Phenomenology of the little bang

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Abstract. I review recent selected developments in the theory and modeling of ultrarelativistic heavy-ion collisions. I explain why relativistic viscous hydrodynamics is now used to model the expansion of the matter formed in these collisions. I give examples of first quantitative predictions, and I discuss remaining open questions associated with the description of the freezeout process. I argue that while the expansion process is now well understood, our knowledge of initial conditions is still poor. Recent analyses of two-particle correlations have revealed fine structures known as ridge and shoulder, which extend over a long range in rapidity. These correlations are thought to originate from initial state fluctuations, whose modeling is still crude. I discuss triangular flow, a simple mechanism recently put forward, through which fluctuations generate the observed correlation pattern.

1. Introduction

The Relativistic Heavy Ion Collider (RHIC) at Brookhaven has been running for ten years, and the Large Hadron Collider (LHC) at CERN is expected to collide nuclei before the end of 2010. In the three years since the last INPC conference, we have witnessed significant progress in our understanding of RHIC experiments, both at the quantitative and qualitative level. A recent breakthrough is that quantitative predictions from viscous relativistic hydrodynamics are now available [1, 2], which allow for a better description of the bulk of observables. Section 2 focuses on this topic, although there have been important developments in ideal hydrodynamics as well [3, 4, 5]. On the experimental side, new analyses involve rare particles, such as heavy flavours, and detailed investigations of the fine structure of correlations. I concentrate on the latter topic in section 3, and I discuss a new mechanism which sheds new light on the "ridge" and "shoulder" structures observed in correlations, which have puzzled theorists for a few years.

2. Relativistic viscous hydrodynamics

Nuclei colliding at RHIC are thin pancakes: the Lorentz contraction factor is 100 at the top energy. The clear separation between the small longitudinal size and the much larger transverse size R is a key feature of heavy-ion phenomenology. In a time short compared with R (in natural units c = 1), matter is produced: a system of strongly interacting quarks and gluons, which rapidly expands into the vacuum. The underlying microscopic description is a quantum field theory, quantum chromodynamics, in the nonperturbative regime, which does not necessarily admit a kinetic description in terms of quasiparticles. However, if the system is large enough, hydrodynamics gives a reliable description of its real-time macroscopic evolution, once *initial conditions* are specified, at a time $t \ll R$.

For a nonrelativistic fluid, the usual form of the Navier-Stokes equation is

$$\rho\left(\frac{\partial \vec{v}}{\partial t} + \vec{v} \cdot \vec{\nabla} \vec{v}\right) = -\vec{\nabla}P + \eta \nabla^2 \vec{v}.$$
(1)

where ρ is the mass density, \vec{v} the fluid velocity, P the local pressure, and η the shear viscosity. Let us analyze the order of magnitude of the various terms. Our analysis will differ from that usually found in textbooks. The reason is that the Navier-Stokes equation is most often used in the context of *incompressible* flows. Incompressible does not refer to an intrinsic property of matter. It is a synonym of slow: it denotes a flow where v is much smaller than microscopic (thermal) velocities v_{th} . In this case (which applies for example to the lower atmosphere), the density is almost constant throughout the fluid. In our case, however, the fluid is freely expanding into the vacuum, which is a clear instance of a compressible flow. The expansion is driven by the thermal motion, so that $v \sim v_{\text{th}}$. Gradients and time derivatives all scale like 1/R. Only the viscous term scales like $1/R^2$. Its magnitude relative to the acceleration term is λ/R , where λ only involves thermodynamic quantities, which means that it is a microscopic scale. Inspection of equation (1) gives $\lambda = \eta/\rho v_{\text{th}}$. In systems which can be described by kinetic theory, λ is the particle mean free path. Now, fluid dynamics is a macroscopic description which is valid only if $\lambda \ll R$. Therefore the viscous term must be a small correction, or fluid dynamics itself breaks down.

The dynamics of compressible fluids can be formulated as a systematic gradient expansion, that is, an expansion in the Knudsen number $K \equiv \lambda/R$ [6]. Ideal hydrodynamics is the leading order, and Navier-Stokes theory is the first correction of order K. The second-order correction, of order K^2 , is usually called Israel-Stewart theory in the relativistic case. The expansion converges if K is small: hydrodynamics applies to heavy-ion collisions only if the nucleus is large enough, and if the viscosity η is small enough.

