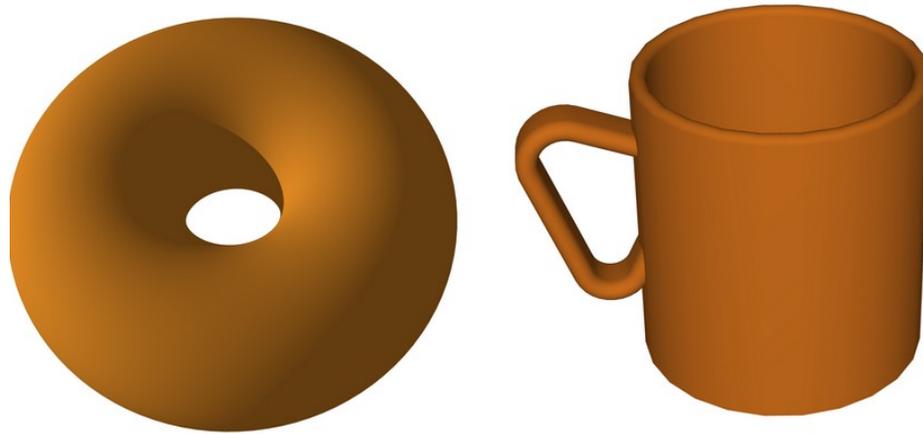


# Topology in condensed matter



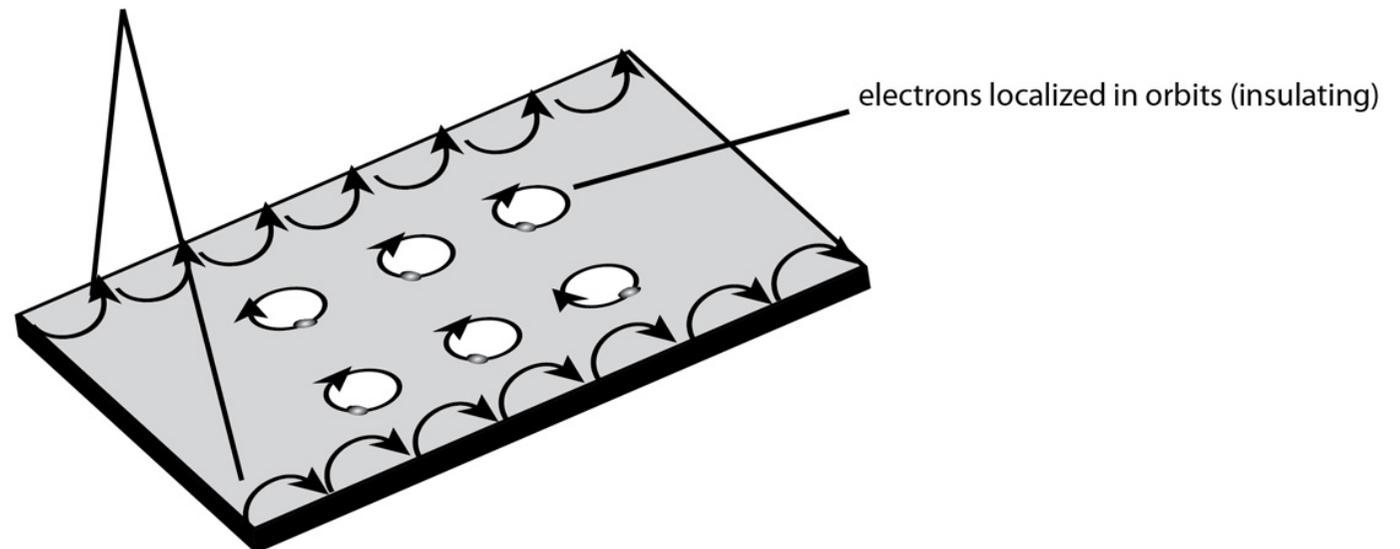
- stability to deformations: continuous modification between the two shapes possible



# Topology in condensed matter

- mathematics: properties of spaces that are invariant under smooth deformations
- physics: two different **Hamiltonians** can **smoothly** be deformed into each other
- Examples: **edge states**

electrons can move along edge (conducting)

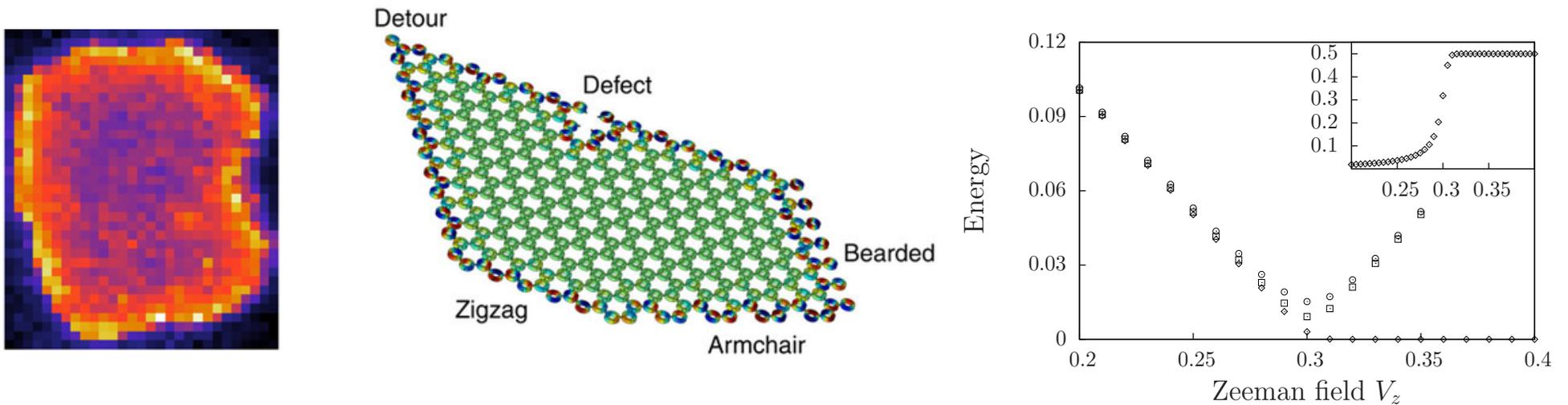


# Topology in condensed matter

## Topological edge states

Stable with respect to:

- disorder
- shape of boundary
- modifications of the parameters in the Hamiltonian



