

# Effects of initial-state dynamics on collective flow within a coupled transport and viscous hydrodynamic approach

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We evaluate the effects of preequilibrium dynamics on observables in ultrarelativistic heavy-ion collisions. We simulate the initial nonequilibrium phase within A MultiPhase Transport (AMPT) model, while the subsequent near-equilibrium evolution is modeled using (2+1)-dimensional relativistic viscous hydrodynamics. We match the two stages of evolution carefully by calculating the full energy-momentum tensor from AMPT and using it as input for the hydrodynamic evolution. With a shear viscosity to entropy density ratio of 0.12, our model describes quantitatively a large set of experimental data on Pb+Pb collisions at the Large Hadron Collider (LHC) over a wide range of centrality: differential anisotropic flow  $v_n(p_T)$  ( $n = 2 - 6$ ), event-plane correlations, correlation between  $v_2$  and  $v_3$ , and cumulant ratio  $v_2\{4\}/v_2\{2\}$ .

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## I. INTRODUCTION

High-energy heavy-ion collision experiments at the Relativistic Heavy-Ion Collider (RHIC) [1, 2] and at the Large Hadron Collider (LHC) [3–5] have established the formation of a strongly-interacting Quark-Gluon Plasma (QGP). Evidence is based on the large collective flow observed in the plane transverse to the beam axis, in particular the anisotropic flow. These observations can be explained by treating the formed QGP as a viscous relativistic fluid [6–9], with a small shear viscosity to entropy density ratio  $\eta/s$  [10], corresponding to a strongly-interacting system [11]. The flow is found to originate mostly from the early, partonic stage of the expansion. It is therefore essential to scrutinize its sensitivity to the early dynamics, in particular, to the early stages where hydrodynamics cannot be applied.

The initial stage, defined as the stage after which the hydrodynamic description is permissible, is the largest source of uncertainty in hydrodynamic modeling. Not only is the initial energy density profile poorly constrained [12, 13], the matter formed is also out of equilibrium in several respects. First, the expansion into the vacuum generates significant transverse flow at early times, which must be taken into account when setting up realistic initial conditions for hydrodynamics [14, 15]. Second, due to the rapid longitudinal expansion, the pressure is strongly anisotropic at early times [16] (the longitudinal pressure is smaller than the transverse pressure) which has triggered the development of “anisotropic hydrodynamics” [17, 18]. Both effects, initial flow and pressure anisotropy, are encoded in the energy-momentum tensor  $T^{\mu\nu}$  used as an initial condition for hydrodynamic calculations. Therefore, a proper approach to preequilibrium dynamics is to model the full  $T^{\mu\nu}$ . This has first been done in the context of strong-coupling calculations [19], and more recently in the weak-

coupling regime [20, 21]. However, there are to date few hydrodynamic calculations using as input the full energy-momentum tensor  $T^{\mu\nu}$  from a state-of-the-art approach [22]. For instance, the IP-Glasma+MUSIC calculation of Ref. [23] does not conserve  $T^{\mu\nu}$  when switching from classical gluon dynamics to hydrodynamics.

In this article, we use the multiphase transport model AMPT [24] to model the preequilibrium dynamics. AMPT implements realistic cross sections between particles. It thus complements previous idealized approaches using weak coupling or strong coupling techniques. AMPT is able to simulate the entire collision event, but we use it here only to model the initial stages. It has been used earlier as an input to ideal [25] and viscous [26, 27] hydrodynamic calculations, but at the expense of discarding part of the information contained in  $T^{\mu\nu}$ . Here, we switch from AMPT to (2+1)-dimensional second-order viscous hydrodynamics [9] by matching the full  $T^{\mu\nu}$ . The details of this hybrid model are described in Sec. II. In Sec. III, we discuss the sensitivity of hydrodynamic flow to the initial stages. In Sec. IV, we compare the results of our model with several LHC data on Pb+Pb collisions at 2.76 and 5.02 TeV: transverse-momentum spectra, anisotropic flow, correlations between flow magnitudes in different harmonics, two- and three-event-plane correlators.

## II. THE MODEL AND THE INITIAL CONDITIONS

The AMPT model [24] is a widely-used transport model which provides a good description of several observables of heavy-ion collisions, in particular pair correlations [28] and anisotropic flow [29, 30], over a wide range of colliding energies [31]. AMPT has also been able to predict quantitatively the magnitudes of event-

plane correlations [32, 33] and other multiparticle correlations [34]. The AMPT version implemented in this paper uses the HIJING 2.0 model [35, 36] to determine the nucleon configuration in an event. Nucleons can undergo soft collisions, which lead to string excitations, and hard collisions, which produce minijet partons [37]. We have employed the string melting version of AMPT [24], in which strings are melted into their constituent quarks and antiquarks, and which improves the description of the anisotropic flow data. The scatterings among these quarks and minijet partons and their evolution are treated with ZPC parton cascade [38] with a parton-parton elastic cross section of 1.5 mb.

While AMPT by itself can simulate the entire collision event, we use it here only to describe the first stages, and then couple it to a viscous hydrodynamic description. The hydrodynamic code we use [9] is 2+1 dimensional, in the sense that it assumes boost invariance in the longitudinal direction [39] and determines numerically the transverse flow only. This choice is motivated by simplicity, and by the observation that anisotropic flow depends little on rapidity [4, 40, 41]. We thereby neglect the modest effect of longitudinal fluctuations [42–44]. The transition from AMPT to hydrodynamics is implemented on a constant proper time hypersurface  $\sqrt{t^2 - z^2} = \tau_{\text{sw}}$ . Since the AMPT model is 3+1 dimensional, we need to project it as we switch to the 2+1 dimensional hydrodynamic model. This is achieved by averaging over the space-time rapidity  $\eta_s$ , defined as  $\eta_s \equiv (1/2) \ln[(t+z)/(t-z)]$ , in the window  $-3 < \eta_s < 3$ .<sup>1</sup> We include all particles in this window and consider only their longitudinal momenta relative to the fluid. In the Bjorken picture [39], the longitudinal fluid velocity is  $v_z = z/t$ . Keeping only the longitudinal motion relative to the fluid amounts to transforming the energy and momentum according to:

$$\begin{aligned} E' &= E \cosh \eta_s - p_z \sinh \eta_s, \\ p'_z &= p_z \cosh \eta_s - E \sinh \eta_s, \end{aligned} \quad (1)$$

while the transverse momentum is unchanged:  $p'_T = p_T$ .

