} \rangle - \langle \mathbf{T}^2 \rangle \right\}$$

- The second equation of the hierarchy drives the evolution of $\langle \mathbf{T}^2 \rangle$, and in the large N_c limit it reads :

$$\frac{\partial \langle \mathbf{T}^2 \rangle}{\partial Y} = \int \dots \left\{ \langle \mathbf{T}^2 \rangle - \langle \mathbf{T}^3 \rangle \right\}$$

- In order to have mergings, one should add an extra term :

$$\frac{\partial \langle \mathbf{T}^2 \rangle}{\partial Y} = \int \dots \left\{ \langle \mathbf{T}^2 \rangle - \langle \mathbf{T}^3 \rangle + \alpha_s^2 \langle \mathbf{T} \rangle \right\}$$

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- More generally, the n -th modified Balitsky equation reads :

$$\frac{\partial \langle \mathbf{T}^n \rangle}{\partial Y} = \int \dots \left\{ \langle \mathbf{T}^n \rangle - \langle \mathbf{T}^{n+1} \rangle + \alpha_s^2 \langle \mathbf{T}^{n-1} \rangle \right\}$$

- Such a hierarchy of equations can be remapped into a Langevin equation :

$$\partial_t N = \partial_z^2 N + N - N^2 + \sqrt{N(1-N)} \xi$$

where ξ is a Gaussian white noise

Fluctuations in the F-KPP equation

Brunet, Derrida (1997,1999,2001)

Iancu, Mueller, Munier (2004)

Iancu, Triantafyllopoulos (2004)

- The properties of the front of the traveling wave are determined by the tail at $z \rightarrow +\infty$, **where N is small**
- This is precisely where the stochastic term is important
- N , being related to the number of partons in the target, is a quantity that should **vary in discrete increments**
It cannot be arbitrary small
- When this discreteness is taken into account, one sees that the growth of N is **controlled by the diffusion term $\partial_z^2 N$** rather than by the gain term $+N$
- This changes many things, in particular the velocity of the traveling front

[BFKL equation \(and a bit more\)](#)

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Lecture V : Calculating observables

BFKL equation (and a bit more)

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Outline of lecture V

- Field theory coupled to time-dependent sources
- Generating function for the probabilities
- Average particle multiplicity
- Numerical methods for nucleus-nucleus collisions
 - ◆ Gluon production
 - ◆ Quark production