Stability: usefulness for quantum computation

# Non-topological edges states

## Graphene

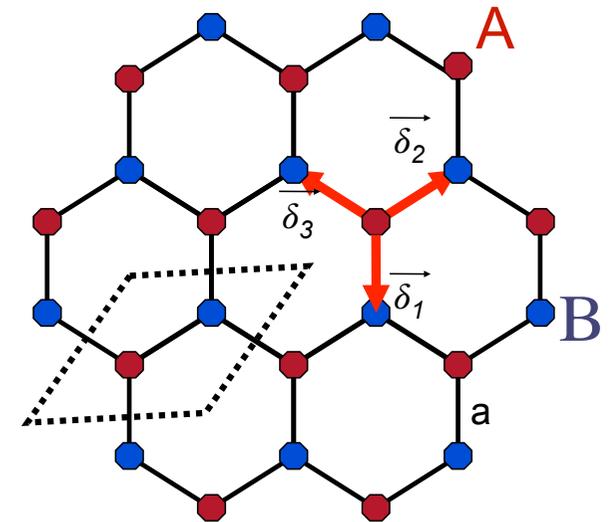
**Tight-binding Hamiltonian:** kinetic terms - ‘hopping’ between nearest neighbors

$$\hat{H} = -t \sum_{\langle ij \rangle} (\hat{a}_i^\dagger \hat{b}_j + \hat{b}_j^\dagger \hat{a}_i) \quad \hat{a}_i^\dagger = \frac{1}{\sqrt{N/2}} \sum_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}_i} \hat{a}_{\mathbf{k}}^\dagger \quad a, b: \text{annihilation/creation operators for electrons on sites A and B}$$

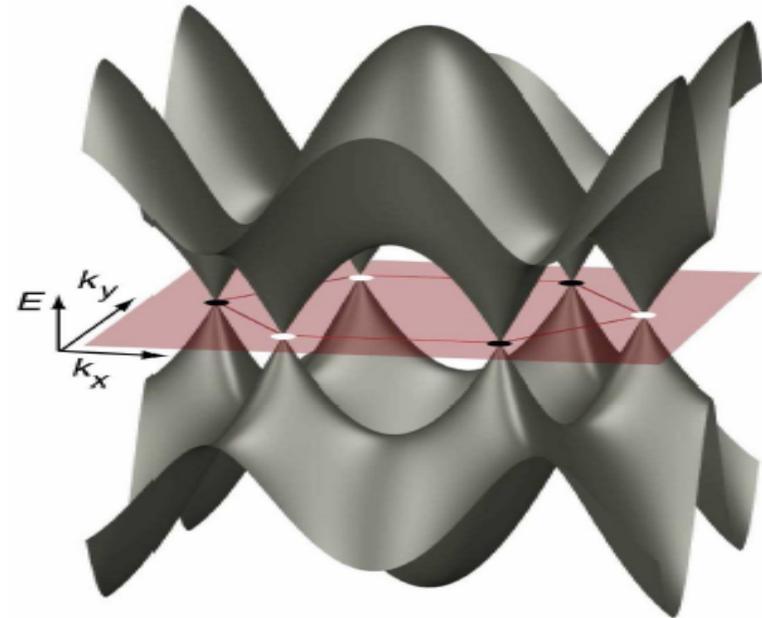
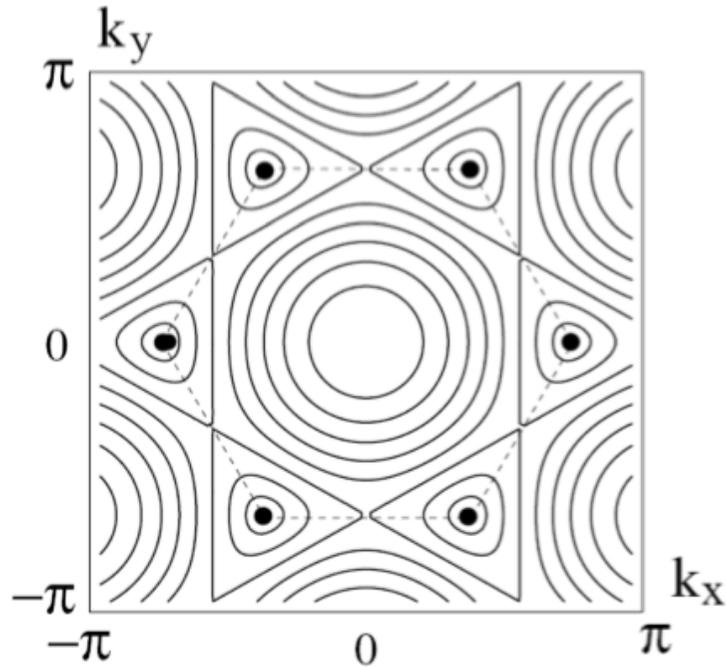
$$\hat{H} = \sum_{\mathbf{k}} \Psi^\dagger \mathbf{h}(\mathbf{k}) \Psi \quad \Psi \equiv \begin{pmatrix} \hat{a}_{\mathbf{k}} \\ \hat{b}_{\mathbf{k}} \end{pmatrix} \quad \Psi^\dagger = (\hat{a}_{\mathbf{k}}^\dagger \quad \hat{b}_{\mathbf{k}}^\dagger)$$

$$\mathbf{h}(\mathbf{k}) \equiv -t \begin{pmatrix} 0 & \Delta_{\mathbf{k}} \\ \Delta_{\mathbf{k}}^* & 0 \end{pmatrix} \quad \Delta_{\mathbf{k}} = e^{i\mathbf{k}\cdot\boldsymbol{\delta}_1} + e^{i\mathbf{k}\cdot\boldsymbol{\delta}_2} + e^{i\mathbf{k}\cdot\boldsymbol{\delta}_3}$$

$$E_{\pm}(\mathbf{k}) = \pm t \sqrt{1 + 4 \cos\left(\frac{3}{2}k_x a\right) \cos\left(\frac{\sqrt{3}}{2}k_y a\right) + 4 \cos^2\left(\frac{\sqrt{3}}{2}k_y a\right)}$$



