
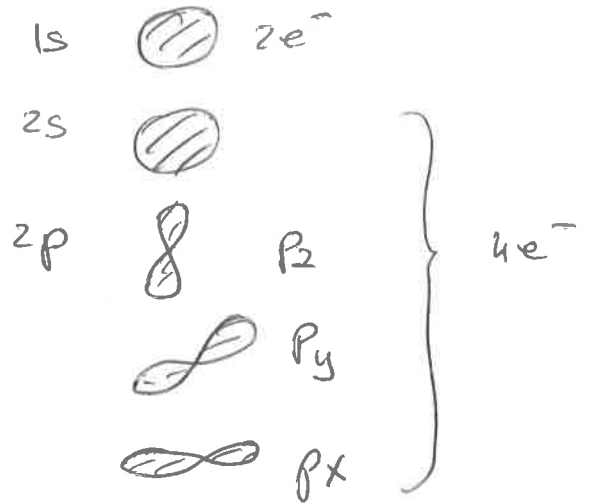
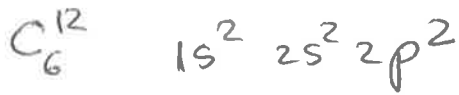
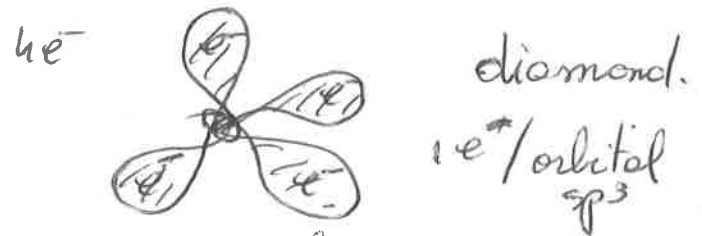


Tight-binding model.

 \rightarrow atomic orbitals

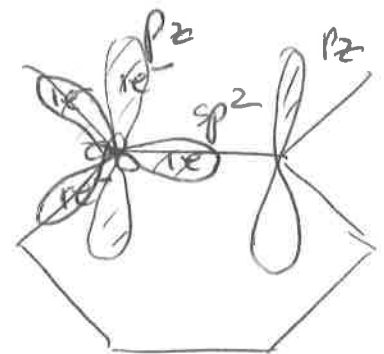
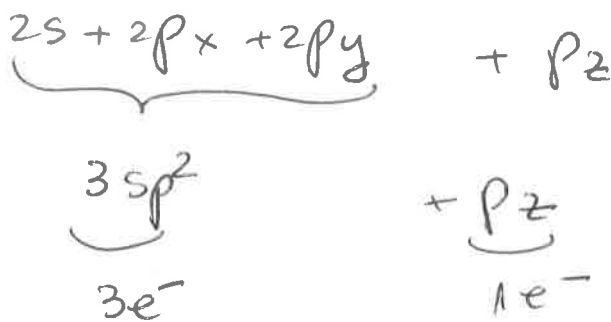


hybridization sp^3



covalent bonds
tetrahedral structure,
very stable.

hybridization sp^2



$1e^-$ in $\perp p_z$ orbital. \rightarrow free to move.

\downarrow
covalent bonding
forming hexagonal
graphene lattice.

$p_z e^- \rightarrow$ kinetic term. \rightarrow hopping
 amplitude of hopping \propto p_z overlap between
 two atoms.

quantum tunnelling

hopping - second quantization formalism.

c_i^\dagger / c_i creates / annihilates e^- on site i

Simplest hopping H . \rightarrow chain



$$H = -t \sum_i c_i^\dagger c_{i+1} + \text{h.c.}$$

$$c_i^\dagger = \int \frac{d\vec{k}}{2\pi} c_{\vec{k}}^\dagger e^{i\vec{k} \cdot \vec{R}_i} \quad \vec{k} \in \text{BZ} = \left(-\frac{\pi}{a}, \frac{\pi}{a}\right)$$

$$H = -t \sum_i \int_{k_1, k_2} \underbrace{e^{ik_1 R_i} e^{-ik_2 (R_i + a)}}_{\delta(k_1 - k_2)} c_{k_1}^\dagger c_{k_2} + \text{h.c.}$$

$$= -t \int_{k_1} c_{k_1}^\dagger c_{k_1} (e^{-ik_1 a} + \text{h.c.})$$

$$= -t \int_{k_1} 2 \cos k_1 a c_{k_1}^\dagger c_{k_1}$$

$$\Rightarrow \epsilon_k = -2t \cos ka$$

$\hbar \approx 0 \Rightarrow$

$$\epsilon_k = -2t \left(1 + \frac{\hbar^2 a^2}{2} \right)$$

$$= -2t + t \hbar^2 a^2$$

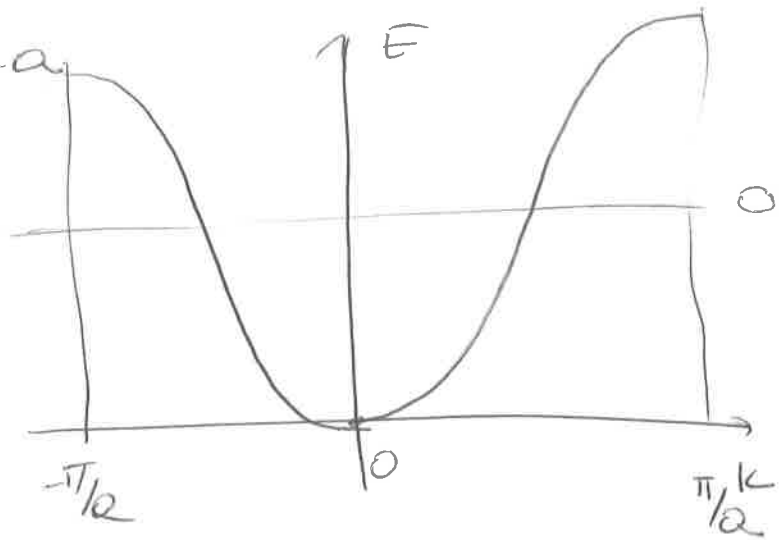
↓

quadratic dispersion \rightarrow kinetic term.

Same mechanism in 2D

$$\epsilon_k = -2t (\cos k_x a + \cos k_y a)$$

graphene - slides 4-8.



Majorana states

$$\left. \begin{aligned} c^\dagger &= \frac{1}{2}(\gamma_1 + i\gamma_2) \\ c &= \frac{1}{2}(\gamma_1 - i\gamma_2) \end{aligned} \right\} \Rightarrow \begin{aligned} \gamma_1 &= c^\dagger + c \\ \gamma_2 &= \frac{c^\dagger - c}{i} \end{aligned}$$

$$\gamma_1 = \gamma_1^\dagger \quad \gamma_2 = \gamma_2^\dagger \quad \text{all adjoint}$$

more generally $\gamma = (c^\dagger + e^{i\theta} c) e^{i\phi}$

is a Majorana $\gamma^\dagger = e^{-2i\phi} e^{-i\theta} \gamma$

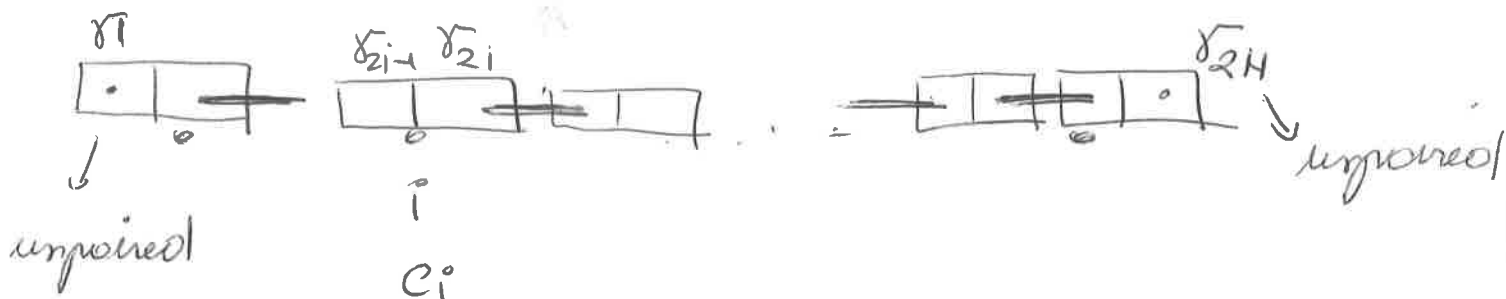
phase factor permitted in $\gamma^\dagger = \gamma \cdot \text{condition}$.