Until 2007, the state of the art for RHIC phenomenology was ideal hydrodynamics [7], motivated by the hope that the viscosity of hot QCD is very small [8, 9]. It was realized early on that viscous corrections were likely to be important, both on theoretical grounds [10] and from a critical analysis of RHIC data [11]. However, going beyond ideal hydrodynamics proved a difficult task. Relativistic Navier-Stokes equations are known to break causality [12] because small-wavelength modes propagate faster than light. This is not a conceptual problem, since



Figure (courtesy I. 1. Bouras [13])Comparison between second-order viscous hydrodynamics (vSHASTA) and kinetic theory (BAMPS), for two values of the viscosity to entropy ratio η/s . The dashed line is the initial condition at t = 0, and the curves display the pressure profile at t = 3.2 fm/c.

hydrodynamics is only expected to describe long-wavelength modes [14], but it is a practical difficulty because of the associated numerical instabilities. As a consequence, one must include second-order terms. There were early attempts to apply second-order viscous hydrodynamics to heavy-ion collisions [15], but full calculations, including comparison with RHIC data, became available only recently [16, 17, 18, 19, 20]. There is some arbitrariness in second-order terms, but the goal is to estimate quantitatively the first-order correction, in regimes where second-order terms are negligible.

If second-order terms could be determined from first principles, they would extend the validity of the hydrodynamic description up to larger values of the Knudsen number. Although this is not yet the case for QCD, a feasibility study was recently performed [13] for a dilute system described by kinetic theory, where second-order terms were determined unambiguously. Figure 1 displays the comparison between hydrodynamics (labeled vSHASTA) and kinetic theory (labeled BAMPS). At time t = 0, the fluid is at rest, and its pressure is uniform with a discontinuity at z = 0 (shock tube problem). At t > 0, the fluid flows toward lower pressures, i.e. to the right. In ideal hydrodynamics, the flow profile consists of three distinct parts: a continuous rarefaction wave propagating to the left, a compression shock (pressure discontinuity) propagating to the right, and a region of uniform flow in between. This pattern is clearly seen with the smaller value of the viscosity $\eta/s = 0.01$. If the viscosity is increased by a factor of 10, the flow pattern is smoothed. In particular, the width of the shock is proportional to the viscosity. In both cases, there is almost perfect agreement between hydrodynamics and kinetic theory. Agreement is found to hold within 10% up to K = 1/2. Both the time scale in figure 1 and the larger value $\eta/s = 0.1$ are of the order of expected values at RHIC, so that the difference between $\eta/s = 0.01$ and $\eta/s = 0.1$ results gives an idea of the magnitude of viscous effects at RHIC.



Figure 2. Average multiplicity of pions, kaons, protons, and antiprotons per participant, versus number of participants (from [1]). The number of participant nucleons is used to estimate the centrality of the collision. It is maximum for central, head-on collisions.



Figure 3. Average transverse momentum of particles versus number of participants (from [1]). As in figure 2, experimental data from PHENIX [21] are compared with viscous hydrodynamics calculations. The label CGC refers to a specific choice of the initial density profile in hydrodynamics.

I now discuss hydrodynamic calculations for collisions at RHIC. The initial energy profile in the transverse plane cannot be determined from first principles because interactions are largely nonperturbative. This is a major source of uncertainty. Most calculations use a simple ansatz which reproduces the observed centrality dependence of the pion multiplicity (figure 2). The multiplicity scales essentially like the number of nucleons participating in the collision. Particle ratios (proton to pion and kaon to pion) are also essentially constant. In hydrodynamics, they are determined by the freeze-out temperature at which the fluid transforms into hadrons. The average transverse momentum $\langle p_t \rangle$ of identified particles (figure 3) shows little centrality dependence. This observable reflects the kinetic energy per particle, which is proportional to the effective temperature of the system, defined as the average temperature at a time of order R when transverse expansion fully develops. This effective temperature is essentially flat in hydrodynamics because the effective density of particles per unit volume (which is closely related to the multiplicity per participant plotted in figure 2) is also flat [22]. Data are flat too, except for peripheral collisions which are slightly colder, so that hydrodynamics naturally reproduces the observed centrality dependence. While the absolute scale of $\langle p_t \rangle$ depends little on viscosity, it depends crucially on the equation of state used in the hydrodynamic calculation, which is taken from lattice QCD calculations. The equation of state determines the temperature as a function of the density, and the fact that hydrodynamics reproduces data is a non trivial test of the equation of state from lattice QCD.