We now describe how the energy-momentum  $T^{\mu\nu}$  is evaluated. Switching from a discrete description, in terms of pointlike particles, to a continuous description, in terms of a fluid, typically involves a coarse-graining procedure, where one defines a fluid element by the particles it contains. We choose an alternative procedure and treat each particle as an extended object, whose size is much larger than the transverse distance between particles, so that the fluid formed by all the particles is smooth. Specifically, we smear each parton in AMPT by 2D Gaussian distribution in the transverse plane [45].

$T^{\mu\nu}$  is defined at each point as

$$\begin{aligned} T^{\mu\nu}(x, y) &= \frac{1}{2\pi\sigma^2\tau_{\text{sw}}\Delta\eta_s} \sum_i \frac{p_i^\mu p_i^\nu}{p_i^0} \\ &\times \exp\left[-\frac{(x-x_i)^2 + (y-y_i)^2}{2\sigma^2}\right], \end{aligned} \quad (2)$$

where the sum runs over all partons  $i$  with transverse coordinates  $(x_i, y_i)$  and energies  $E'_i \equiv p_i^0 = \sqrt{\mathbf{p}_i^2 + m_i^2}$ , and  $\Delta\eta_s = 6$  is the width of the  $\eta_s$  window. The Gaussian transverse width is a free parameter which we set to  $\sigma = 0.8$  fm.

The energy-momentum tensor in viscous hydrodynamics is usually written as [46]

$$T^{\mu\nu} = \epsilon u^\mu u^\nu - (P + \Pi)\Delta^{\mu\nu} + \pi^{\mu\nu}, \quad (3)$$

where  $u^\mu$  is the fluid 4-velocity,  $\epsilon$  and  $P$  are the energy density and pressure in the fluid's local rest frame,  $\Delta^{\mu\nu} = g^{\mu\nu} - u^\mu u^\nu$  is the projection operator on the three-space orthogonal to  $u^\mu$  defined in the Landau frame,  $\Pi$  is the bulk pressure, and  $\pi^{\mu\nu}$  is the shear pressure tensor. We now explain how the quantities in the right-hand side of Eq. (3) are obtained from  $T^{\mu\nu}$ .  $\epsilon$  and  $u^\mu$  are given by the Landau matching condition:

$$T^{\mu\nu} u_\nu = \epsilon u^\mu. \quad (4)$$

The pressure  $P$  is then related to  $\epsilon$  by the equation of state. We have employed the s95p-PCE equation of state [47] which is obtained from fits to lattice data for crossover transition and matches a realistic hadron resonance gas model at low temperatures  $T$ , with partial chemical equilibrium (PCE) of the hadrons at temperatures below  $T_{\text{PCE}} \approx 165$  MeV.

The bulk pressure  $\Pi$  is then obtained from the trace:

$$T^\mu_\mu = \epsilon - 3(P + \Pi). \quad (5)$$

Using Eq. (2), the contribution of each parton to  $T^\mu_\mu$  is proportional to  $p^\mu p_\mu = m^2$ . The masses of partons in AMPT are current quark masses, which are small for light quarks, so that the bulk pressure  $\Pi$  is small. We neglect it in the present calculation. Finally, the shear pressure tensor  $\pi^{\mu\nu}$  is given by Eq. (3).

Our procedure conserves the full structure of the energy momentum tensor from the initial stage, and therefore automatically includes the effect of initial transverse flow and a viscous corrections to the pressure tensor. The resulting hybrid calculation is more consistent, and the initial conditions are more realistic than typical prescriptions where  $\pi^{\mu\nu}$  is set to 0 or initialized to the Navier-Stokes value [48]. They account for the full preequilibrium dynamics.

The hydrodynamic evolution is continued till each fluid cell reaches a decoupling temperature of  $T_{\text{dec}} = 120$  MeV. The hadronic spectra are obtained at this temperature using the Cooper-Frye prescription [49]:

$$\frac{dN}{d^2p_T dy} = \frac{g}{(2\pi)^3} \int p_\mu d\Sigma^\mu f(x, p), \quad (6)$$

<sup>1</sup> We choose a large rapidity window to maximize the statistics.

where  $g$  is the degeneracy,  $p^\mu$  is the four-momentum of the particle,  $d\Sigma^\mu$  represents the element of the 3D freeze-out hypersurface and  $f(x, p) = f_0 + \delta f$  is the nonequilibrium phase-space distribution function at freezeout. We have used the standard viscous correction form corresponding to Grad's 14-moment approximation [50]:

$$\delta f = \frac{f_0 \tilde{f}_0}{2(\epsilon + P)T^2} p^\alpha p^\beta \pi_{\alpha\beta}, \quad (7)$$

where corrections up to second order in momenta are present, and  $\tilde{f}_0 \equiv 1 - r f_0$ , with  $r = 1, -1, 0$ , are the equilibrium distributions for the Fermi, Bose, and Boltzmann gases, respectively. Resonances of masses up to about 2.25 GeV are included in the calculations to be consistent with the s95p-PCE equation of state, and the results presented include the resonance decays.

In this work, we neglect the temperature dependence of the shear viscosity over entropy ratio  $\eta/s$  [51]. We choose the value  $\eta/s = 0.12$  which gives a good description of anisotropic flow data (see Sec. IV).