Kitaev model

$$H = -\mu \sum_i \overbrace{c_i^\dagger c_i}^{H_1} - t \sum_i \overbrace{(c_{i+1}^\dagger c_i + \text{h.c.})}^{H_2}$$

$$+ \Delta \sum_i \overbrace{c_i c_{i+1}}^{H_3} + \text{h.c.}$$

$$D = t, \mu = 0 \Rightarrow H = it \sum_i \gamma_{2i} \gamma_{2i+1}$$



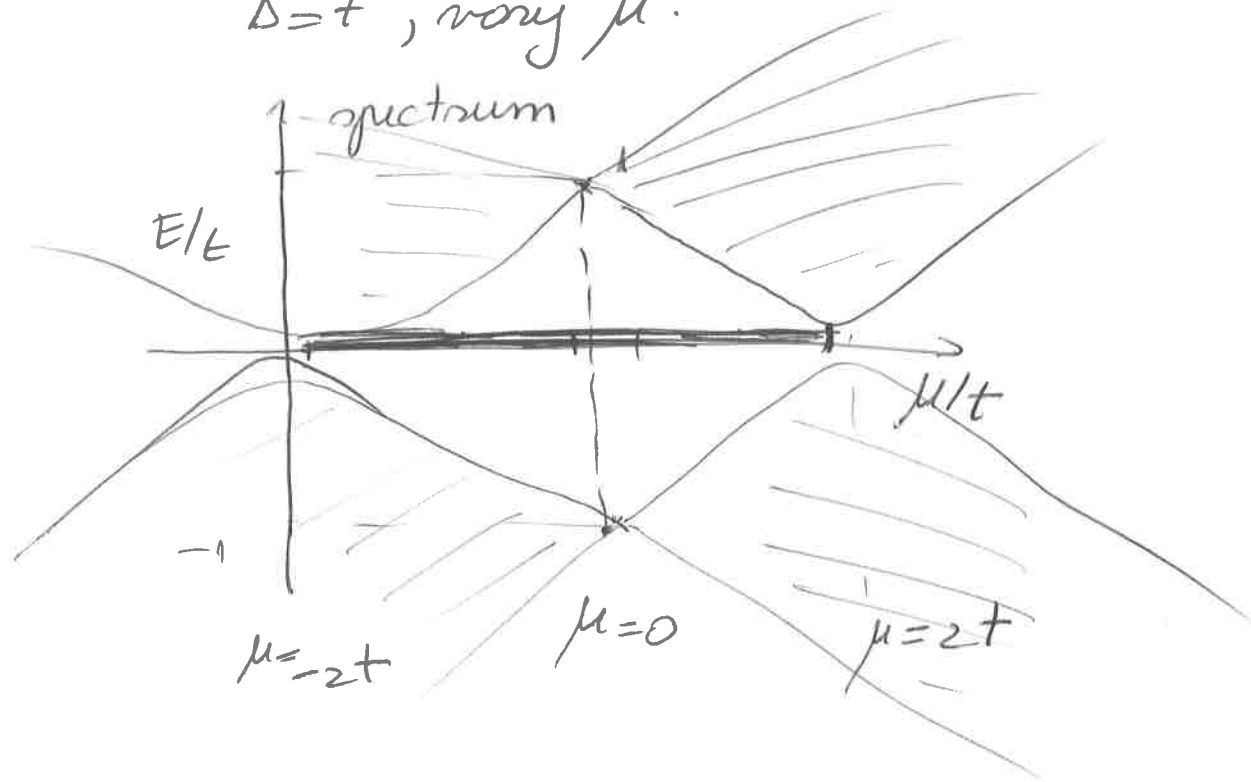
$$\gamma_{2i-1} = c_i^\dagger + c_i \quad \gamma_{2i} = -i(c_i^\dagger - c_i) \quad (4)$$

Hamiltonian with free Majorana solutions at the ends.

How can we see this?

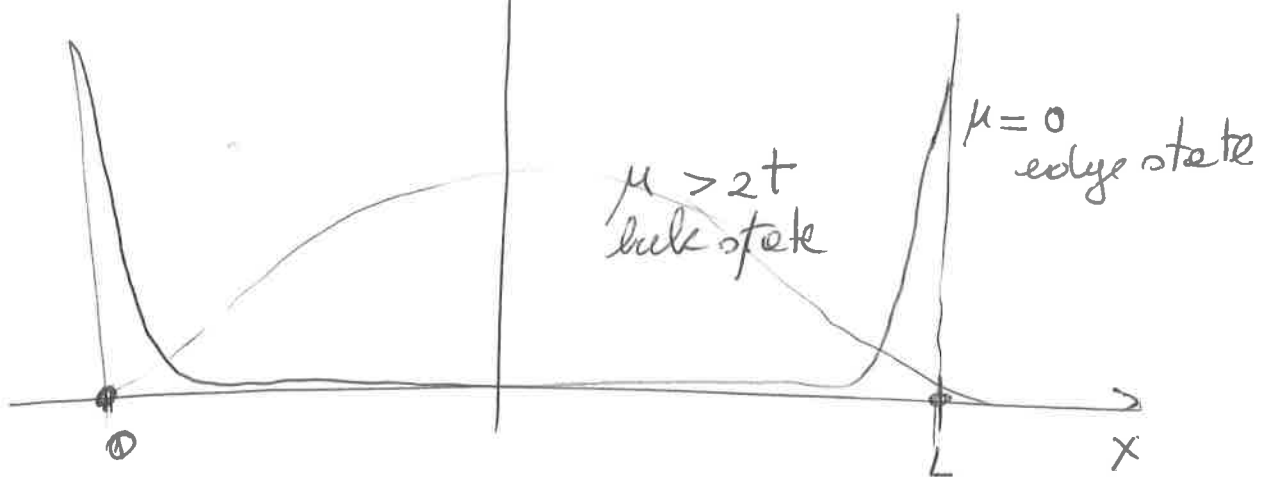
1) Real space tight-binding numerical analysis

$\Delta = t$, vary μ .



$-2t < \mu < 2t \Rightarrow$ zero energy solutions.

$| \psi |^2$ - with lowest energy.