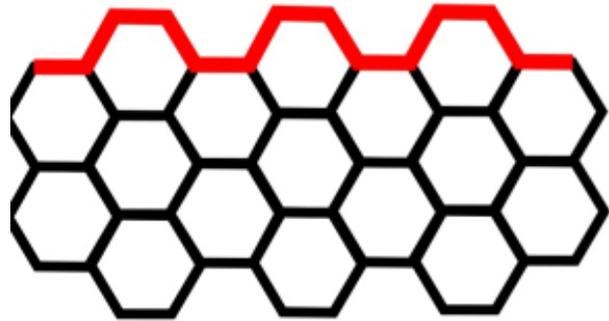
# Graphene band structure



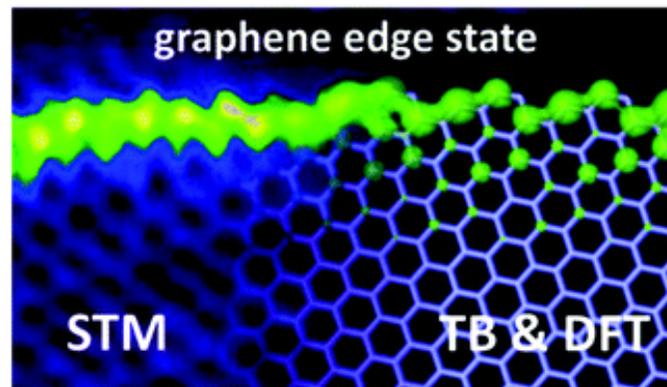
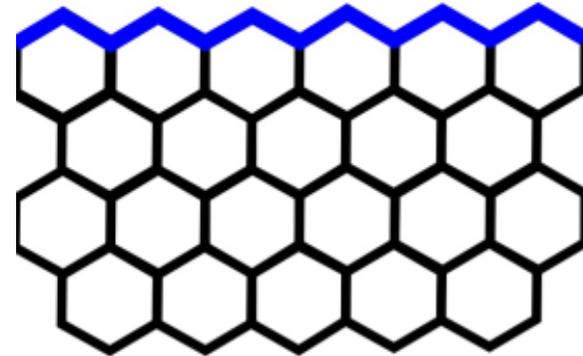
- Hexagonal Brillouin zone
- Zero energy = corners of BZ: Dirac points
- Dirac points → nodal quasiparticles with linear dispersion

# Graphene edges states

armchair-edge

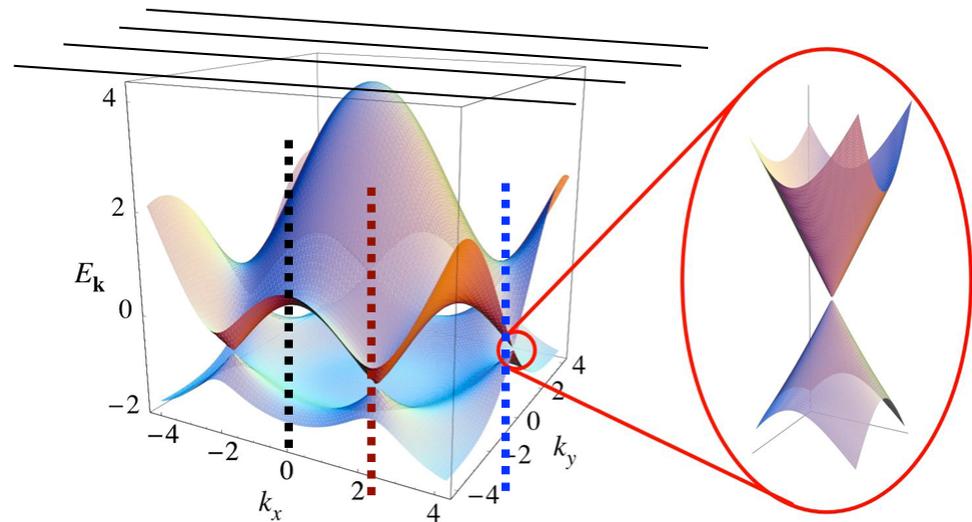
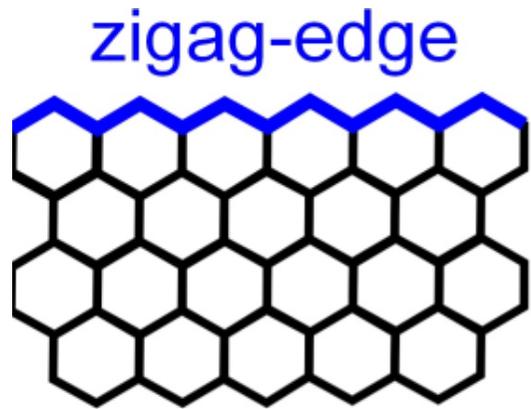


zigzag-edge

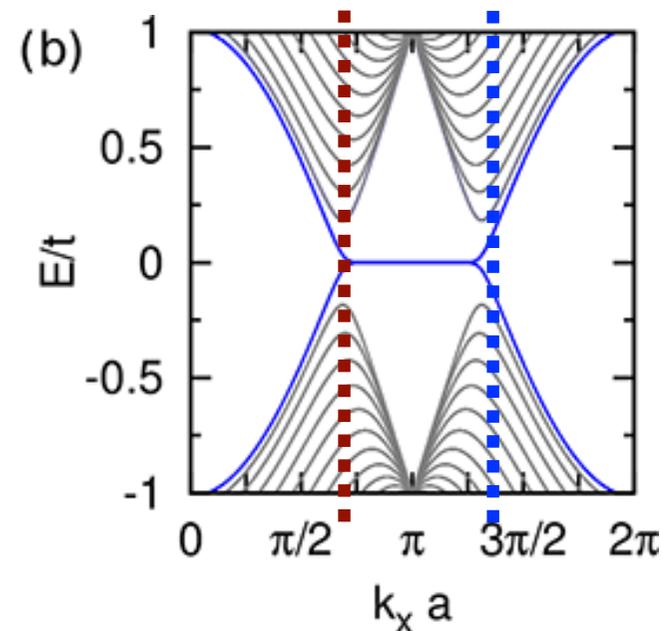
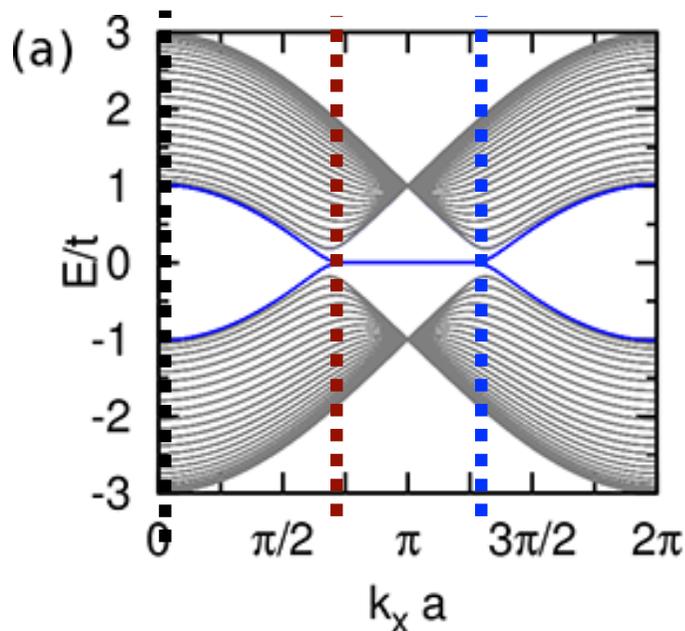