The initial conditions from AMPT need to be adjusted. The reason is that the multiplicity obtained in the AMPT+hydrodynamics model is slightly smaller than that obtained using the AMPT model alone, which matches experimental data. This can be due to the projection from 3 to 2 dimensions when we switch from AMPT to hydrodynamics, or from a difference between the effective viscosity in the AMPT calculation and that used in the hydrodynamic calculation. We therefore rescale the initial energy density profile of the hydrodynamic calculation [15] by a constant factor. The value of this factor, which is roughly 1.2, is determined by fitting the charged multiplicity density  $dN_{ch}/dy$  to 2.76 TeV LHC data in the 0–5% centrality range. We use the same factor for other centralities and other colliding energies.

### III. EFFECTS OF PREEQUILIBRIUM DYNAMICS

We study the sensitivity of collective flow to the preequilibrium dynamics. For this purpose, we generate 300 Pb+Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV in the 40-50% centrality interval, where elliptic flow in the reaction plane is largest [52]. Throughout this article, the centrality  $c$  is defined according to impact parameter  $b$  by  $c = \pi b^2/\sigma$  [53], with a nucleus-nucleus total inelastic cross-section of  $\sigma = 784$  and  $796$  fm<sup>2</sup> for collisions at  $\sqrt{s_{NN}} = 2.76$  and  $5.02$  TeV, respectively, as calculated from the Glauber model [26].

We first test the sensitivity to the preequilibrium dynamics by varying the initialization of the hydrodynamic calculation. Specifically, we compare the default version, where the full  $T^{\mu\nu}$  is evaluated using AMPT (shown as red solid lines in Figs. 1 and 2), with three simplified versions: a fully simplified version where both the initial shear tensor  $\pi^{\mu\nu}$  and the transverse velocity  $v_T$

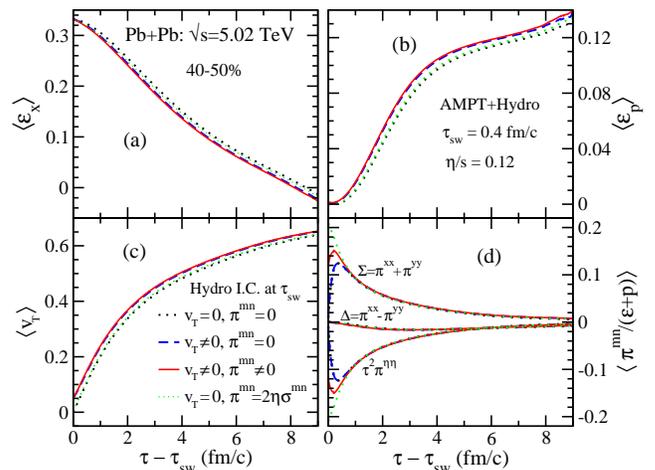


FIG. 1: (Color online) Time evolution of the eccentricity in the reaction plane (a), the momentum anisotropy (b), the transverse flow velocity (c) and various components of the shear pressure tensor  $\pi^{mn}$  normalized by the enthalpy density (d). Averages over the transverse plane in panels (a), (c) and (d) are evaluated with a Lorentz contracted energy density as weight [15]. All quantities are averaged over events. Each panel compares four different initializations (see text). The switching time between AMPT and hydrodynamics is  $\tau_{sw} = 0.4$  fm/c.

are set to 0 (black dotted lines), corresponding to traditional hydrodynamic calculations [48] where the initial conditions are specified solely by the initial energy density profile; one where the transverse velocity is set to zero and the shear tensor to the Navier-Stokes value  $\pi^{\mu\nu} = 2\eta\sigma^{\mu\nu}$  [9, 48] (green dotted lines); and one where one keeps the transverse velocity but sets the shear tensor  $\pi^{\mu\nu}$  to 0 (blue dashed lines). In this way, we can test separately the effects of initial flow and initial shear tensor.

Figure 1 displays the time evolution of various quantities in the hydrodynamic phase. The spatial eccentricity in the reaction plane  $\epsilon_x$  [54, 55] is shown in panel (a). Its initial value is large, corresponding to the almond-shaped area of the overlap region between the nuclei (the impact parameter is in the range 10–11 fm). As the system expands in all directions, its shape becomes rounder and the spatial eccentricity decreases [56]. This decrease is slightly faster if initial transverse flow is included.

The spatial eccentricity creates a momentum anisotropy due to pressure gradients [57], corresponding to elliptic flow. The momentum anisotropy is defined as [48]

$$\epsilon_p \equiv \frac{\int d^2r_\perp (T^{xx} - T^{yy})}{\int d^2r_\perp (T^{xx} + T^{yy})}. \quad (8)$$

Figure 1 (b) shows that  $\epsilon_p$  develops in the first few fm/c of the expansion [58], as the spatial anisotropy  $\epsilon_x$  decreases. The sensitivity of  $\epsilon_p$  to preequilibrium dynamics

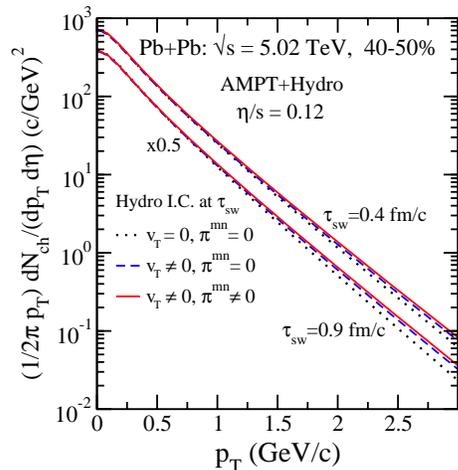


FIG. 2: (Color online) Transverse momentum spectra of charged particles from the AMPT+Hydro calculations. The results are for two switching times  $\tau_{sw} = 0.4$  and  $0.9$  fm/c and three different initial conditions. Curves for  $\tau_{sw} = 0.9$  fm/c are shifted vertically in order to avoid overlapping.

is small, but clearly visible.<sup>2</sup> When initial transverse flow is present, the momentum anisotropy is larger and develops earlier. Note, however, that the value of  $\varepsilon_p$  at  $\tau = \tau_{sw}$  is close to 0, even if initial flow is included. The effect of the initial shear pressure is much smaller than that of initial flow. It only increases slightly the anisotropy, due to the larger transverse pressure. The mean transverse flow velocity, displayed in panel (c), follows the same pattern, showing that radial flow and elliptic flow are closely related. The inclusion of initial flow imparts a small transverse kick (about 5% of the speed of light) at  $\tau_{sw}$ .