Bulk (infinite system) momentum space analysis

- zero energy states appear in finite size systems
- in infinite systems they are not present
- bulk band structure, k good quantum number.

$$\psi_k = \frac{1}{\sqrt{N}} \sum_{j=1}^N e^{-ikR_j} c_j$$

$$c_j = \int_{-\pi/2}^{\pi/2} \frac{dk}{2\pi} \psi_k e^{ikR_j}$$

$$\Rightarrow H = \int \frac{dk}{2\pi} (-2t \cos k - \mu) c_k^\dagger c_k \quad (d=1)$$

$$+ 2\Delta i \sin k c_k^\dagger c_{-k}^\dagger + \text{h.c.}$$

rewrite H in a double basis, e^- /hole

$$(c_k^\dagger \ c_{-k}) \begin{pmatrix} H \end{pmatrix} \begin{pmatrix} c_k \\ c_{-k}^\dagger \end{pmatrix}$$

BdG → Bogoliubov de Gennes

$$H_1 = -\mu \sum_j \int_{k_1, k_2} c_{k_1}^\dagger c_{k_2} \underbrace{e^{-ik_1 R_j} e^{ik_2 R_j}}_{\delta_{k_1, k_2}} = -\mu \int_k c_k^\dagger c_k$$

$$2H_1 = -\mu \int_k c_k^\dagger c_k - \mu \int_k c_{-k}^\dagger c_{-k} =$$

$$-\mu \int_k c_k^\dagger c_k + \mu \int_k c_k c_k^\dagger = (c_k^\dagger c_k) \begin{pmatrix} -\mu & 0 \\ 0 & \mu \end{pmatrix} \begin{pmatrix} c_k \\ c_k^\dagger \end{pmatrix}$$

$$H_2 = -t \sum_j \int_{k_1, k_2} c_{k_1}^\dagger c_{k_2} \underbrace{e^{-ik_1(R_j+1)} e^{ik_2 R_j}}_{\delta_{k_1, k_2}}$$

$$= -2t \int_k c_k^\dagger c_k \cos k_1.$$

$$2H_2 = (c_k^\dagger c_k) \begin{pmatrix} -2t & 0 \\ 0 & 2t \end{pmatrix} \begin{pmatrix} c_k \\ c_k^\dagger \end{pmatrix}$$

$$H_3 = D \sum_j \int_{k_1, k_2} c_{k_1} e^{ik_1 R_j} c_{k_2} e^{ik_2(R_j+1)} + h.c.$$

$\delta(k_1, -k_2)$

$$= D \int_k c_k c_{-k} e^{ik} + c_{-k}^\dagger c_k^\dagger e^{-ik}$$

$$2H_3 = D \int_k c_k c_{-k} e^{ik} + D \int_k c_{-k} c_k e^{-ik}$$

$$+ D \int_k c_k^\dagger c_{-k}^\dagger e^{-ik} + D \int_k c_{-k}^\dagger c_k^\dagger e^{ik}$$

$$= \Delta \int_{k_0} c_{-k} c_k (-2i \alpha \sin k) + \Delta \int_{k_0} 2i \alpha \sin k c_k^\dagger c_{-k}^\dagger$$

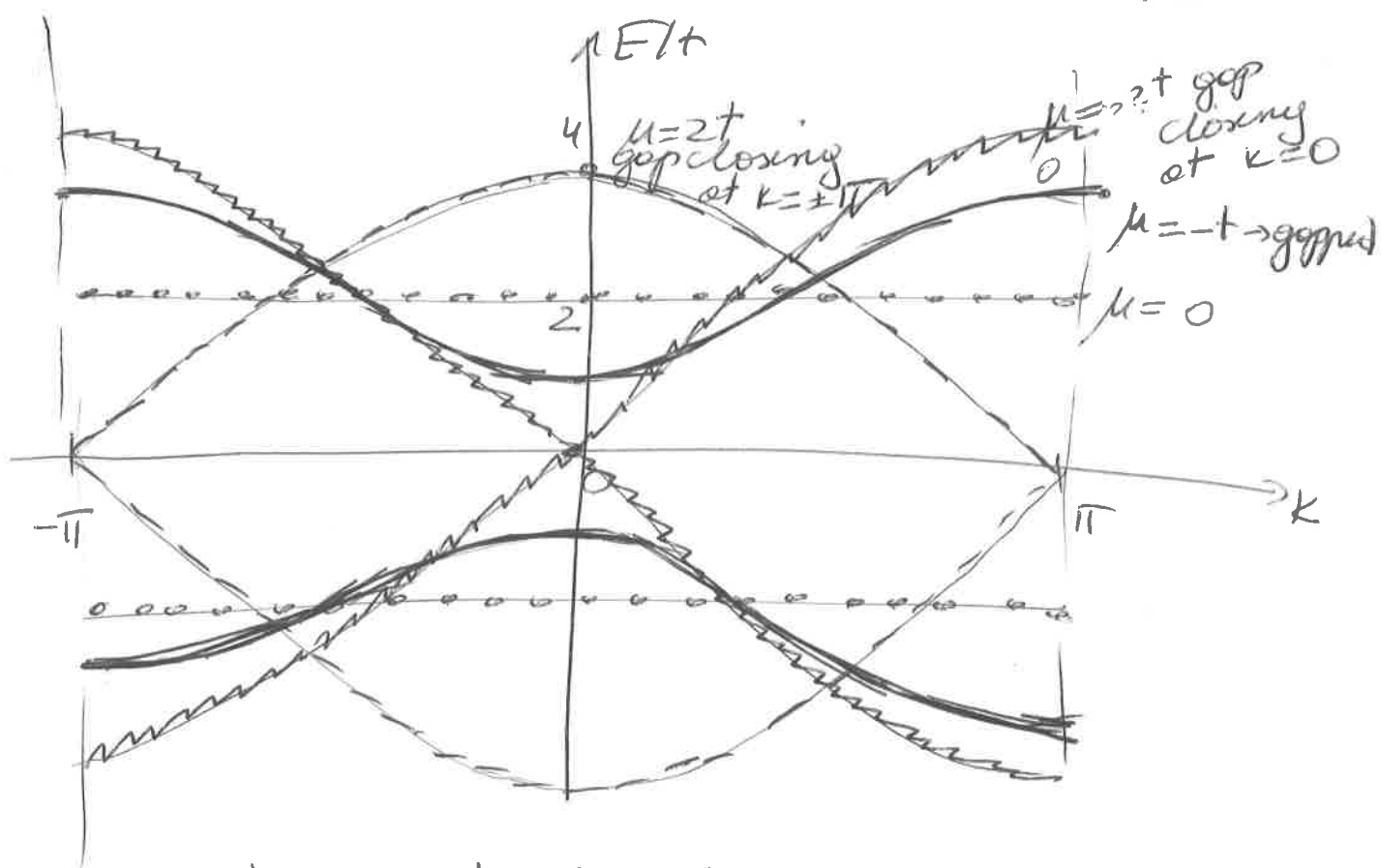
$$= (c_k^\dagger \quad c_{-k}) \begin{pmatrix} 0 & 2i \alpha \sin k \\ -2i \alpha \sin k & 0 \end{pmatrix} \begin{pmatrix} c_k \\ c_{-k}^\dagger \end{pmatrix}$$

$$\Rightarrow 2H(k) = (-2t \cos k - \mu) \tau_z + 2D \alpha \sin k \tau_y.$$

Band structure

$$E(k) = \pm \sqrt{(2t \cos k + \mu)^2 + 4D^2 \alpha^2 \sin^2 k}.$$

$$\Delta = t$$

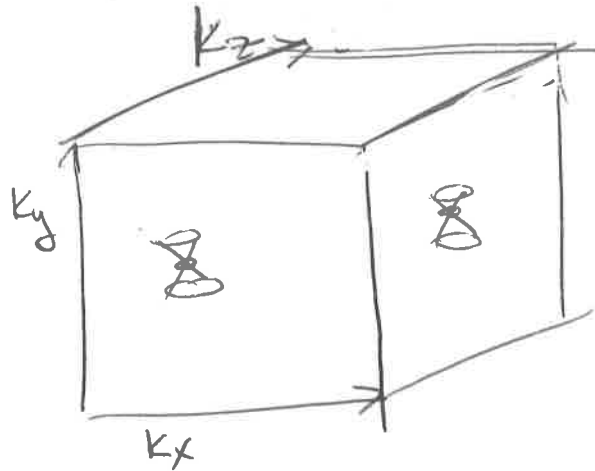


$-2t < \mu < 2t$ topological gap
 $|\mu| > 2t \rightarrow$ trivial gap.