**Edge states:** wavefunctions localized on the edges, increased density of states

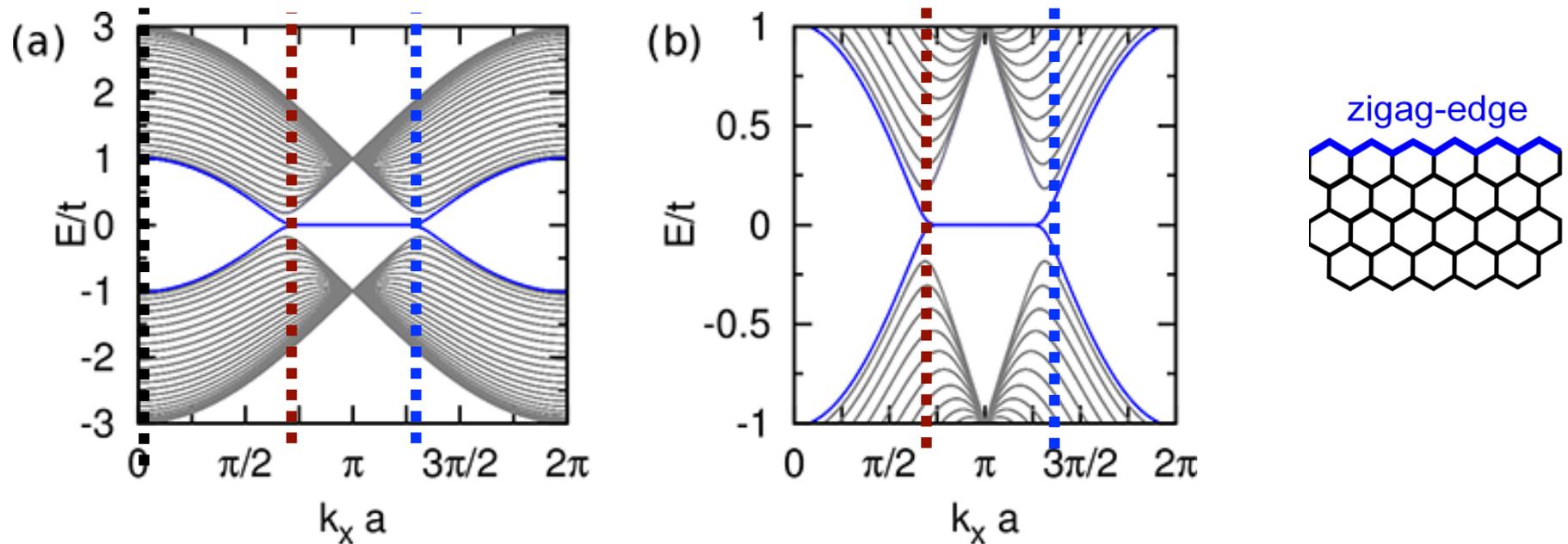
# Graphene edges states



- finite dimension in the  $y$  direction: quantization of  $k_y$
- band structure of the ribbon obtained from projection of cuts of the bulk band structure for all allowed values of  $k_y$
- one good quantum number:  $k_x$



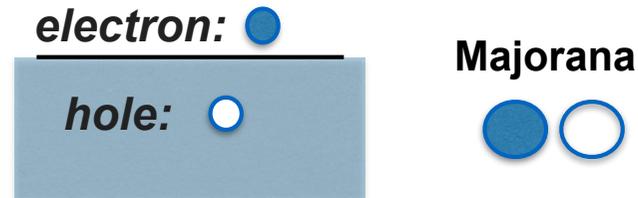
# Graphene edges states



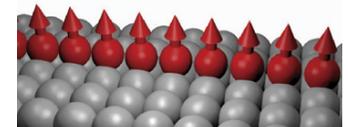
- in black: the **bulk** band structure
- new states denoted in blue: **edge states**
- **flat band**: zero energy for a large set of momenta: each momentum corresponds to one edge state
- **non-topological**: unstable to perturbations
- armchair edges - no edge states

# Topological superconductivity and Majorana states

- Majorana states: condensed matter version of Majorana fermions (their own antiparticle) neutrinos ?
- equal combination of electrons and holes → self-adjoint

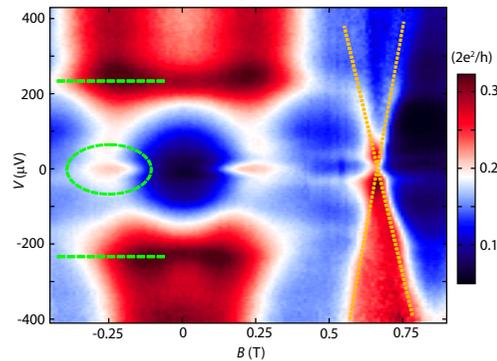


- Statistics
  - Majorana fermions (particle physics): fermionic statistics
  - Majorana states (condensed matter): **non-Abelian statistics**
- **Topological**
  - do not depend on the details of the system, disorder, etc.
  - protected and more stable than classical cubits
- Important factors for **quantum computation**
- Can be used as qubits for quantum computers
- **Materials proposes to host Majorana states**
  - p-wave superconductors:  $\text{Sr}_2\text{RuO}_4$
  - semi-conductor wires: strong spin-orbit coupling, Zeeman, SC proximity
  - magnetic atom chains

