Quantum quenches, dynamical transitions and off-equilibrium quantum criticality

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(Dated: November 13, 2012)

Several mean-field computations have revealed the existence of an out of equilibrium dynamical transition induced by quantum quenching an isolated system starting from its symmetry broken phase. In this work we focus on the quantum ϕ^4 N-component field theory. By taking into account dynamical fluctuations at the Hartree-Fock level, corresponding to the leading order of the $1/N$ expansion, we derive the critical properties of the dynamical transition beyond mean-field theory (including at finite temperature). We find diverging time and length-scales, dynamic scaling and aging. Finally, we unveil a relationship with coarsening, an off-equilibrium dynamical regime that can be induced by quenching from the symmetric toward the symmetry broken phase.

Out of equilibrium quantum dynamics of isolated systems is a fundamental research topic which has recently become accessible to experimental investigations by trapping ultra-cold atoms in optical lattices [1]. After the pioneering work [2], in which the Mott insulator-superfluid quantum phase transition was observed, the field has boomed with a lot of studies, in particular on the so called quantum quenches. These protocols, consisting in a sudden change of an interaction parameter (for example using Feschbach resonances), bring a system initially in the ground state far from equilibrium.

Out of equilibrium quantum dynamics is a very broad field. One of the main fascinating questions is whether, and to what extent, there exist universal phenomena generalizing the ones found for equilibrium systems. The quantum Kibble-Zurek mechanism, describing the production of defects occuring during ramps accross a quantum critical point [3], is an example of such universal properties. The main topic of this article is another candidate for universal behavior originally discovered in the Hubbard model [4] and later found in a large variety of quantum systems at the mean-field level [5–7]. It consists in a dynamical transition out of equilibrium occurring after a quantum quench. Its main features are that long time averages display a singular behavior and the order parameter vanishes when the final coupling U_f, reached after the quench, approaches a critical value U_f^d.

Attempts to go beyond mean-field theory in the Hubbard model showed that fluctuations play an increasingly important role approaching the transition [8, 9]. A full analysis, however, is still lacking. Moreover, even though it is recognized that some physical observables are singular at U_f^d, the critical nature of the transition remains to be found yet. Actually, it is not known whether there is a diverging correlation length-scale at the transition nor whether some kind of critical dynamics scaling takes place. In this work we provide answers to these open questions by going beyond mean-field theory and taking into account some dynamical fluctuations. In order to do that, we shall focus on the ϕ^4 N-components quantum field theory and retain in the self-consistent $1/N$ expansion the leading contributions in the large N limit. An unexpected and interesting result of our analysis is that the critical out of equilibrium dynamics occurring at the dynamical transition coincides with the one induced by quenches from the unbroken symmetry phase toward the broken symmetry one, a situation similar to the one leading to coarsening dynamics in classical system [10].

The model we focus on consists in an N component real scalar field interacting via a quartic term in three dimensions. It was studied thoroughly at equilibrium, since, depending on the value of N, it belongs to the same universality class as many physical systems such as superfluids and ferromagnets [11]. The corresponding Lagrangian reads [12]:

$$\mathcal{L}[\phi] = \frac{1}{2}\left((\partial_t\vec{\phi})^2 + (\partial_{\mathbf{x}}\vec{\phi})^2 + r_0(\vec{\phi})^2\right) + \frac{\lambda}{4!N}[(\vec{\phi})^2]^2.$$