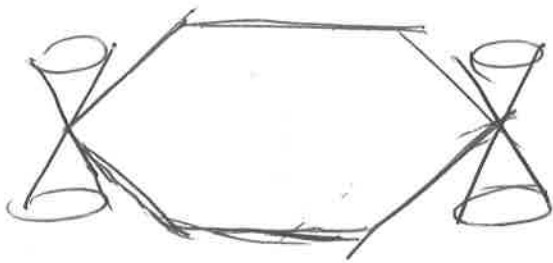
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Weyl semimetals

- bulk Hamiltonian \rightarrow analogy to Dirac graphene.

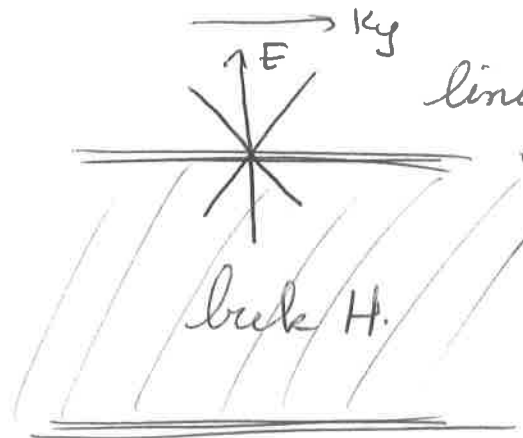


Weyl.
 \downarrow
 Dirac-like points
 Weyl \rightarrow 3D
 linear dispersion



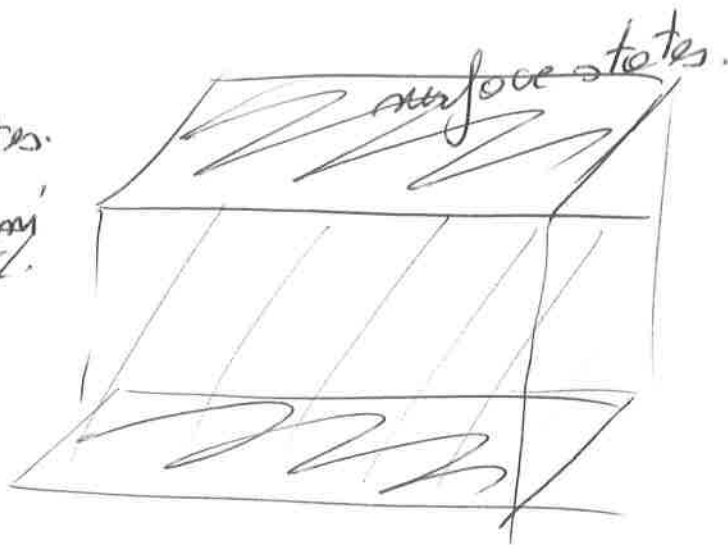
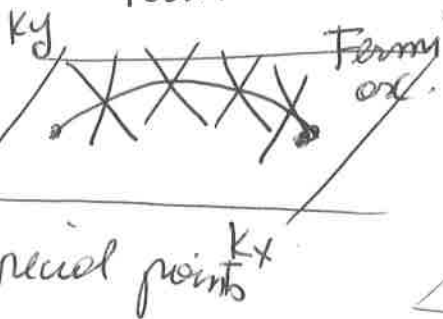
\rightarrow graphene
 Dirac points \rightarrow 2D

2D edge states



linear dispersion
 edge states.

3D edge states
 Fermi arc states.



Weyl.

• Edge states derivation techniques.

1. Tight-binding model \rightarrow numerical approach.

$$H = - \sum_{\langle ij \rangle} t_{ij} c_i^\dagger c_j + h.c. \rightarrow \text{matrix form.}$$

basis $c_1^\dagger c_2^\dagger \dots c_N^\dagger$

chain with open BC.

sites $\begin{matrix} i & j & \dots & N \\ c_1^\dagger & c_2^\dagger & \dots & c_N^\dagger \end{matrix}$

$$H = (c_1^\dagger \dots c_N^\dagger) \begin{pmatrix} H_{1 \times 1} \\ H_{1 \times 2} \\ \dots \\ H_{N \times N} \end{pmatrix} \begin{pmatrix} c_1 \\ \vdots \\ c_N \end{pmatrix} = \psi^\dagger H \cdot \psi$$

$\psi^\dagger = H$ -dim vector.

$H = H \times N$ matrix.

$$H = \sum_{i=1}^N \mu_i c_i^\dagger c_i - \sum_{\langle ij \rangle} t_{ij} c_i^\dagger c_j + h.c.$$

\Rightarrow HH hopping

$H_{H \times H}$ (matrix form) =

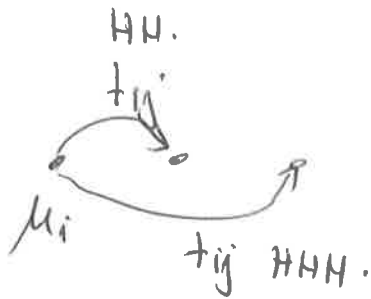
$$\begin{pmatrix} \mu_1 & -t_{12} & & & & \\ -t_{12} & \mu_2 & -t_{23} & & & \\ & & \ddots & & & \\ & & & \ddots & & \\ 0 & & & & -t_{H-2,H-1} & \mu_{H-1} & t_{H-1,H} \\ & & & & -t_{H-1,H} & -\mu_H & \end{pmatrix}$$

H_{ij} element = coefficient of $c_i^+ c_j$ term in Hamiltonian

often times $\mu_i = \mu$ (constant)

$t_{ij} = t \rightarrow$ nearest neighbours.

we can only add next to nearest neighbours H_{HH} .



$\Rightarrow H_{i3} = t_{HHH}$, etc.

We can also add internal degrees of freedom

→ spin, e⁻/hole, etc.

spin $c_i^+ \Rightarrow c_{i\uparrow}^+ \quad c_{i\downarrow}^+ \quad \Rightarrow H = H_{2N \times 2N}$ matrix

$$H_{ij} \Rightarrow \begin{pmatrix} H_{ij}^{\uparrow\uparrow} & H_{ij}^{\uparrow\downarrow} \\ H_{ij}^{\downarrow\uparrow} & H_{ij}^{\downarrow\downarrow} \end{pmatrix}$$

basis $\Rightarrow (c_{1\uparrow}^+ \quad c_{1\downarrow}^+ \quad c_{2\uparrow}^+ \quad c_{2\downarrow}^+ \quad \dots \quad c_{N\uparrow}^+ \quad c_{N\downarrow}^+)$

ex1: local magnetic field term.

$$H_M = \sum_i V_i (c_{i\uparrow}^+ c_{i\uparrow} - c_{i\downarrow}^+ c_{i\downarrow})$$

$$\Rightarrow H_{ii} \xrightarrow{(c_{i\uparrow}^+ \quad c_{i\downarrow}^+)} \begin{pmatrix} \mu_i + V_i & 0 \\ 0 & \mu_i - V_i \end{pmatrix} \begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow} \end{pmatrix}$$

exp2: e⁻ + hole components → necessary for superconductivity

$$H_\Delta = \sum_p \Delta (c_{i\uparrow}^+ c_{i\downarrow}^+ + c_{i\downarrow} c_{i\uparrow})$$

$$H_{ii} \rightarrow (c_{i\uparrow}^+ \quad c_{i\downarrow}^+ \quad c_{i\uparrow} \quad c_{i\downarrow}) \begin{pmatrix} \mu_i + V_i & 0 & 0 & \Delta_i \\ 0 & \mu_i - V_i & \Delta_i & 0 \\ 0 & \Delta_i & \mu_i + V_i & 0 \\ \Delta_i & 0 & 0 & \mu_i - V_i \end{pmatrix} \begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow} \\ c_{i\uparrow}^+ \\ c_{i\downarrow}^+ \end{pmatrix} \quad (3)$$

$H \rightarrow H_{4N \times 4N}$ matrix.