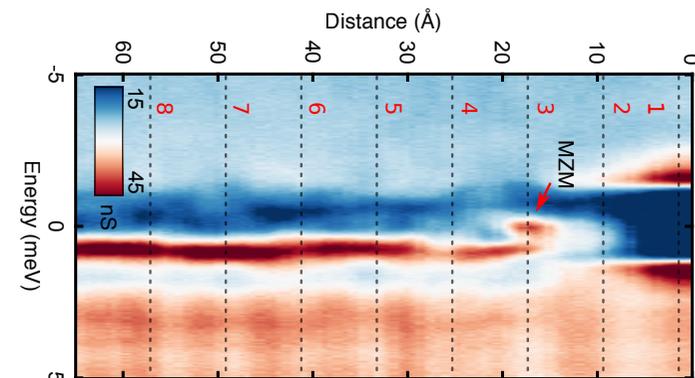


# Majorana states

- Do they exist? How to probe experimentally?
- Observed signatures (**zero-bias peaks**) are controversial
- Can come from non-Majorana states (ABS *Pillet, Quay, Morfin, Bena, Levy Yeyatti, Nat. Phys. 2010*, others *Lutchyn & al. Nat. Rev. Mat. 2018*)



*Mourik, Kouwenhoven & al. Science 2012*



*Jeon, Yazdani & al. Science 2017*

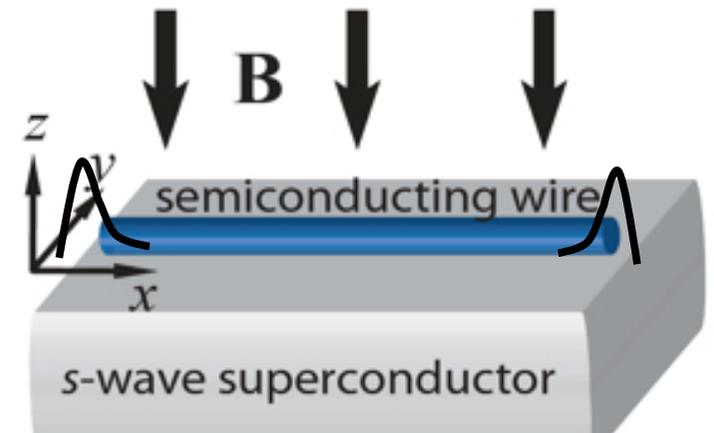
# Majorana fermions in quantum wires

$$H = \sum_j \Psi_j^\dagger [(\mu - t)\tau_z + V_x \sigma_x - \Delta \tau_x] \Psi_j - \frac{1}{2} \left[ \Psi_j^\dagger (t + i\alpha \sigma_y + i\beta \sigma_x) \tau_z \Psi_{j+1} + \text{h.c.} \right]$$

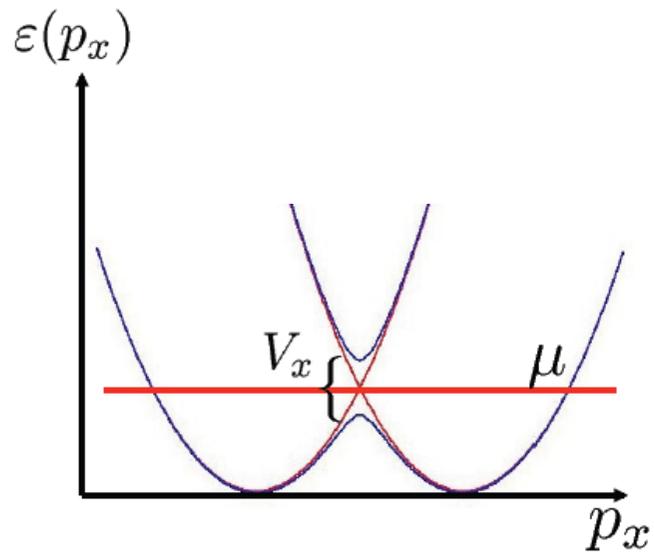
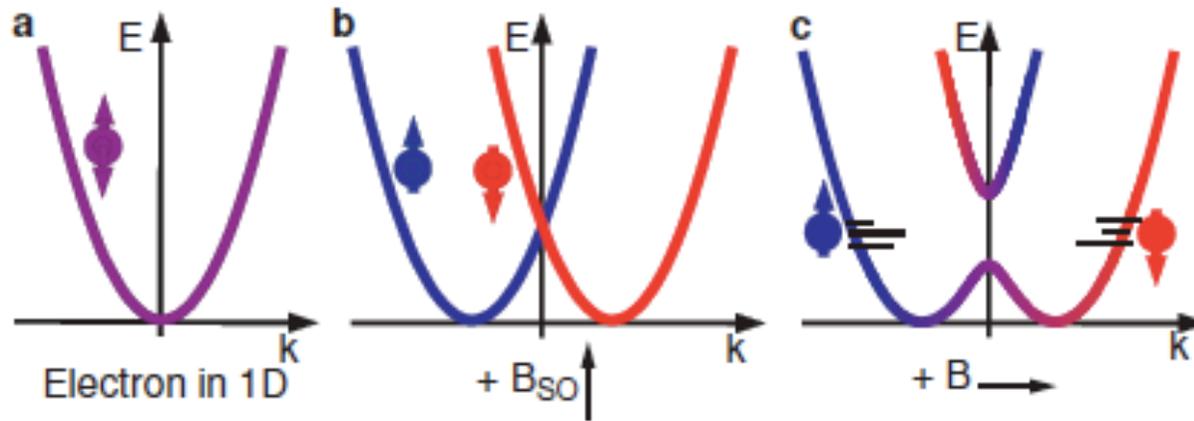
Rashba spin-orbit

Zeeman

Proximity with s-wave SC



**Rashba** spin-orbit coupling: nearest neighbour hopping with spin-flip



Effect on band structure: doubling and lateral shifting of the two original dispersions