Figure 4. Elliptic flow scaled by the initial eccentricity ε and by the hydrodynamic limit (see text) as a function of the density of particles per unit area. Results from ideal and viscous hydrodynamics [1] are plotted together with data from RHIC (from [23]). Open symbols and full symbols correspond to two different choices of initial conditions (called CGC and Glauber). Dashed and solid lines are fits using equation (3).

I now discuss an observable which is more sensitive to viscosity, namely, elliptic flow. The azimuthal distribution of emitted particles is elliptic, due to the elliptic shape of the interaction region in the transverse plane (see figure 9). The fluid velocity is proportional to the pressure gradient, which is larger along the smaller dimension. Particles tend to be emitted parallel to the fluid velocity, which results in more particles emitted along the minor axis [24]. The corresponding observable is

$$v_2 \equiv \langle \cos(2\phi - 2\psi_2) \rangle, \tag{2}$$

where ψ_2 is the minor axis of the participant ellipse in figure 9, ϕ is the azimuthal angle of an outgoing particle, and angular brackets denote an average over particles and events. v_2 is smallest for central collisions, where the overlap area is circular except for fluctuations, and increases up to 7% for more peripheral collisions. Since elliptic flow is generated by pressure, it is a good signature of collective flow.

Figure 4 displays the centrality dependence of elliptic flow, plotted in such a way that results can be easily understood by dimensional arguments. The vertical axis displays v_2 scaled by the initial eccentricity, ε , of the participant ellipse in figure 9. The horizontal axis is the multiplicity of produced particles scaled by the area of the ellipse. This density per unit area is often used as an alternative measure of the centrality. Since the effective density of particles per unit volume depends little on centrality, (1/S)(dN/dy) is proportional to the system size R: the largest values correspond to central collisions, as in figures 2 and 3. In ideal hydrodynamics (top line in figure 4), v_2/ε is independent of centrality. This is a natural consequence of the scale invariance of equation (1) with $\eta = 0$: velocity and pressure are unchanged under the transformation $(t, \vec{x}) \to (\alpha t, \alpha \vec{x})$, where α is arbitrary. The value of v_2/ϵ actually depends on thermodynamic quantities (speed of sound). But since the effective temperature depends little on centrality, so does the effective speed of sound: by varying the centrality, one only varies ε and R. This holds except for the two leftmost points (peripheral collisions) where the effective temperature is smaller (see figure 3) and the scaling is broken. With a nonzero viscosity, thermalization is only partial. Therefore, there is less pressure and less flow, and v_2/ε is smaller. Using the dimensional analysis explained at the beginning of this section, the viscous correction is expected to scale with η and R like $\eta/R \propto \eta((1/S)(dN/dy))^{-1}$. A look at the viscous hydro results for $\eta/s = 0.08$ and $\eta/s = 0.16$ in figure 4 shows that the scaling is at least qualitatively correct. In order to check it quantitatively, each set of results (given value of η/s and choice of initial conditions) is fit using the two parameter formula

$$\frac{v_2}{\varepsilon} = \frac{h}{1 + B\left(\frac{1}{S}\frac{dN}{dy}\right)^{-1}}.$$
(3)

The parameter h is the ideal hydro limit. The vertical axis in figure 4 is scaled by h in order to single out the viscous correction, quantified by the parameter B. This parameter is found to be proportional to η/s , as expected. Unlike $\langle p_t \rangle$, elliptic flow is very sensitive to the viscosity. $\eta/s = 0.08$ is close to an absolute lower bound [9], yet it produces sizable effects, because an atomic nucleus is not quite a macroscopic object. When plotted in this way, both sets of initial conditions give almost the same v_2/ε , although v_2 and ε differ.

The next step is to put experimental data on this plot. v_2 and dN/dy are measured, but ε and S must be calculated within a model, which entails uncertainties [25]. Two different models of initial conditions are used, and the results are then fit using equation (3). Both models take into account eccentricity fluctuations [26] due to the finite number of nucleons (see figure 9 and section 3), which are important for central collisions. Equation (3) fits experimental data quite well [27]. By comparing theory with data, we can extract the viscosity of QCD from this plot. Depending on which set of initial conditions is used, the value of η/s is 0.16 or significantly larger. Our poor knowledge of the initial density profile hinders our ability to extract thermodynamic quantities from the data. With CGC initial conditions, the viscous correction is roughly 20% for central collisions. It is small enough for hydrodynamics to be a valid approach; but it is sizable, and viscosity must be taken into account in order to achieve quantitative agreement with data, in particular for elliptic flow.