exp 3: can add other terms \rightarrow Roshba

$$H_{i,i+1} \sim i d_R c_{i\uparrow}^\dagger c_{i+1\downarrow} + h.c.$$

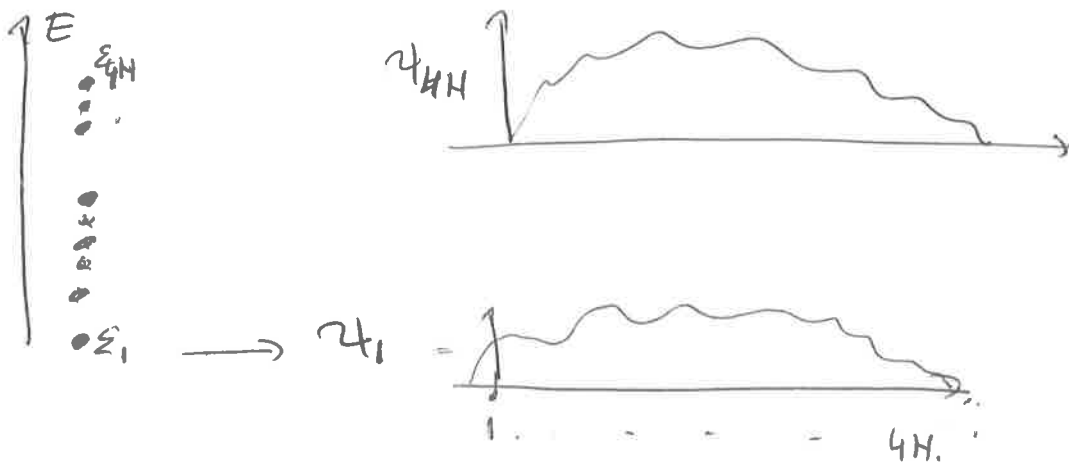
p-wave SC (Kitaev)

$$H_{i,i+1} \sim i \Delta c_{i\uparrow}^\dagger c_{i+1\uparrow}^\dagger + h.c.$$

How can we solve this?

Numerical diagonalization of $H_{N \times N}$, $H_{2N \times 2N}$,
 $H_{4N \times 4N}$ matrix.

\Rightarrow eigenvalues $\epsilon_1, \epsilon_2, \dots, \epsilon_{4N}$
 eigenstates $\psi_1, \psi_2, \dots, \psi_{4N}$



$$\psi_i = d_1, d_2, \dots, d_{4N}$$

$d_{4j}, d_{4j+1}, d_{4j+2}, d_{4j+3} \Rightarrow$ coefficients of.

$$c_{j\uparrow}^\dagger c_{j\downarrow}^\dagger c_{i\uparrow} c_{j\downarrow}$$

\Rightarrow coefficients of $e_{\uparrow}, e_{\downarrow}, h_{\uparrow}, h_{\downarrow}$
components on site j

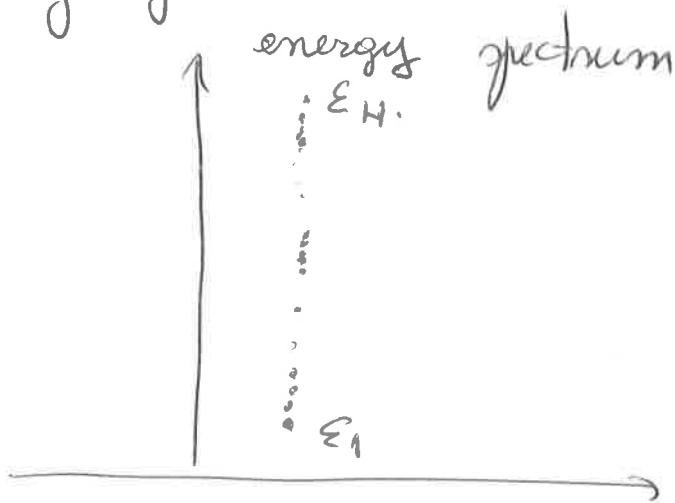
$$\underbrace{e_{j\uparrow} \ e_{j\downarrow} \ h_{j\uparrow} \ h_{j\downarrow}}_j$$

$$\underbrace{e_{H\uparrow} \ e_{H\downarrow} \ h_{H\uparrow} \ h_{H\downarrow}}_H$$

\Rightarrow we know at each energy how much of
 $e_{\uparrow}, e_{\downarrow}, h_{\uparrow}, h_{\downarrow}$ we have at each j

How do we go from eigenvalues / eigenstates to
physical properties?

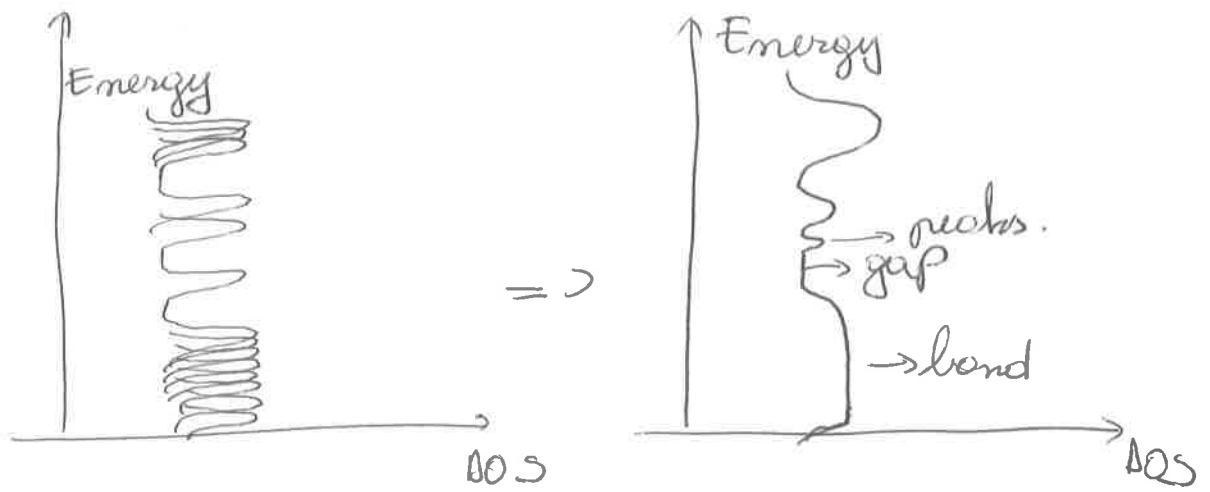
1. Density of states



$$DOS(\epsilon) = \sum_{i=1, H} \delta(\epsilon - \epsilon_i) \quad \text{in practice each}$$

state introduces a broadening

level broadening \Rightarrow inverse quasiparticle lifetimes.