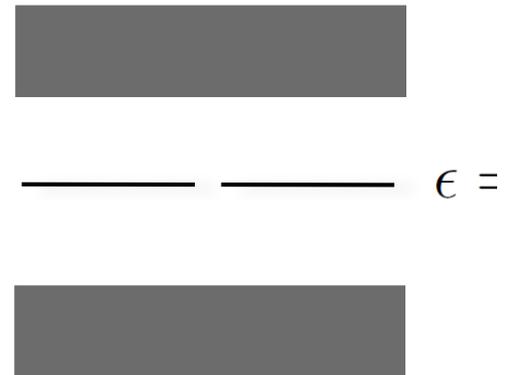
# Majorana fermions in quantum wires

$$H = \sum_j \Psi_j^\dagger [(\mu - t)\tau_z + V_x \sigma_x - \Delta \tau_x] \Psi_j - \frac{1}{2} \left[ \Psi_j^\dagger (t + i\alpha\sigma_y + i\beta\sigma_x)\tau_z \Psi_{j+1} + \text{h.c.} \right]$$

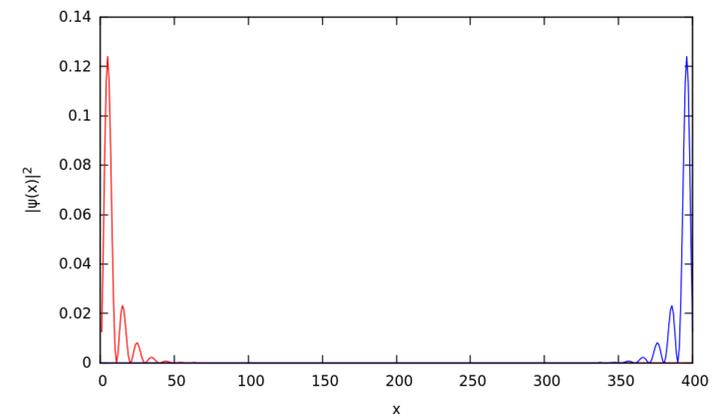
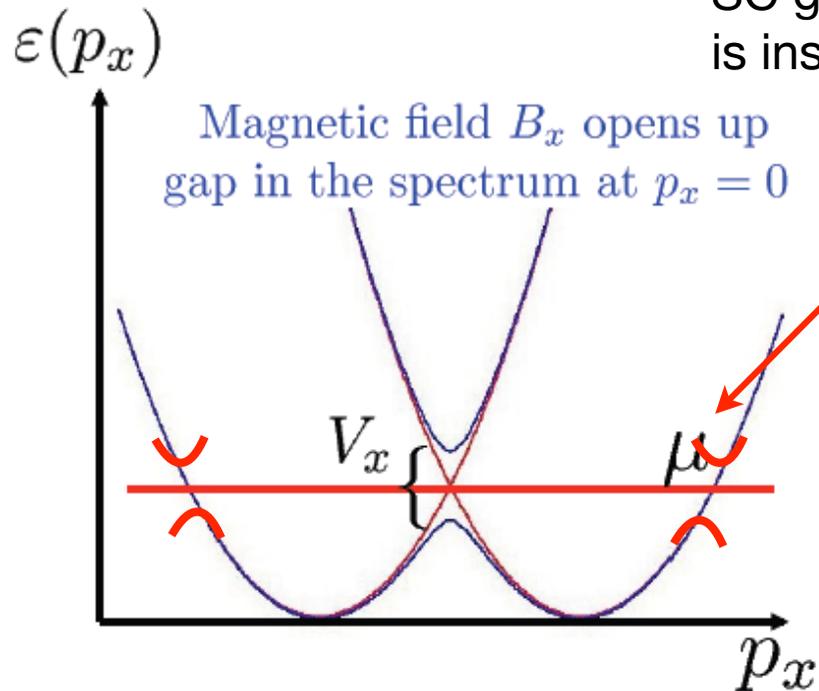
Spin-orbit      Zeeman

Proximity with s-wave SC

**Majorana states**

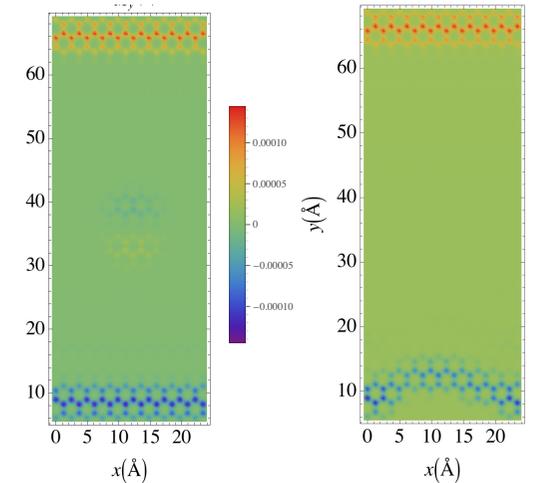
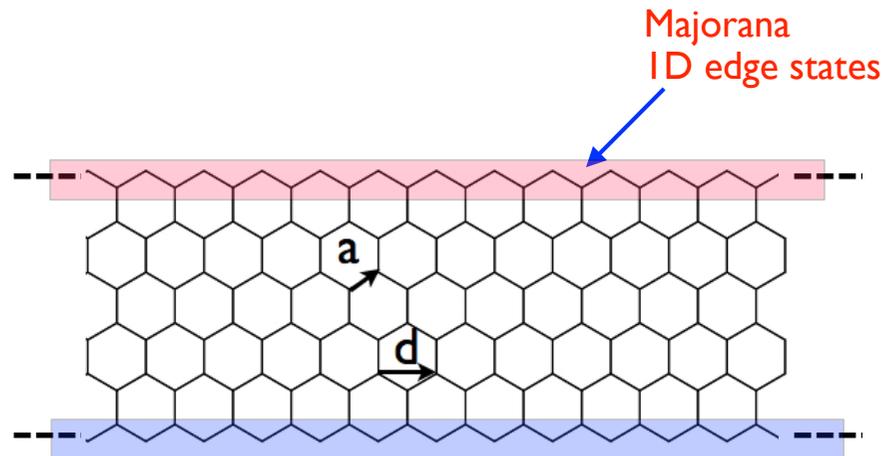
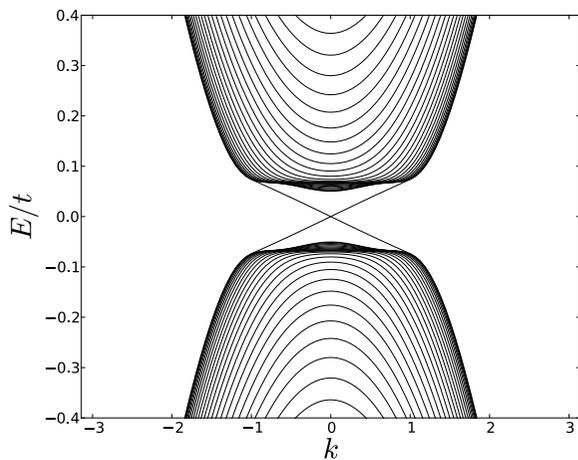
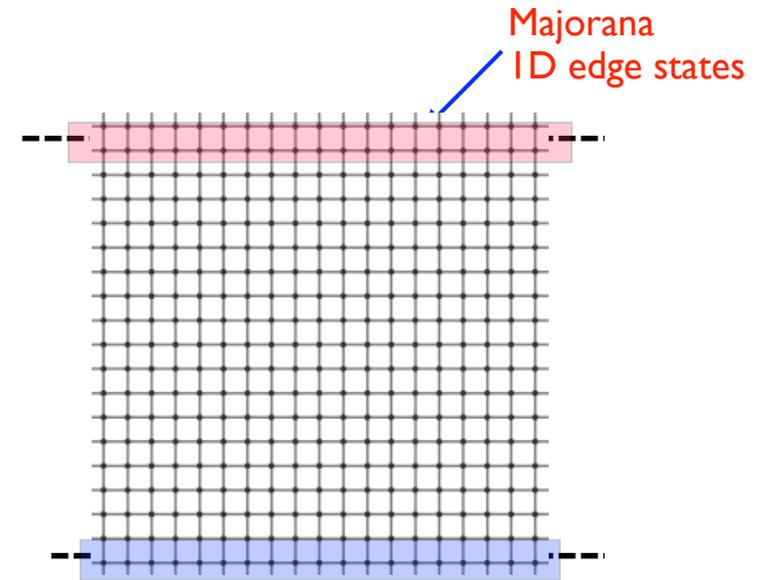