I conclude this section by mentioning some of the pending issues in hydrodynamics. While viscous hydrodynamics provides us with a detailed picture of the expansion, we still miss the beginning and the end of the story: the uncertainty in the initial density profile has already been pointed out. There are also uncertainties in the final state, when the fluid transforms into particles. This freeze-out procedure is well defined in ideal hydrodynamics: thermal equilibrium is achieved locally, so that momentum distributions are thermal at any point in the fluid rest frame. Viscosity leads to a small deviation from thermal equilibrium, and the momentum distribution in the fluid rest frame is

$$\frac{dN}{d^3x d^3p} \propto e^{-E/T} \left(1 + \delta f_{\text{viscous}}(\vec{p})\right),\tag{4}$$

where I have neglected quantum statistics for simplicity. The dependence of $\delta f_{\text{viscous}}(\vec{p})$ on the direction of \vec{p} is constrained by the continuity of the pressure tensor at freeze-out, but its



Figure 5. Elliptic flow versus transverse momentum in mid-central Au-Au collisions at RHIC. Calculations are shown for pions [28] using ideal hydrodynamics ($\eta/s = 0.0001$) and viscous hydrodynamics ($\eta/s = 0.16$) with several choices of $\delta f_{\text{viscous}}$ are shown together with recent data for charged hadrons from the PHENIX collaboration [29].



Figure 6. The ratio of quadrangular flow, v_4 , to elliptic flow squared, versus transverse momentum. Theory as in figure 5. PHENIX data have been scaled down by a constant factor to take into account fluctuations of the initial geometry (eccentricity fluctuations) which increase $v_4/(v_2)^2$ [30].

dependence on $p \equiv |\vec{p}|$ is not. Most calculations so far assume $\delta f_{\text{viscous}} \propto p^2$ [10], but it has been recently emphasized [31] that other choices are possible. The correct choice depends on the detailed structure of hadronic cross sections at freeze-out. Figure 5 displays a comparison between theory and data for elliptic flow. While ideal hydrodynamics predicts too large a v_2 , as expected from the previous discussion, viscous hydrodynamics matches the data. Results up to $p_t \sim 2$ GeV are in fact fairly insensitive to the choice of $\delta f_{\text{viscous}}$, which is good news. For momenta larger than 2 GeV, results depend on $\delta f_{\text{viscous}}$, but the viscous correction becomes large and hydrodynamics breaks down anyway. Now, look at figure 6, which displays results for the fourth harmonic of the azimuthal distribution. v_4 is defined by

$$v_4 \equiv \langle \cos(4\phi - 4\psi_2) \rangle. \tag{5}$$

Once scaled by $(v_2)^2$, data are essentially flat as a function of transverse momentum. While ideal hydrodynamics naturally reproduces this flat behaviour, taking into account viscosity makes the agreement with data *worse*, even for moderate values of p_t where hydrodynamics is expected to be reliable. Among the three choices of $\delta f_{\text{viscous}}$, the standard quadratic ansatz, which is used essentially by all viscous hydro calculations so far, gives the worst results.

Another shortcoming of present viscous hydrodynamics calculations is that they do not incorporate chemical freeze-out, i.e., the observation that ratios of particle abundances are constant below some temperature (typically 165 MeV). In ideal hydrodynamics, entropy is conserved, so that the entropy per particle is uniform throughout after chemical freeze-out. This makes chemical freeze-out easy to implement [32]. In viscous hydrodynamics, diffusion processes must be taken into account, resulting in complicated equations [33]. An alternative way of dealing with the hadronic phase is to couple hydrodynamics to kinetic theory below some temperature. This was done in the early days of RHIC for ideal hydrodynamics [34, 35, 36, 37, 38] and is being generalized to viscous hydrodynamics. This approach also has problems, because the coupling is done at a high density where the validity of kinetic theory is questionable.





Figure 7. Measured two-particle correlation in proton-proton collisions at centre-of-mass energy 200 GeV. [39].

Figure 8. Measured two-particle correlation in central Au-Au collisions, same energy per nucleon as in figure 7.