Figure 1(d) shows the time evolution of the dominant components of the viscous pressure tensor, namely,  $\tau^2 \pi^{\eta\eta}$ , the sum  $\Sigma = \pi^{xx} + \pi^{yy}$  and the difference  $\Delta = \pi^{xx} - \pi^{yy}$ , all normalized by the enthalpy density. The initial values arising from the AMPT preequilibrium dynamics are about 12% for the first two of these three components. This is in contrast with Ref. [23] where  $\pi^{\mu\nu}$  arising from the preequilibrium dynamics was too large and had to be arbitrarily set to zero. Note also that AMPT initial values for  $\tau_{sw}^2 \pi^{\eta\eta}$  and  $\Sigma$  are about 40% smaller than Navier-Stokes values. As time evolves, the magnitudes of all three components at first increase due to additional contributions from the viscous hydrodynamics VISH2+1. Thereafter, they all decrease and become negligible at late times [9]. The sensitivity to the initial value of  $\pi^{\mu\nu}(\tau_{sw})$  (0, Navier-Stokes, or AMPT) is only visible in the first 1 fm/c: The curves then all converge to the same value. This explains why the results

<sup>2</sup> We switch to hydrodynamics at an early time  $\tau_{sw} = 0.4$  fm/c, therefore the preequilibrium phase does not last long and its effect is limited.

shown in panels (b) and (c) have little sensitivity to the initial value of the shear tensor.

Figure 2 shows the transverse momentum spectra of charged hadrons with different initialization schemes. Transverse flow tends to increase the transverse momentum. We have seen in Fig. 1 (c) that initial flow increases the transverse flow at later times. Therefore, it results in more particles at larger  $p_T$ . Inclusion of the initial shear tensor has a smaller effect, and goes in the same direction. We also display results with a larger value of the switching time  $\tau_{sw} = 0.9$  fm/c. This leads to a larger initial flow from preequilibrium dynamics in AMPT but leaves less time to develop hydrodynamic flow in VISH2+1. The net effect is a slightly softer spectrum compared to that for 0.4 fm/c.

Figure 3 displays the anisotropic flow coefficients  $v_2(p_T)$  to  $v_5(p_T)$ . They are computed for each hydro event using the usual formulas [59]. The average over events is evaluated in a way that closely follows the experimental procedure:  $v_n(p_T)$  is measured by correlating a particle in a given  $p_T$  window with a second particle belonging to the same event, but without any restriction on  $p_T$ , and then averaging over events. The corresponding formulas in hydrodynamics are written explicitly in Ref. [59]. Specifically, the quantity we evaluate is the “two-particle cumulant flow” as defined in this reference.

Figure 3(a) compares the values of  $v_n$  obtained for two different switching times  $\tau_{sw} = 0.4$  fm/c (black dotted lines) and  $0.9$  fm/c (green dashed lines). In this calculation both the transverse velocity and viscous tensor are set to zero at the switching times. Hence, any preequilibrium build-up of flow is ignored here. A delayed start of hydrodynamics at  $\tau_{sw} = 0.9$  fm/c leaves less time for the hydrodynamic build-up of momentum anisotropy. This causes a slight reduction in  $v_n(p_T)$  as compared to the earlier switching time 0.4 fm/c. The effect is more pronounced for higher flow harmonics. Figure 3(b) is similar to 3(a) except that the full preequilibrium dynamics from AMPT is included. This results in a slight increase of  $v_n$ , as expected from Fig. 1 (b). Remarkably, the sensitivity to the switching time becomes negligible once preequilibrium dynamics is included. This means that it is essentially equivalent to run AMPT or viscous hydrodynamics at early times [14].

In Fig. 3(c) we compare  $v_n(p_T)$  for various initial conditions at a fixed  $\tau_{sw} = 0.4$  fm/c. Compared to the initial  $v_T = 0$  case, the inclusion of transverse flow at the switching time injects an additional (finite but small) flow anisotropy at the start of VISH2+1 (see Fig. 1(b)). This results in a slight enhancement of  $v_n(p_T)$  for nonzero flow initialization (blue dashed lines) as compared to the flow-free case (black dotted lines). Further inclusion of viscous tensor has insignificant effect on  $v_n(p_T)$  (red solid lines) as hydrodynamic evolution ceases to remember the initial  $\pi^{\mu\nu}$  values (see Fig. 1(d)).

In summary, preequilibrium dynamics increases the transverse flow, but this is a small increase as long as the switching time is small. The calculations presented

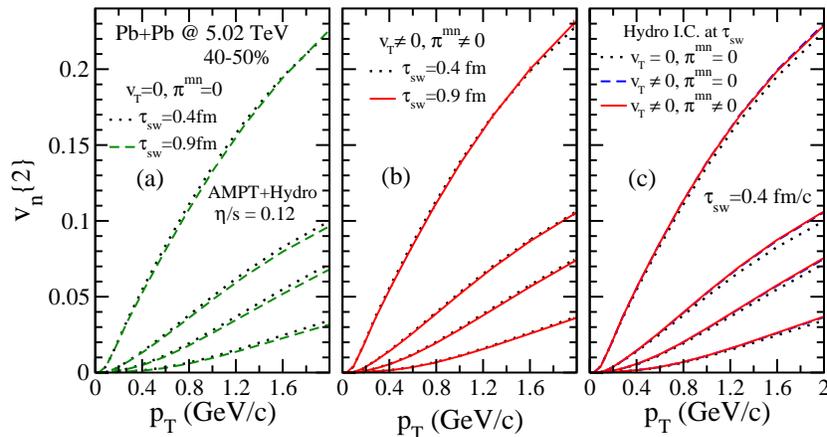


FIG. 3: (Color online) Anisotropic flow coefficients,  $v_n\{2\}(p_T)$  (for  $n = 2 - 5$ , top to bottom), for charged hadrons in the AMPT+Hydro calculations. (a) Initial flow and viscous tensor set to 0, and two different switching times,  $\tau_{sw} = 0.4$  fm/c (black dotted lines) and  $0.9$  fm/c (green dashed lines). (b) With initial flow and viscous tensor from AMPT. (c) Results for three initial conditions at  $\tau_{sw} = 0.4$  fm/c.

in the next section are carried out with the full preequilibrium dynamics from AMPT.