SC gap opening: p-wave type if  $\mu$  is inside the gap opened by B



# Majorana fermions in 2D models

- Spinless p-wave SC: 2D Kitaev model
- Rashba + Zeeman + superconductivity
- graphene + Rashba + Zeeman
- Rashba can be substituted by an inhomogeneous magnetic field



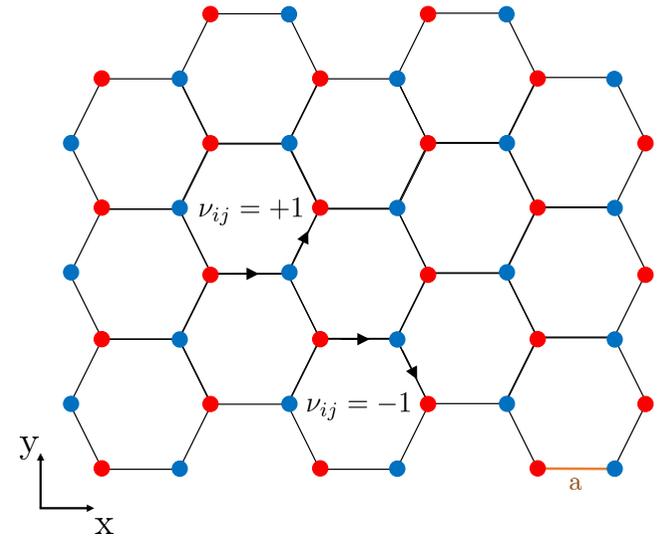
# Kane-Mele model

## Topological insulators

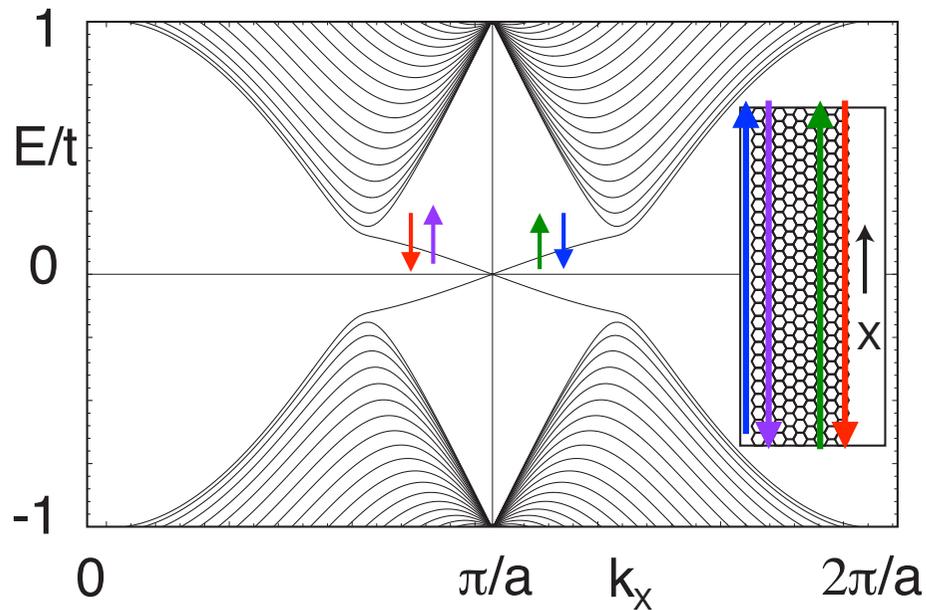
$$\mathcal{H} = \sum_{\langle ij \rangle \alpha} t c_{i\alpha}^\dagger c_{j\alpha} + \sum_{\langle\langle ij \rangle\rangle \alpha\beta} it_2 \nu_{ij} s_{\alpha\beta}^z c_{i\alpha}^\dagger c_{j\beta}$$