At equilibrium, this model has a quantum phase transition between a phase with spontaneous symmetry breaking in which $\langle\vec{\phi}\rangle$ is aligned along a certain direction for $r_0 < r_0^c$ and a paramagnetic phase, $\langle\vec{\phi}\rangle = \vec{0}$, for $r_0 > r_0^c$. The critical "mass" r_0^c is negative, due to the enhancement of the effective mass because of fluctuations. It was shown in [7] that this model displays, at the mean-field level, a dynamical transition due to quantum quenches in the mass r_0 (other regimes were previously studied in [13]). In the following, with the aim of analyzing the effect of fluctuations on the dynamical transition we retain in the two-particle irreducible/Baym-Kadanoff expansion of the self-energy the leading order contribution in $1/N$, which corresponds to the the dynamical Hartree-Fock approximation [14]. The initial condition for the dynamics is the ordered ground state before the quench (finite temperature initial conditions will be considered later). Without loss of generality we focus on the case where the average field, $\vec{\phi}_t$, is aligned along the first component: $\phi_t^n = \delta_{n,1}\phi_t = \langle\hat{\phi}^1_{\mathbf{x},t}\rangle$. Note that by symmetry the average field remains uniform for $t > 0$ and only the diagonal terms $n' = n$ of the connected Keldysh correlation functions, $G^{nn'}_{rtt'} = \langle\{\hat{\phi}^n_{0,t}, \hat{\phi}^{n'}_{\mathbf{r},t'}\}\rangle - \phi^n\phi^{n'}$, are nonzero. The time-dependent Dyson equations governing the evolution of the system after the quantum quench from r_0^i to r_0^f read:

$$\partial_t^2\phi_t = -\left(r_t + \frac{\lambda}{6N}\int_p G^{/\!/}_{ptt}\right)\phi_t = -\frac{\partial V(\phi)}{\partial\phi} \tag{1}$$

$$\partial_t^2 G^{\perp}_{ptt'} = -\left(p^2 + r_t\right)G^{\perp}_{ptt'} \tag{2}$$

$$\partial_t^2 G^{/\!/}_{ptt'} = -\left(p^2 + r_t + \frac{\lambda}{3N}\phi_t^2\right)G^{/\!/}_{ptt'} \tag{3}$$

$$r_t = r_0^f + \frac{\lambda}{6N}\left(\phi_t^2 + \frac{1}{2}\int_p G^{/\!/}_{ptt} + \frac{N-1}{2}\int_p G^{\perp}_{ptt}\right) \tag{4}$$

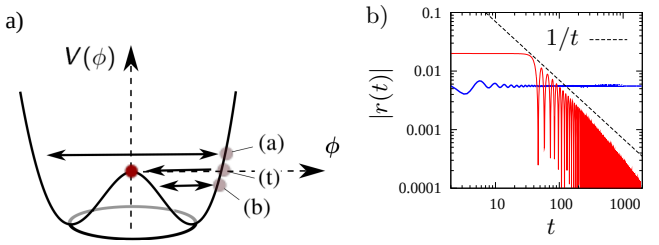

FIG. 1. a) Cartoon of the dynamical transition at the mean-field level. From top to bottom: quench above (a), at (t) and below (b) the dynamical transition. b) $|r_t|$ for a quench within the unbroken symmetry phase (thick blue line) and at the dynamical transition (thin red line). In the second case, r_t decays faster than $1/t$.

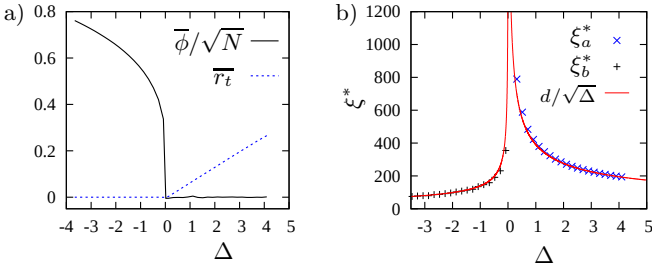

FIG. 2. a) Long time averages, $\overline{\phi}/\sqrt{N}$ and $\overline{r_t}$, as a function of the relative distance to the critical point Δ (in %). b) Critical length ξ^* versus Δ the distance from the dynamical transition. Notice that despite the different definitions below and above the transition, ξ^* diverges as $d/\sqrt{\Delta}$ on both sides of the transition, with d_a and d_b two different constants.

where the parallel index has been used for the $n = 1$ Keldysh correlation function and the perpendicular one for all the others (which are equal by symmetry). The initial condition at $t = 0$ is given by the value of the field ϕ and the equal time ($t = t' = 0$) Keldysh correlation function in the ground state corresponding to the value of the mass r_0^i. See the EPAPS for more details. Since this problem is not exactly solvable, we integrated numerically the equations for a large value of $N = 10^6$ [15] (note that the average field scales as $\sqrt{N}$). Although the dynamics of the field ϕ_t and correlations $G^{nn}_{ptt'}$ look superficially similar to a free field evolution, the time dependence of the effective mass r_t has dramatic effects as we shall show.