#### IV. COMPARISON WITH LHC DATA

We now compare the results of the AMPT+Hydro hybrid model calculations with various experimental data for Pb+Pb collisions at the LHC, at energies  $\sqrt{s_{NN}} = 2.76$  and  $5.02$  TeV. Results shown in this section are obtained by generating 300 AMPT+Hydro events per centrality bin up to 40% centrality, and 500 events per bin above 40%.

Figure 4 shows the transverse momentum spectra of

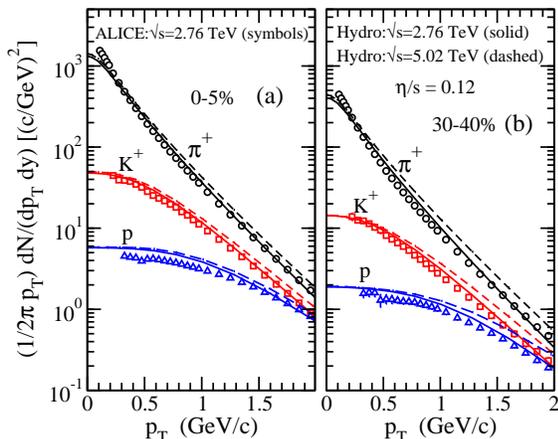


FIG. 4: (Color online) Transverse momentum spectra of pions, kaons, and protons at midrapidity for two centrality ranges, 0 – 5% and 30 – 40% in Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in the AMPT+Hydro model (solid lines) as compared to the ALICE data [60] (symbols). Model predictions of the particle spectra for Pb+Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV are shown as dashed lines.

pions, kaons and protons in the 0 – 5% and 30 – 40% central Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in comparison with the ALICE data at midrapidity [60]. The hybrid model shows a good agreement with the  $\pi^+$  and  $K^+$  spectra up to  $p_T \sim 2$  GeV. The protons being heavier undergo a strong blue-shift due to the radial flow. Our results for protons agree quite well with the data at high  $p_T$ . The over-prediction in the proton yields at low  $p_T$ , may be due to the neglect of massive hadrons ( $m \geq 2.2$  GeV) and final-state hadron rescattering. Also shown are the predictions of identified hadron spectra for Pb+Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (dashed lines). The larger initial temperature at this higher collision energy leads to somewhat harder particle spectra [61]. Note that AMPT alone (with string melting) yields  $p_T$  spectra which are too soft [24], so that coupling to hydrodynamics improves agreement with data.

Figure 5 compares the anisotropic flow of charged hadrons ( $v_2$  to  $v_6$ ) from our simulation with the event-plane results from the ATLAS Collaboration [4] at  $\sqrt{s_{NN}} = 2.76$  TeV Pb+Pb collisions for various centralities. As explained in Sec. III, our results are obtained by a two-particle correlation method, which differs only slightly [62] from the event-plane method used by ATLAS, for realistic values of the event-plane resolution. Our hybrid calculations are in good agreement with data over the entire  $p_T$  range studied, for all the flow harmonics  $n = 2 - 6$ , and over a broad centrality range.

Note that by coupling AMPT to hydrodynamics, we have introduced two free parameters, the width  $\sigma$  in Eq. (2) and the viscosity over entropy ratio  $\eta/s$  (recall that results are essentially independent of the switching time  $\tau_{sw}$  if one keeps the full  $T^{\mu\nu}$  when switching from AMPT to hydrodynamics, as shown in Sec. III). Larger  $\eta/s$  reduces  $v_n$  [8], and smaller  $\sigma$  increases the granularity and increases  $v_3$ . The chosen values  $\sigma = 0.8$  fm and  $\eta/s = 0.12$  optimize the description of LHC data.

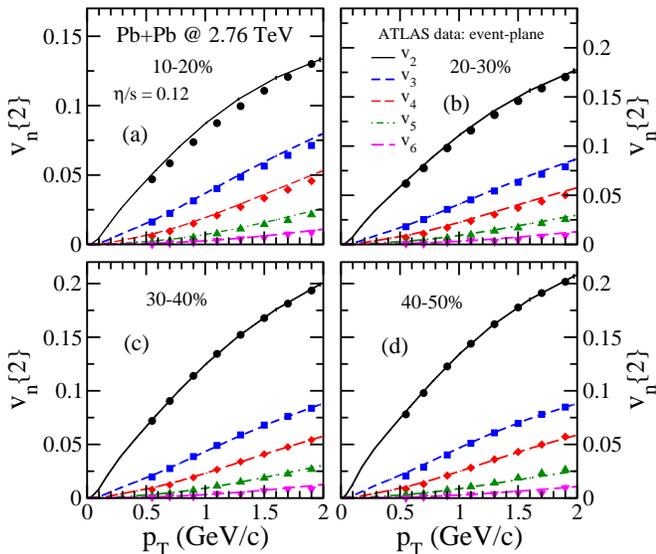


FIG. 5: (Color online) Anisotropic flow of charged hadrons (top to bottom:  $v_2$  to  $v_6$ ) as a function of transverse momentum in Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in 4 centrality windows. Lines: AMPT+Hydro calculations; Symbols: ATLAS data [4].