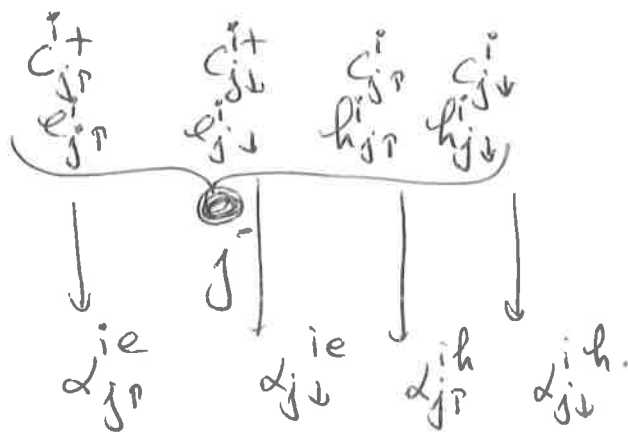


2) local Density of states of electrons

$$LDOS^j(\epsilon) = \sum_{i=1, \dots, 4N} (|\alpha_{j\uparrow}^{ie}|^2 + |\alpha_{j\downarrow}^{ie}|^2) \rho(\epsilon - \epsilon_i)$$

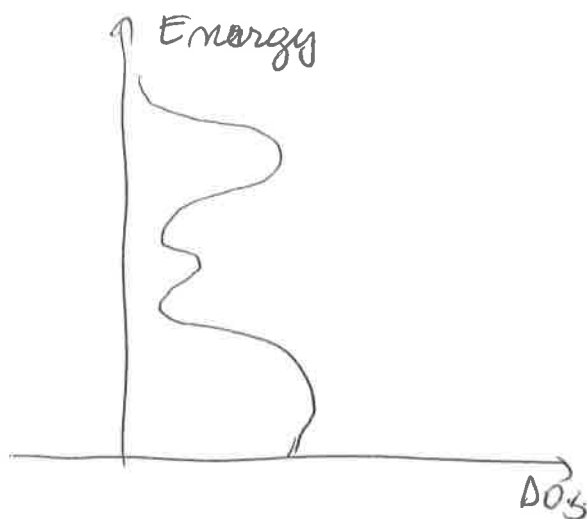
site $j \rightarrow 4N$ eigenstates contributing.

$\epsilon_1, \dots, \epsilon_{4N}$ $\psi_i, i=1, \dots, 4N$.

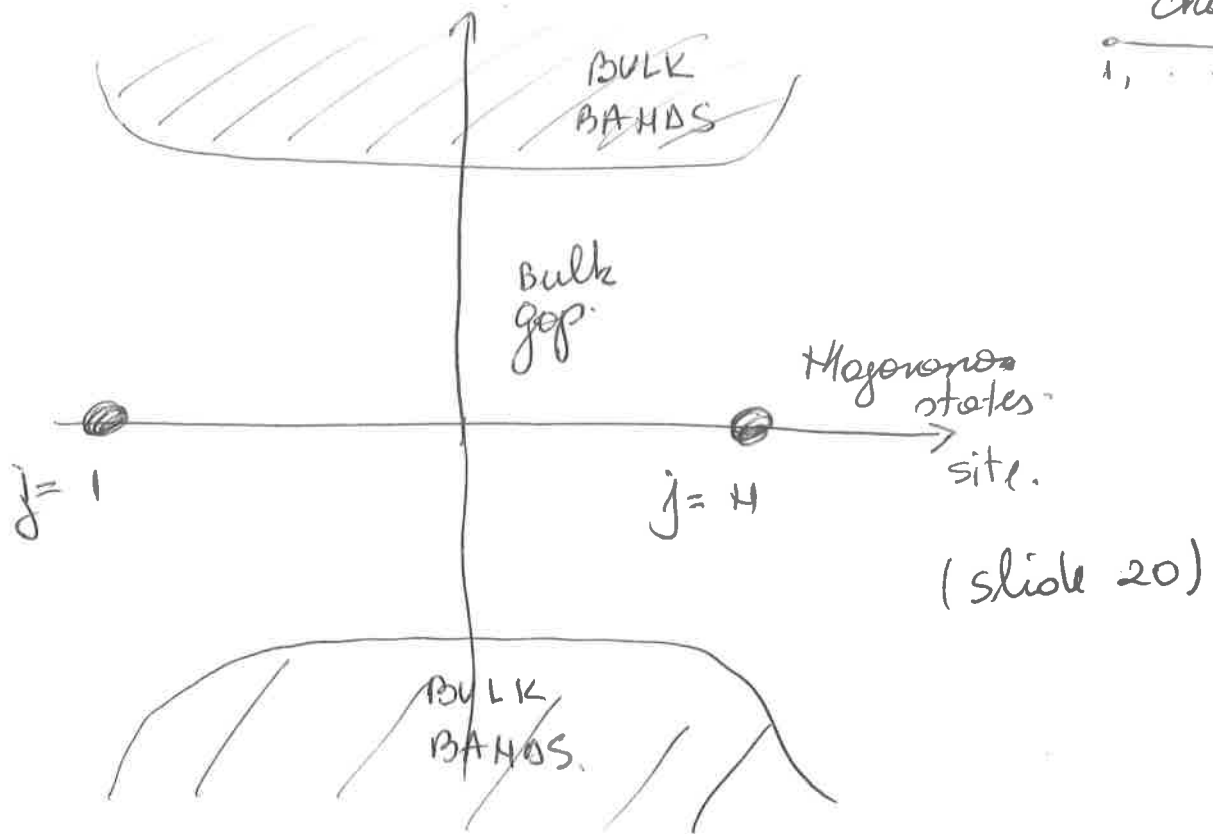


$|\alpha_{j\uparrow}^{ie}|^2 \rightarrow$ density of electrons with spin \uparrow at site j coming from the i^{th} energy ϵ_i

\Rightarrow set each site j



LSOS \rightarrow 2D plot in energy and position



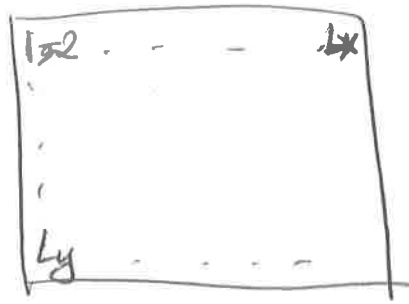
can generalise to anything

$$S_{\text{spin } z} = |r_p|^2 - |r_d|^2$$

$$\Rightarrow S_z^j(\epsilon) = \sum_{i=1, \dots, H} \left(|r_{j \uparrow}^{ie}|^2 - |r_{j \downarrow}^{ie}|^2 \right) \delta(\epsilon - \epsilon_i)$$

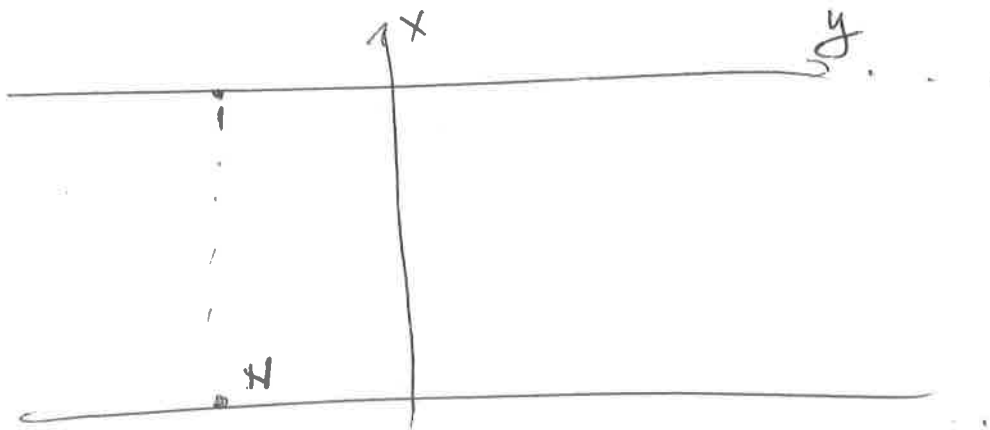
Tight-binding numerics in 2D

1. open BC



sites $L_x \times L_y \Rightarrow$ Hamiltonian is a $4L_x L_y \times 4L_x L_y$ matrix \rightarrow same procedure as before for chain
 \rightarrow time restraints \rightarrow number of atoms

2. periodic BC \rightarrow infinite system.