Let us first recall the main result of mean-field theory, which corresponds to neglecting all the feedback of correlations on the dynamics of ϕ_t in (1) [16]. The motion of the field is qualitatively represented in Fig. 1a, where various quenches with different initial mass r_0^i and with same final mass r_0^f are depicted. (This means that the potential $V(\phi)$ after the quench is the same. The initial condition instead depends on the value of ϕ in the ground state before the quench, *i.e.* on r_0^i). Above the transition [case (a)] the field oscillates symmetrically around zero and, consequently, is characterized by a zero time average $\overline{\phi} = \lim_{T\to\infty}(1/T)\int_0^T \mathrm{d}t\,\phi_t$. Below the transition [case (b)] the field oscillates around one minimum of the potential and, hence, is characterized by a non-zero $\overline{\phi}$. In between, at the dynamical transition when $r_0^f = r_0^{f(d)}$ [case (t)] the field relaxes exponentially to zero, *i.e.* to the maximum of the potential at $\phi = 0$. The phenomenology of this mean-field transition is identical to the one found in other mean-field models [5, 6]. For example, the time averaged value of the field has a logarithmic singularity at the dynamical transition: $\overline{\phi} \propto 1/\ln|\Delta|$, where Δ is the relative distance to the dynamical critical point:

$$\Delta = \left[r_0^f - r_0^{f(d)}\right]/r_0^{f(d)} \tag{5}$$

Our goal is to determine the impact of fluctuations at first order in $1/N$ on this scenario. The numerical analysis of the evolution eqs.(1-4) shows that the system always reaches a steady state at long times [17]. This is the first difference with respect to mean-field theory, in which oscillations instead persist even at long times. We show in Fig. 1b, as an example, the evolution of the mass for two different quenches: we find that oscillations are damped and r_t converges toward an asymptotic value. Similar results are found for the field. By studying quenches for several values of the final and initial mass, we find that the dynamical transition continues to take place, as it was already mentioned in contexts related to cosmology [18]. In the following, we study its critical features. Like in mean-field theory, the transition happens for quenches within the regime of broken symmetry: $r_0^i < r_0^c \to r_0^{f(d)} < r_0^c$ and corresponds to a singularity in the asymptotic value (or equivalently the time averaged value) of the field. We show in Fig. 2a $\overline{\phi}$ and the average mass as a function of Δ. Below the transition, the field relaxes to a nonzero asymptotic value and r_t vanishes. Above the transition, the field relaxes to zero, whereas the mass converges to a positive value. The critical behavior is different from the mean-field one, since instead of a logarithmic singularity the average field vanishes as $\overline{\phi} \sim |\Delta|^{1/4}$ approaching the transition from below ($\Delta \to 0^+$), whereas the asymptotic value of r_t vanishes as Δ for $\Delta \to 0^-$ [19]. After having established the existence of a critical point let us now study its properties, *i.e.* focus on the physical behavior after quenches right at $\Delta = 0$. We find that the dynamics is divided in two stages. First, the field relaxes to zero on a timescale $\mathcal{T}$ smaller than the one characterizing the evolution of $|r_t|$. In the second stage, $G^{\perp}_{ptt}$ increases exponentially, as $G_{p00}e^{2\sqrt{-p^2-r_t}t}$, for all momenta below a cutoff $\Lambda^2 = |r_{t=0}|$. This leads to a growth of the effective mass r_t, which eventually stabilizes around zero, with a slow, oscillating, power law decay shown in Fig. 1b. This in turn stabilizes the growth of $G^{\perp}_{ptt}$. At large times, the low momentum modes enter a remarkable *two-times dynamic scaling regime*:

$$G^{\perp}_{ptt'} \simeq \frac{A}{p^2}\mathcal{F}\left(pt^z, \frac{t}{t'}\right) \tag{6}$$

$$\mathcal{F}\left(pt, \frac{t}{t'}\right) \sim \cos\left(pt\left(1 - \frac{t'}{t}\right)\right) - \cos\left(pt\left(1 + \frac{t'}{t}\right)\right) \tag{7}$$

with a dynamical exponent $z = 1$ and A a nonuniversal constant. The parallel mode $G^{/\!/}$ follows the same scaling law. The real space counterpart of eq. (7) reads $G^{\perp}_{rtt'} \sim \frac{1}{r}\Theta(|r| -$