In particular, agreement is better for this AMPT+hydro model than with AMPT alone, which underpredicts  $v_n$  already at  $p_T = 2$  GeV [63, 64]. Note that most initial-state models with subsequent hydrodynamic evolution are found incompatible with all the flow harmonics even at a given collision centrality [65]

The study is extended to the higher energy  $\sqrt{s_{NN}} = 5.02$  TeV in Fig. 6. Panels (a) and (d) display ALICE data [66]. The higher collision energy ensures a slightly larger  $v_n(p_T)$  as the VISH2+1 starts with a somewhat higher initial flow anisotropy. Further, the stronger radial flow blue-shifts the anisotropies to higher  $p_T$ , especially for the heavier charged hadrons [61, 67]. The model provides a good description of the  $v_n(p_T)$ , ( $n=2$  to 4) data at 30 – 40% centrality, and  $v_n(p_T)$ , ( $n=3$  to 4) data at 0 – 5% centrality. It, however, over-predicts somewhat the  $v_2$  data at intermediate  $p_T$  for the 0 – 5% centrality collisions. Panels (b) and (c) in Fig. 6 present our predictions at two other centralities.

Correlations between event planes  $\Psi_n$  of different harmonics represent higher-order correlations which can provide crucial information on the initial-state of the matter [3, 68] and on the hydrodynamic response [69]. The ATLAS Collaboration [68] has measured several such correlations between different harmonics  $\Psi_n$  and  $\Psi_m$  (with  $n \neq m$ ). There are two-plane correlations, such as:

$$\langle \cos 4(\Psi_2 - \Psi_4) \rangle_w \equiv \frac{\langle V_2^2 V_4^* \rangle}{\sqrt{\langle V_2^2 V_2^* \rangle} \sqrt{\langle V_4 V_4^* \rangle}}, \quad (9)$$

where the left-hand side is the quantity measured by ATLAS using the scalar-product method [32, 70], and the right-hand side its expression in a hydrodynamic calculation

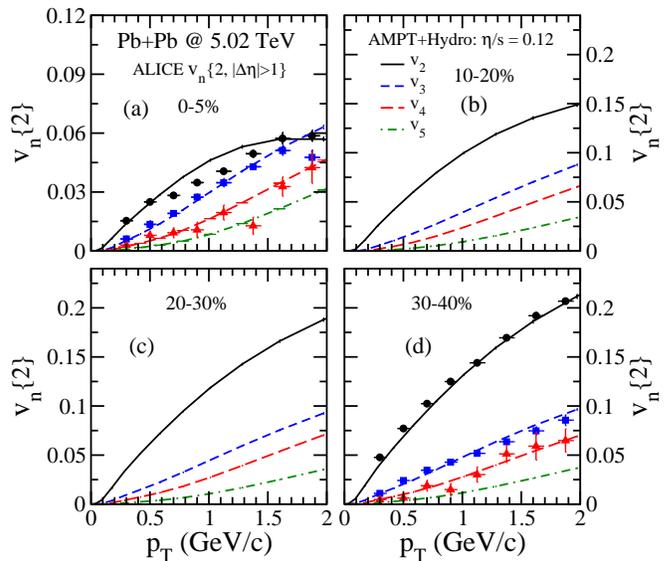


FIG. 6: (Color online) Anisotropic flow in Pb+Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV in 4 centrality windows. Lines: AMPT+Hydro calculations; Symbols: ALICE data for  $v_2$ ,  $v_3$  and  $v_4$  [66].

tion [69], where  $V_n$  is the complex anisotropic flow, and angular brackets represent an average over events in a centrality class. Similarly, the three-plane correlator between harmonics 2, 3, and 5 is defined as

$$\langle \cos 2\Psi_2 + 3\Psi_3 - 5\Psi_5 \rangle_w \equiv \frac{\langle V_2 V_3 V_5^* \rangle}{\sqrt{\langle V_2 V_2^* \rangle} \sqrt{\langle V_3 V_3^* \rangle} \sqrt{\langle V_5 V_5^* \rangle}}. \quad (10)$$

The two-plane and three-plane correlators evaluated in this paper are listed in Table I of Ref. [32]. In our calculation of event-plane correlations, we use the same cuts as ATLAS [68], viz.  $\eta_c \equiv |\eta| = 0 - 2.5$  and  $p_{T,\min} = 0.5$  GeV.

Figure 7 displays the centrality dependence of two-plane correlations in our AMPT+Hydro calculations. Theoretical results are in good agreement with ATLAS data. Most correlations are large, and driven by the non-linear hydrodynamic response that couples  $v_4$  to  $(v_2)^2$  and  $v_6$  to  $(v_2)^3$  [71]. Their increase from central to peripheral collisions is dominated by the increase of  $v_2$ . The only exception is the correlation between  $\Psi_2$  and  $\Psi_3$  (panel (d)), which is much smaller and whose interpretation in terms of hydrodynamic response is less simple [69]. This correlation is also very well described by our event-by-event calculation.

Also shown here are the initial-state correlations calculated with the participant-plane angles  $\Phi_n$  [55]:

$$\varepsilon_n e^{in\Phi_n} \equiv - \frac{\int d^2r_\perp \gamma(\mathbf{r}_\perp) e(\mathbf{r}_\perp) r_\perp^n e^{in\phi}}{\int d^2r_\perp \gamma(\mathbf{r}_\perp) e(\mathbf{r}_\perp) r_\perp^n}, \quad (11)$$

where  $e(\mathbf{r}_\perp)$  is the initial energy density,  $\gamma(\mathbf{r}_\perp)$  is the Lorentz contraction factor due to the transverse flow [15], the integral runs over the transverse plane in a centered