solution along $y \rightarrow$ plane waves

$$c_{x,y} = \int \frac{dk_y}{2\pi} c_{x,k_y} e^{-ik_y y}$$

partial Fourier transform

$$H = -t \left[\sum_{x,y} c_{x,y}^\dagger c_{x+1,y} + c_{x,y}^\dagger c_{x,y+1} + \text{h.c.} \right]$$

$$= -t \sum_{x,y} \int_{k_y} \int_{k_y'} c_{x,k_y}^\dagger c_{x+1,k_y'} e^{i(k_y - k_y')y} \\ + c_{x,k_y}^\dagger c_{x,k_y'} e^{i(k_y - k_y')y} e^{-ik_y' a} + \text{h.c.}$$

$$= -t \sum_x \int_{k_y} \left[c_{x,k_y}^\dagger c_{x+1,k_y} + c_{x,k_y}^\dagger c_{x,k_y} 2 \cos k_y a \right]$$

decompose the system at each k_y .

$$\Rightarrow H_{k_y} = -t \sum_x \left[c_{x,k_y}^\dagger c_{x+1,k_y} + c_{x,k_y}^\dagger c_{x,k_y} 2 \cos k_y a \right]$$

\Downarrow
 1D finite size TB Hamiltonian \Rightarrow apply the same procedure as before.

$$\left. \begin{array}{l} \text{each } k_y \Rightarrow \left. \begin{array}{l} E_{k_y}^i \\ \psi_{k_y}^i(x) \end{array} \right\} \begin{array}{l} i=1, \dots, 4N. \\ \Rightarrow \text{band structure} \\ \text{(Slide 21)} \end{array} \end{array} \right\}$$

each $k_y \Rightarrow$ spectrum, LDOS, DOS, etc.

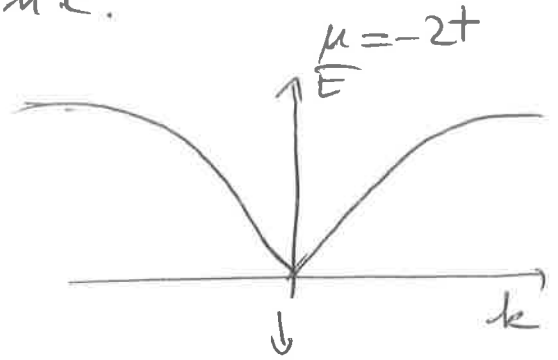
② Mo+ching

Kitaev model

$$H = -\mu \sum_i c_i^\dagger c_i - t \sum_i c_{i+1}^\dagger c_i + \text{h.c.} \\ + \Delta \sum_i c_i c_{i+1} + \text{h.c.}$$

take $\mu = -2t$ $k \approx 0$

expand around $k \approx 0$
low-energy expansion.



$$H(k) = (-2t - \mu) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + \frac{2\Delta}{v} \begin{pmatrix} 0 & ik \\ -ik & 0 \end{pmatrix}$$

\parallel
 m

!!
Real space

$$H = m \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} - v i \partial_x \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$$

\parallel
 σ_z

\parallel
 σ_y

in (c_i^\dagger, c_i) basis

Assume $E=0$ solution.

$$\Rightarrow H \psi = 0$$

$$\Rightarrow m \sigma_z \psi - v i \partial_x \sigma_y \psi = 0 \quad | \sigma_y \Rightarrow m i \sigma_x \psi - v i \frac{\partial}{\partial x} \psi = 0$$

(10)

Ansatz $\psi = A e^{zx}$ $A = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$

$$\Rightarrow m \sigma_x \psi - v I_2 \partial_x \psi = 0$$

$$m \sigma_x A e^{zx} - v I_2 z A e^{zx} = 0 \Rightarrow$$

$$\begin{pmatrix} -vz & m \\ m & -vz \end{pmatrix} A e^{zx} = 0 \Rightarrow$$

possible for $\begin{vmatrix} -vz & m \\ m & -vz \end{vmatrix} = 0$ $v^2 z^2 = m^2$

$$\Rightarrow z = \pm \frac{m}{v} \quad x > 0 \quad \text{take } z = -\frac{m}{v}$$

$$\psi = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} e^{-\frac{m}{v} x}$$

$$\begin{pmatrix} m & m \\ m & m \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = 0 \Rightarrow \alpha = \beta$$

Normalization $\int_0^\infty dx |\psi|^2 = 1$

$$= 2|\alpha|^2 \int_0^\infty e^{-\frac{2m}{v} x} dx = -\frac{v}{2m} (e^{-\infty} - 1) 2|\alpha|^2$$

$$= 2|\alpha|^2 \frac{v}{2m} = 1 \Rightarrow |\alpha| = \sqrt{\frac{m}{v}}$$

$$\psi = \sqrt{\frac{m}{v}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} e^{-\frac{m}{v} x} \rightarrow \psi^\dagger = \psi; \text{ Majorana!}$$

Some procedure for domain walls $m(x)$, other HS

(11)

③. Bulk topological invariant.

- not fully justified method in the literature.

$$\text{define } Q = \prod_{k_i} [P_j H(k_i)]$$

k_i - special points of the BZ, gap closing points

for Kitaev-model $0, \pi$

$$H(0) = \begin{pmatrix} -2t - \mu & 0 \\ 0 & 2t + \mu \end{pmatrix}$$

$$H(\pi) = \begin{pmatrix} 2t - \mu & 0 \\ 0 & -2t + \mu \end{pmatrix}$$

to calculate Pfaffian $\propto \sqrt{\text{Det}}$
antisymmetrize.

$$\tilde{H}(0) = \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} H(0) \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix} = -2i \begin{pmatrix} 0 & -2t - \mu \\ 2t + \mu & 0 \end{pmatrix}$$

$$\tilde{H}(\pi) = \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} H(\pi) \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix} = -2i \begin{pmatrix} 0 & 2t - \mu \\ -2t + \mu & 0 \end{pmatrix}$$

$$P_j \tilde{H}(0) = -2i(-2t - \mu)$$

$$P_j \tilde{H}(\pi) = -2i(2t - \mu)$$

$$\text{Pf} [BA B^T] = \det B \cdot \text{Pf} A$$

$$\Rightarrow \text{Pf} \tilde{H}(0) = \det \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} \text{Pf} H(0) = -2i \text{Pf} H(0)$$

$$\Rightarrow \text{Pf} H(0) = -2t - \mu$$

$$\text{Pf} \tilde{H}(\pi) = 2t - \mu$$

$$Q = (-2t - \mu)(2t - \mu) \rightarrow \text{changes sign at}$$

$$\mu = -2t \text{ and } \mu = 2t$$

$$Q = 1 \text{ for } \mu < -2t, \mu > 2t \rightarrow \text{trivial}$$

$$Q = -1 \text{ for } -2t < \mu < 2t \rightarrow \text{topological.}$$

Hard to justify a priori.

4. T-matrix approximation.

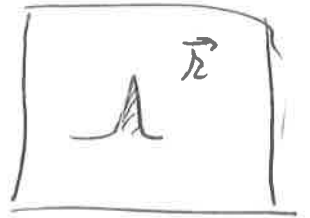
Slides 22, 23

Solve exactly the problem of infinite system + localized impurity

δ -function potential

$H_0 =$ unperturbed $H.$ (e.g. 2D)

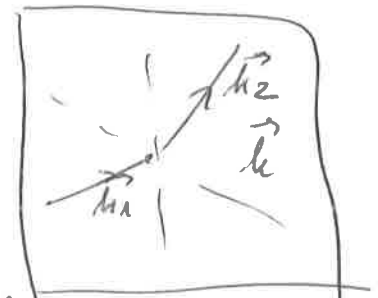
$$H_{\text{imp}} = \int d^2\vec{r} \bar{V}(\vec{r}) c^\dagger(\vec{r}) c(\vec{r})$$



$\bar{V}(\vec{r}) = \bar{V} \delta(\vec{r})$ $\vec{r} = 0 \rightarrow$ localized imp

$$H_{\text{imp}} = \bar{V} \int d^2\vec{r} \int_{k_1, k_2} \delta(\vec{r}) c^\dagger(k_1) c(k_2) e^{i k_1 \cdot \vec{r}} e^{-i k_2 \cdot \vec{r}}$$

$$= \bar{V} \int_{k_1, k_2} c^\dagger(k_1) c(k_2)$$



all scattering processes are equally possible, scattering is independent of momentum (due to $\delta(\vec{r})$ potential)