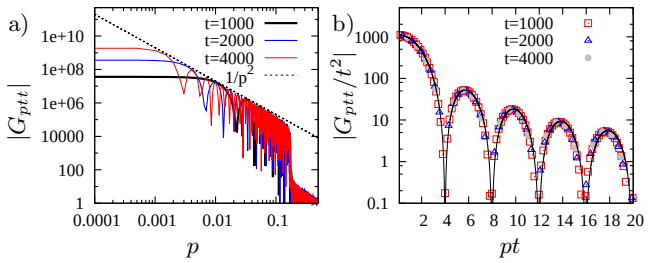

FIG. 3. a) Equal-time correlations $|G_{ptt}|$ as a function of p for $t = \{1000, 2000, 4000\}$ in a log-log scale. Notice the divergence of correlations below a cutoff scale $p < \Lambda \simeq 0.2$. b) Rescaled equal-time correlations $|G_{ptt}/t^2|$ as a function of pt for the same data, y-axis in log scale. All data collapse on the scaling law (7) drawn in black.

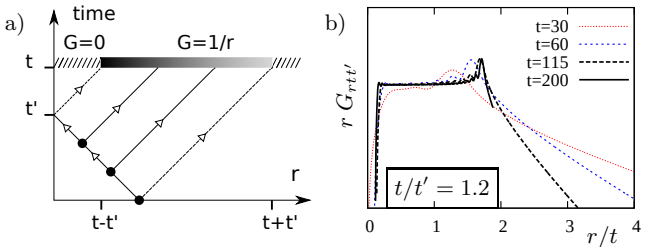

FIG. 4. a) Qualitative interpretation of the correlations in real-space in terms of a common virtual emitter in the past. $G_{rtt'}$ vanishes in the dashed areas, where it is out of causal reach of virtual emitters. b) Rescaled two-times correlation function $r\ G_{rtt'}$ as a function of r/t for $t/t' = 1.2$. All data collapse on a step function as t increases, with finite size effects on scale Λ^{-1}.

$(t-t'))\Theta(t+t'-|r|)$. The existence of the scaling variable t'/t means that the system remains always out of equilibrium: it is not characterized by any intrinsic time-scale besides its age after the quench, a phenomenon called aging [20].

The scaling (6) and (7) is demonstrated in Fig. 3 for equal-time correlations (in Fourier space) and for $t \neq t'$ (in real space) in Fig. 4b. An explanation for the form of the scaling function can be found analyzing quenches in a *free* field theory where the final mass is $r_0^f = 0$. Indeed, by generalizing the result of [21] for a sudden quenches in a *free* field theory we find the following expression for the real space two-times correlations in the continuum limit (using the notation $\omega_p^2 = p^2$):

$$G^{\perp}_{rtt'} = r_0^i \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{e^{i\vec{p}\cdot\vec{r}}}{\omega_p^2} \Big(\cos(\omega_p(t-t')) - \cos(\omega_p(t+t'))\Big).$$

This is just the Fourier transform of eq. (6) and (7). It's important to realize that, contrary to the free field theory case, now the vanishing mass is *dynamically* generated by interactions. The functional form of the decrease of the mass at long times can be obtained, plugging the dynamical scaling form of the propagator into (4). Calling Λ a high momentum physical cutoff, we find:

$$\begin{aligned} r_t &\simeq r_0^f + \frac{\lambda}{12} \int_{1/L}^{\Lambda} \frac{\mathrm{d}^3 p}{(2\pi)^3} G^{\perp}_{ptt} \qquad t \gg 1 \qquad (8) \\ &= r_0^f + \int_{1/L}^{\Lambda} \mathrm{d}p\, \frac{A}{2\pi^2}(1-\cos(2pt)) = -\frac{A}{2\pi^2}\frac{\sin(2t\Lambda)}{2t} \end{aligned}$$