Regular hopping

**Spin-orbit coupling**  
next to nearest neighbour  
spin-dependent hopping



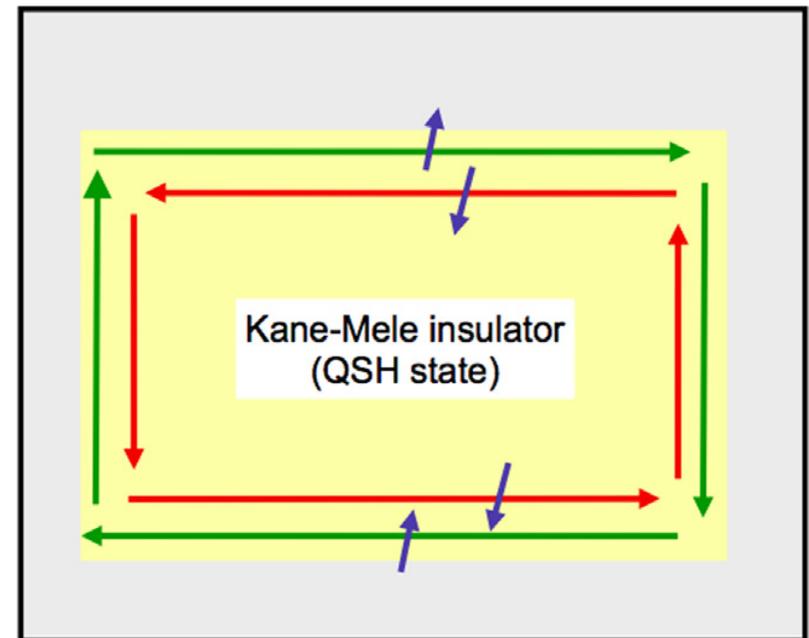
Band structure: bulk + edge states



Bulk - gapped: insulator

Helical spin-polarised edge modes

Conducting edges



spin down

right edge

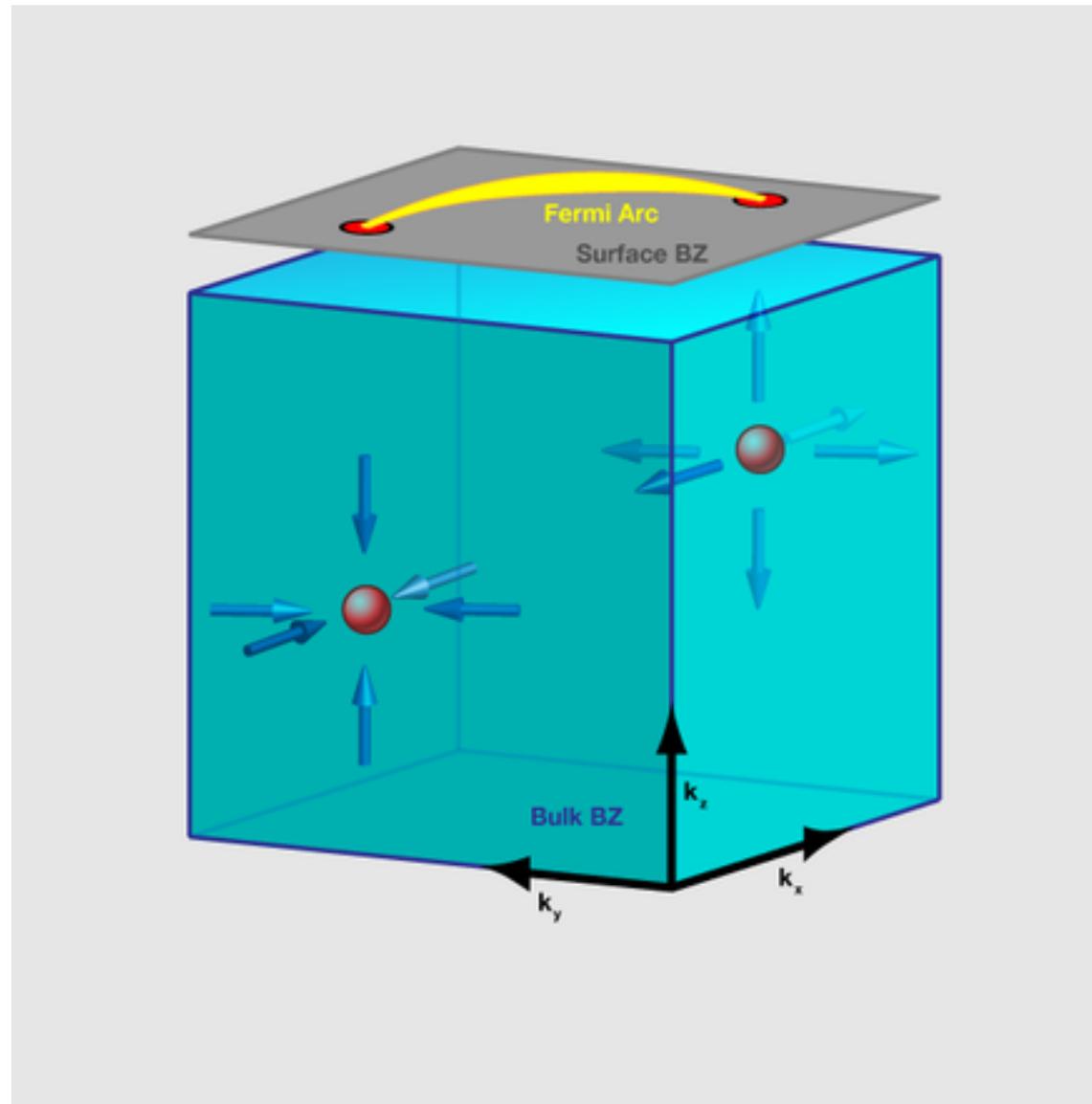
spin up

left edge

# Quantum Hall Effect

To be described by Thierry in the 3-6 lectures

# Weyl semimetals

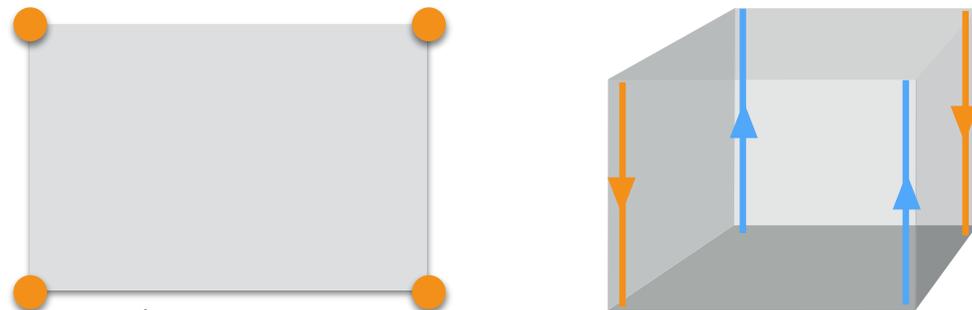


# Higher order topological insulators

- 1st order (regular)  $d_{sys}-d_{edge}=1$



- 2nd order  $d_{sys}-d_{edge}=2$



- 3rd order  $d_{sys}-d_{edge}=1$