Perturbation theory for Green's function, expand all orders in \bar{V} term.

imaginary time Green's functions (Matsubara)

$$G(k_1, k_2, i\omega_n) = \langle \psi_{k_1, i\omega_n}, \psi_{k_2, i\omega_n}^\dagger \rangle$$

Motivation \Rightarrow retarded Green's function.
(all physical properties)

$$G^M(k_1, k_2, i\omega_m) \xrightarrow{i\omega_m \rightarrow \omega + i0^+} G^R(k_1, k_2, \omega + i0^+)$$

spectral function $A(k, \omega)$ = number of particles with k, ω

$$A(k, \omega) = -\frac{1}{\pi} \text{Im} [G^R(k, k, \omega + i0^+)]$$

DOS = number of particles with ω

$$n(\omega) = \int_k A(k, \omega)$$

For $H(k) = \sum_k c_k^\dagger c_k \Rightarrow S \approx \frac{\partial}{\partial t} - H \approx (i\omega_m - \epsilon_k) c_k^\dagger c_k$

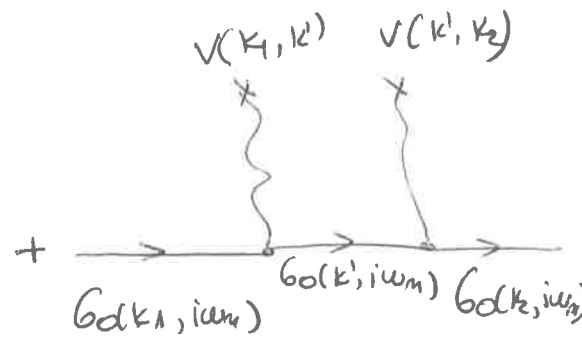
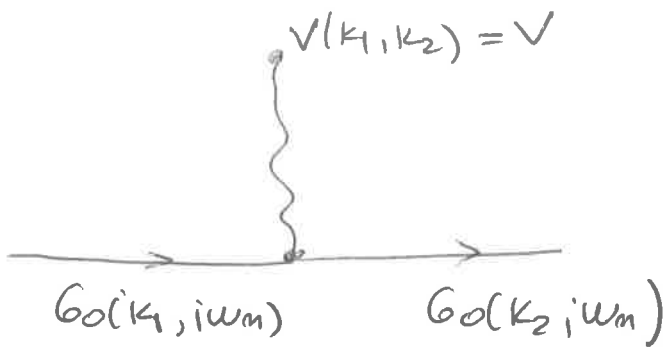
$$G^0(k, i\omega_m) = G^0(k, k, i\omega_m) = \frac{1}{i\omega_m - \epsilon_k}$$

$k_1 = k_2$ - translational invariance
momentum conservation.

$$\xrightarrow{G_0(k, i\omega_m)}$$

Scattering

$$\xrightarrow{G(k_1, k_2, i\omega_m)} = \xrightarrow[k_1 = k_2]{G_0(k_1, k_2, i\omega_m)} +$$

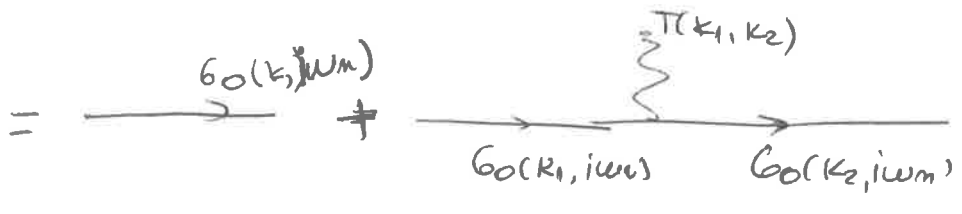


$$G_0(k_1, i\omega_m) \bar{V}(k_1, k_2) G_0(k_2, i\omega_m) +$$

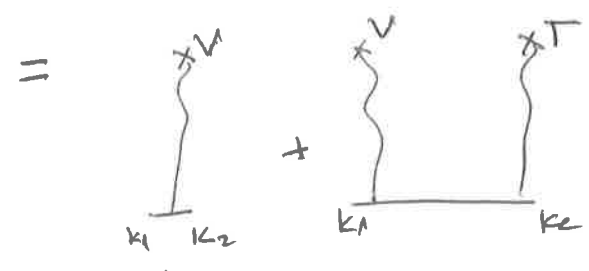
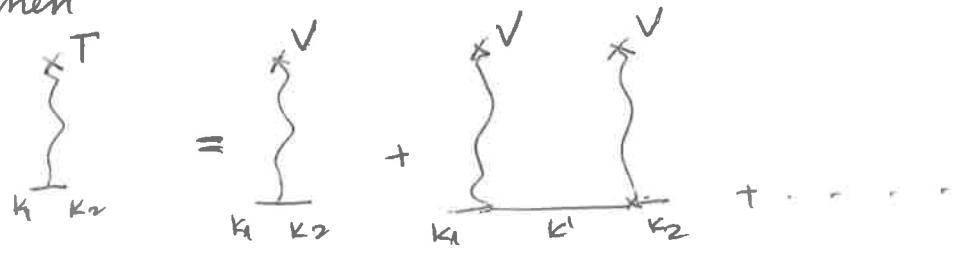
$$G_0(k_1, i\omega_m) \int_{k'} G_0(k', i\omega_m) V(k_1, k') \bar{V}(k', k_2) G_0(k_2, i\omega_m)$$

+ ...

$$G(k_1, k_2, i\omega_m) = G_0(k, i\omega_m) + G_0(k_1, i\omega_m) \bar{T}(k_1, k_2) G_0(k_2, i\omega_m)$$



rewrite then



self consistent equation.

first term = Born approximation.

if V independent of $k_1, k_2 \Rightarrow \sigma^r$ function potential.

$\Rightarrow T$ independent of k_1, k_2 .

$$\text{and } T(k_1, k_2, i\omega_m) = \bar{V}(k_1, k_2) + \sum_{k'} V(k_1, k') \cdot G_0(k', i\omega_m) \cdot T(k_1, k_2, i\omega_m)$$

becomes

$$T(i\omega_m) = \bar{V} + \int_{k'} \bar{V} G_0(k', i\omega_m) T(i\omega_m)$$

$$T(i\omega_m) \left[1 - \int_{k'} \bar{V} G_0(k', i\omega_m) \right] = \bar{V}$$

$$T(i\omega_m) = \frac{\bar{V}}{1 - \int_{k'} \bar{V} G_0(k', i\omega_m)}$$

$$G(k_1, k_2, i\omega_m) = G_0(k_2, i\omega_m) + G_0(k_1, i\omega_m) T(i\omega_m) \cdot G_0(k_2, i\omega_m)$$

FT of momentum space \Rightarrow real space.

$$G(\vec{R}_1, \vec{R}_2, i\omega_m) = G_0(\vec{R}_1 - \vec{R}_2, i\omega_m) + \int_{\vec{R}} G_0(\vec{R} - \vec{R}_1, i\omega_m) T(i\omega_m) G_0(\vec{R}_2 - \vec{R}, i\omega_m)$$

for $\delta(r)$ potential

$$= G_0(\vec{R}_1 - \vec{R}_2, i\omega_m) + G_0(-\vec{R}_1, i\omega_m) T(i\omega_m) G_0(\vec{R}_2, i\omega_m)$$

$$\text{LDOS}(\vec{R}, \epsilon) = -\frac{1}{\pi} \text{Im} \left[G(\vec{R}, \vec{R}, \epsilon + i0^+) \right] \xrightarrow{\text{exp 23-26}} \text{sholes} \quad (7)$$