where to establish the last identity we have used that the constant contributions cancel since at the transition the theory is asymptotically massless. By taking into account sub-leading corrections to the dynamic scaling form of the propagator one can show that the mass decays even faster than $1/t$ [18, 22], as indeed we find numerically, see Fig. 1b. Note that the mapping to a free field theory, valid at large times, is also useful to interpret the form of the two-times scaling found previously. One has to use that excitations propagate at fixed speed [21] and that in the limit of a large number of excitations the fields become classical. Then, according to the Huygens-Fresnel principle, plane wave propagation can be interpreted, in three dimensions, in terms of a continuum of virtual emitters. This is illustrated in Fig. 4a: between the origin and a point at a distance r, correlations $G_{rtt'}$ at successive times t' and t are nonzero only provided there is a virtual emitter in the past, susceptible to reach the two points at times t' and t respectively. Notice that this effect includes the usual light-cone effect found in various systems [21, 23], but that the two-time scaling is really a new feature, due to the critical nature of all effective excitations. Away from the dynamical transition, we still observe the light cone effect but dynamic scaling does not hold any longer.

We now analyze how the critical behavior emerge approaching the transition. Note that in this case there are two regimes: First, an out of equilibrium transient that persists for a time-scale $\tau^*_{\rm rel}$. In this regime, corresponding to times t such that $\tau^*_{\rm rel} \gg t \gg \Lambda^{-1}$, the dynamical scaling (6) remains valid (on both sides of the transition) and, hence, the characteristic time-scale is the age of the system itself and the characteristic scale for the momentum is the inverse of that. In the second regime, corresponding to $t \sim \tau^*_{\rm rel}$, the system reaches a steady state in which the Keldysh correlation function becomes time-translation invariant. The relaxation time-scale to the steady state, $\tau^*_{\rm rel}$, diverges approaching the dynamical transition. Numerically we found $\tau^*_{\rm rel} \sim 1/|\Delta|^{1/2}$.

In the stationary regime the transverse correlation function becomes time-translation invariant and has a scaling form:

$$G^{\perp}_{ptt'} = \frac{1}{p^2} F\left(p\xi^*, \frac{t-t'}{\tau^*}\right) \qquad (9)$$

the low momentum behavior is critical, e.g. $G^{\perp}_{ptt} \sim 1/p^2$, until values of p of the order of $1/\xi^*$ are reached, accordingly $F(x,y) \to x^2 f(y)$ for $x \to 0$. More details on the scaling function can be found in [19]. Both τ^* and ξ^* diverge as $1/|\Delta|^{1/2}$ approaching the transition [24]. The fact that they are characterized by the same critical exponent is in agreement with the unit value of the dynamical exponent z found previously. The similar divergence of the de-correlation time in the steady state, τ^*, and the relaxation time toward the steady state, $\tau^*_{\rm rel}$, can be understood assuming that that there is no intermediate regime. Indeed, if the out of equilibrium evolution stops

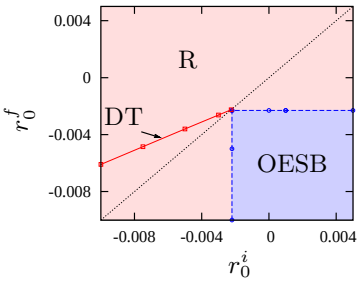

FIG. 5. Quench phase diagram: long time typical dynamics after a quench $r_0^i \to r_0^f$ for $\lambda = 1$. DT: Dynamical transition, OESB: Off-equilibrium symmetry breaking, R: Relaxation on large times to a non critical state. Error bars are smaller than item size. The exact position of transition lines depends on non-universal features, such as the interaction strength λ and the cutoff Λ.

when the typical momentum scale during aging, which is proportional to the time t elapsed after the quench, reaches the steady state value $1/\xi^*$, then one finds $\tau^*_{\rm rel} \sim 1/\xi^* \sim \tau^*$. Note that the asymptotic value of the effective mass r_t is not directly related to ξ^*. The latter is determined by studying the low momentum properties of $G^{\perp}_{ptt}$ whereas the former is relevant only for the dependence in $t - t'$. The diverging length is shown in Fig. 2b, its divergence is a power law $\xi^*(\Delta) \sim 1/\Delta^{1/2}$, as shown in the supplementary material [19].