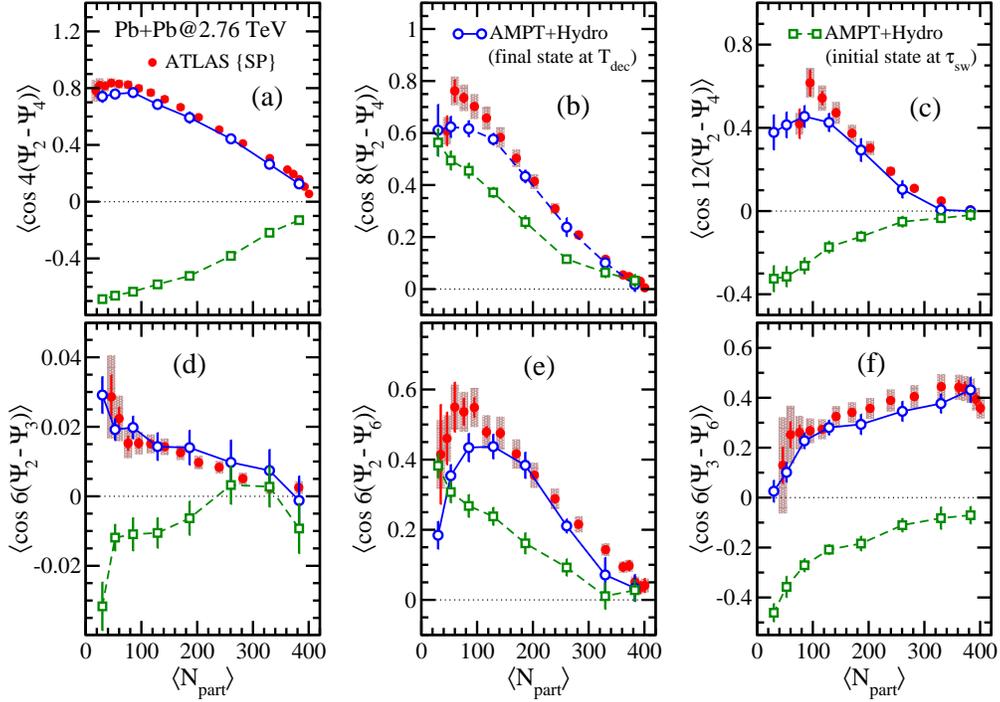


FIG. 7: (Color online) Two-plane correlations obtained in the initial-state (open squares) and final-state (open circles) as a function of the number of participants in Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in the AMPT+Hydro model as compared to the ATLAS data [68] using the scalar-product method (solid circles).

coordinate system [55]. These correlations characterize the initial stage of the hydrodynamic calculations. Our results are qualitatively consistent with those presented in [72].

The centrality dependence of the three-plane correlations is shown in Fig. 8. Here again, the final-state correlations are in good agreement with the ATLAS data [68]. The correlation between  $\Psi_2$ ,  $\Psi_3$  and  $\Psi_5$  (Fig. 8 (a)) is large and driven by the nonlinear response. That between  $\Psi_2$ ,  $\Psi_3$  and  $\Psi_4$  (Fig. 8 (b)), on the other hand, is smaller in magnitude and lacks a simple explanation in

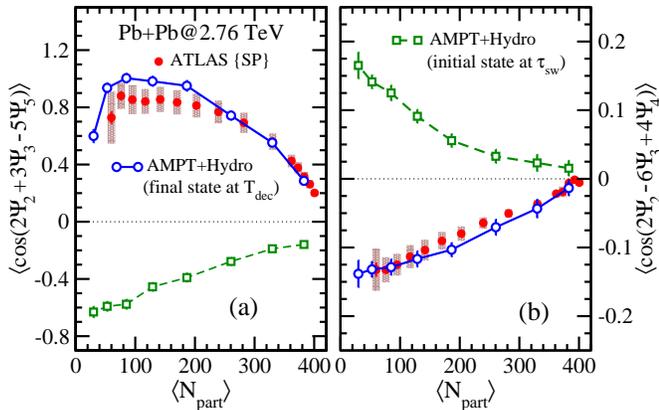


FIG. 8: (Color online) Same as Fig. 7, but for three-plane correlations.

terms of nonlinear response [69], but is well reproduced in event-by-event hydrodynamics [72].

Figure 9 displays the centrality dependence of  $v_2$  and  $v_3$  in the  $(v_2, v_3)$  plane, measured by ATLAS [73] in Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV, together with our calculation in AMPT+Hydro. A boomerang-like shape is observed. The corresponding plot for the initial eccentricities  $\varepsilon_2$  and  $\varepsilon_3$ , calculated from Eq. (11) at the switching time  $\tau_{sw} = 0.4$  fm/c, is also shown in the inset of Fig. 9. In most central collisions  $\varepsilon_2 \approx \varepsilon_3$ , and  $\varepsilon_2$  increases faster than  $\varepsilon_3$  up to about 45% centrality. For more peripheral collisions, the large fluctuations in the small initial geometry contribute to faster rise in  $\varepsilon_3$  than  $\varepsilon_2$ . In fact, the turning around seen in the  $v_2$ - $v_3$  plane, occurs at centralities around  $\sim 40$ -45%, thereafter the harmonics  $v_2$  and  $v_3$  both decrease. Here, the conversion of initial spatial asymmetry to final momentum anisotropy is less efficient due to short lifetime of the plasma, especially for  $\varepsilon_3$  that originates from small-scale structures (fluctuations).

Finally, we study event-by-event elliptic flow fluctuations. Cumulants [75] of the distribution of  $v_2$  differ from one another if  $v_2$  fluctuates event to event [76]. The relative fluctuations can be measured through the ratio of the first two cumulants,  $v_2\{4\}/v_2\{2\}$ . The fluctuations of  $v_2$  originate to a large extent from the fluctuations of the initial eccentricity  $\varepsilon_2$  [77]. If  $v_2$  is proportional to  $\varepsilon_2$ , that is, if  $v_2/\varepsilon_2$  is the same for all events in a centrality class [78], then  $v_2\{4\}/v_2\{2\}$  coincides with  $\varepsilon_2\{4\}/\varepsilon_2\{2\}$ .