A natural question is to what extent starting from the ground state is important to induce the dynamical transition, especially in regards to experiments where reaching very low temperature is one of the most challenging problem. We have addressed this issue by considering quantum quenches in which for $t < 0$ the system is at equilibrium at temperature T (but still isolated) and the value of the mass is changed suddenly at $t = 0^+$ [19]. We have found exactly the same critical behavior provided the initial state is still in the broken symmetry phase. Non-universal features, such as the position of the dynamical transition $r_0^{f(d)}$ instead are different. By increasing the temperature at fixed r_0^i one finds that the value of the critical mass approaches r_0^i; they become equal when T reaches the value corresponding to the thermal *equilibrium* phase transition. For higher temperatures the dynamical transition does not exists any longer.

Let us now turn to an apparently unrelated problem: quantum quenches starting from a symmetric ground state, $r_0^i > r_0^c$, toward values of the mass at which the system would be ordered at equilibrium, $r_0^i < r_0^c$. This problem has been studied in cosmology and in statistical physics; it is referred to as spinodal decomposition [10, 25]. Physically, one expects that the system globally remains in a symmetric state but locally, on length-scales and time-scales that increase with time, it breaks the symmetry. Since the average field remains zero for all times [26] and $\overline{\phi}$ is the only dynamical quantity analyzed at the mean-field level, the latter method is useless to study these quantum quenches. The growth of local order is visible at the level of *correlations*, which requires to go beyond mean-field theory. In the following we briefly present our results obtained at the leading order in $1/N$.

The initial conditions for quenches from the unbroken to the broken symmetry phase correspond to $\phi_t = 0$ (the initial state is symmetric) and negative masses. These are qualitatively similar to those of a quench at the dynamical transition after the time $\mathcal{T}$ defined above. Indeed, it turns out that the subsequent out of equilibrium dynamics is the same. In particular, the effective mass vanishes asymptotically, and the two-time correlations scale like (7). Thus, at least at the leading order in $1/N$, the dynamical transition is characterized by the same critical properties of coarsening dynamics. A complete quench phase diagram is shown in Fig. 5a, summarizing all possible quenches $r_0^i \to r_0^f$. When the initial field is nonzero, $r_0^i < r_0^c$, the system relaxes to a steady state on both sides of the dynamical transition, either to a state of positive field $\overline{\phi}$ or of positive mass $\overline{r_t}$. The correlations follow the scaling form (6) on the dynamical transition (DT) and in the whole region (OESB) of quenches from the symmetric phase to the broken symmetry phase.

In conclusion, by going beyond mean-field theory and taking into account fluctuations at the leading order in $1/N$ we have shown that the dynamical transition induced by quantum quenches displays bona fide critical properties, in particular diverging time and lenth-scales, dynamical scaling and aging. Moreover we have found that this aging dynamics is identical to the coarsening dynamics following quantum quenches from the symmetry broken to the symmetry unbroken phase. Clearly, it would be interesting to extend our analysis to models directly relevant for experiments, such as the Bose Hubbard model, and to the next leading order contribution in $1/N$, where several different *equal-time* scaling fixed points were found and long-time thermalization, at least for small quenches, is guaranteed [27]. This would provide a crucial test to establish the nature of the dynamical transition. We envision two different possibilities: either the transition persists when dynamical fluctuations inducing quantum noise are included; in this case it is likely related to the thermal phase transition crossed by increasing the strength of the quench, or, more interestingly, it becomes a cross-over related to a dynamical phenomenon that takes place while the system is transiently trapped in a off-equilibrium region of the configuration space.

Acknowledgements

We thank L. Cugliandolo, M. Fabrizio, C. Kollath, M. Schirò for useful discussion and the ANR FAMOUS for support.

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