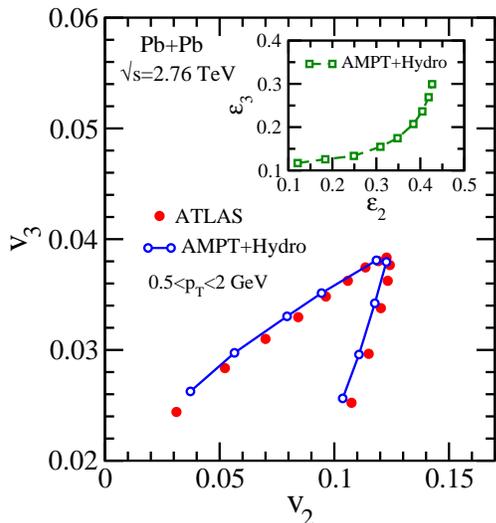


FIG. 9: (Color online) The correlation between  $v_2$  and  $v_3$  for  $0.5 < p_T < 2$  GeV/c in Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in the AMPT+Hydro model (blue open circles) with  $\eta/s = 0.12$  as compared to the ATLAS data [73] (red solid circles). The data points (starting at bottom left) correspond to fourteen 5% centrality intervals over the centrality range 0-70%. The inset shows  $\varepsilon_2$ - $\varepsilon_3$  correlation as a function of centrality in the model calculations.

Event-by-event hydrodynamics allows to directly test this relation by computing both quantities.

In Fig. 10, we compare the centrality dependence of the initial and final cumulant ratios,  $\varepsilon_2\{4\}/\varepsilon_2\{2\}$  and  $v_2\{4\}/v_2\{2\}$ , in Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in the AMPT+hydro model. The ratios coincide for central collisions, but  $v_2\{4\}/v_2\{2\}$  becomes smaller than  $\varepsilon_2\{4\}/\varepsilon_2\{2\}$  as the centrality percentile increases. This trend has already been observed in hydrodynamic calculations [79] and attributed to a nonlinear (cubic) response [80]. Our results are in excellent agreement with ATLAS data [74] over the entire centrality range. Note that they would not agree if  $v_2$  was simply proportional to  $\varepsilon_2$  in every event, as already observed with a different model of initial conditions [79]. This suggests that the success of hydrodynamics in describing elliptic flow fluctuations extends beyond a mere linear response to the initial eccentricity.

## V. CONCLUSIONS

We have studied the effects of preequilibrium dynamics in heavy-ion collisions by modeling the early stages using a transport calculation with realistic cross sections, and coupling it to a (2+1)-dimensional viscous hydrodynamic calculation to describe the later evolution. Our model of the initial stage describes the microscopic dynamics of quarks, antiquarks, gluons as soon as they are produced, as modeled in AMPT. The initialization of the hydrodynamic calculation takes into account the fact that the

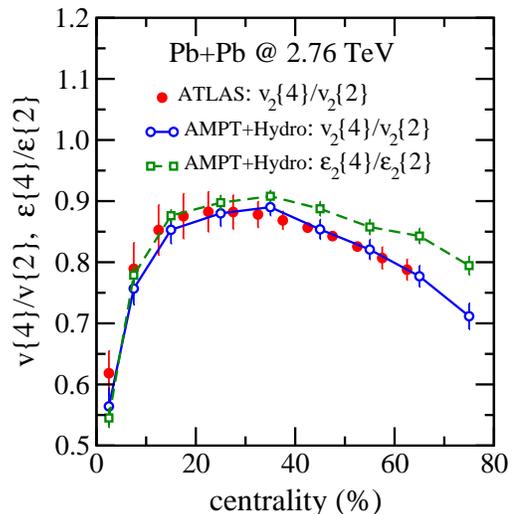


FIG. 10: (Color online) The centrality dependence of the ratio  $v_2\{4\}/v_2\{2\}$  for the elliptic flow obtained from 2- and 4-particle cumulant method in Pb+Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in the AMPT+Hydro model (blue open circles) with  $\eta/s = 0.12$  as compared to the ATLAS data [74] (red solid circles). Also shown is the ratio  $\varepsilon_2\{4\}/\varepsilon_2\{2\}$  for the eccentricities in the model calculations (green open squares).

transverse momenta of partons at a given point do not add up to zero and that they are not in local equilibrium: initial transverse flow and initial shear pressure are thus naturally taken into account. We have thus set up a comprehensive framework to perform calculations, which couples consistently initial stage dynamics and hydrodynamic evolution.

We have studied the effects of preequilibrium dynamics by switching off its components one by one. Initial transverse flow results in harder momentum spectra and larger anisotropic flow. This effect is more pronounced if the switching time from AMPT to hydrodynamics is delayed. The initial shear viscous pressure  $\pi^{\mu\nu}$  has a much smaller effect: this is explained by our observation that various initializations of  $\pi^{\mu\nu}$  relax to a common value at an early time ( $\tau - \tau_{sw}$ )  $\simeq 1$  fm/c and remain similar in magnitude thereafter. When the full preequilibrium dynamics is taken into account in initializing the hydrodynamic calculation, final results are insensitive to the choice of the switching time.

The model, with full initial dynamics ( $v_T \neq 0 \neq \pi^{\mu\nu}$ ), describes identified particle spectra and differential anisotropic flow  $v_n(p_T)$  ( $n = 2 - 6$ ) at various centralities for Pb+Pb collisions at the LHC, with a constant shear viscosity to entropy density ratio of  $\eta/s = 0.12$ . We have also tested our formalism against quantities which had not yet been computed in the AMPT+hydro framework, in particular event-plane correlations and elliptic flow fluctuations, which probe the initial conditions and the hydrodynamic response in an independent way. Our calculations for these quantities are also in excellent agreement with LHC data. This overall agreement

suggests that the AMPT model provides a reasonable description of the early stages of nucleus-nucleus collisions, and confirms the usual statement that the quark-gluon plasma produced at the LHC has a low shear viscosity over entropy ratio.

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