3. Correlations and fluctuations

The most striking differences between proton-proton and nucleus-nucleus collisions are probably found in correlations. I first define a measure of the correlation. In a given event, let N_1 and N_2 denote the numbers of particles in two different phase-space bins, say, with azimuthal angles ϕ_1 and ϕ_2 , up to some $\delta\phi$. The correlation is defined by

$$\mathcal{C} \equiv \frac{\langle N_1 N_2 \rangle}{\langle N_1 \rangle \langle N_2 \rangle} - 1, \tag{6}$$

where angular brackets denote an average over a larger number of events within a centrality class. Correlations typically vary like the inverse of the system size: if a nucleus-nucleus was a superposition of n independent nucleon-nucleon collisions, C would be proportional to 1/n. In order to remove this trivial size dependence, the PHOBOS collaboration multiplies the correlation by the average number of particles [40]. The correlation is measured as a function of the relative azimuthal angle $\Delta \phi \equiv \phi_1 - \phi_2$, and of the relative pseudorapidity $\Delta \eta$. The pseudorapidity is a function of the longitudinal velocity, parallel to the beam. Results are displayed for proton-proton collisions in figure 7, and for Au-Au collisions in figure 8. The difference is striking. In proton-proton collisions, the correlation is peaked around $\Delta \eta = 0$: correlated particles have similar longitudinal velocities. This correlation is essentially flat in azimuth, except for a small peak near $\Delta \phi = 0$ and a wider peak near $\Delta \phi = \pi$. In Au-Au collisions, on the contrary, the correlation has a marked structure in $\Delta \phi$, which extends to large $\Delta \eta$.

Simple causality arguments [41] show that correlations at large $\Delta \eta$ can only be created at early times. It is in fact likely that their source is contained in the wavefunction of the incoming projectiles. The $\Delta \phi$ dependence of the correlation function at large $\Delta \eta$ has two maxima at $\Delta \phi = 0$ and $\Delta \phi = \pi$, which can be explained by a term proportional to $\cos 2\Delta \phi$. This term is due to elliptic flow. As explained above, the origin of elliptic flow lies in the elliptic shape of the overlap area. Assuming symmetry with respect to the direction of ψ_2 , equation (2) can be rewritten in complex notation, for a single event,

$$\langle e^{2i\phi} \rangle = v_2 e^{2i\psi_2}.\tag{7}$$

Assuming that the only correlation is due to flow,

$$\langle \cos 2\Delta\phi\rangle = \langle e^{2i(\phi_1 - \phi_2)}\rangle = \langle e^{2i\phi_1}\rangle\langle e^{-2i\phi_2}\rangle = (v_2)^2.$$
(8)

This well-known correlation is usually subtracted from the observed correlation.

A closer scrutiny of figure 8 reveals that the correlation at large $\Delta \eta$ is not exactly a $\cos 2\Delta \phi$ modulation. While the maxima at $\Delta \phi = 0$ and $\Delta \phi = \pi$ have approximately the same height, the distribution is narrower around the first maximum at $\Delta \phi = 0$. The narrower peak around $\Delta \phi = 0$ has been dubbed the *ridge* and has puzzled theorists for several years [41, 42, 43, 44, 45]. One easily checks that this pattern can be reproduced by assuming, in addition to the $\cos 2\Delta \phi$ term, a term $a \cos 3\Delta \phi - b \cos \Delta \phi$ (see figure 11). The maxima at $\Delta \phi = 0$ and $\Delta \phi = \pi$ have the same height if a = b. Transverse momentum conservation naturally generates a $-\cos \Delta \phi$ term [46], but there was no known mechanism to produce a $\cos 3\Delta \phi$ correlation.



Figure 9. Interaction region projected on the plane perpendicular to the collision axis. Circles indicate the positions of nucleons within nuclei just before the collision. Purple nucleons are the participants, which undergo at least one collision. The positions of participants define an ellipse marked as a red line. ψ_2 is the azimuthal angle of the minor axis, along which elliptic flow develops.



Figure 10. (courtesy B. Alver) Another event, which has been chosen because the distribution of participant nucleons draws a triangle in the transverse plane. By analogy with figure 9, one denotes by ψ_3 the flat axis of the triangle.

The breakthrough came a few months ago, when Alver and Roland [47] proposed such a mechanism. This mechanism involves fluctuations, which I have already mentioned, and now explain in more detail. Due to Lorentz contraction, the time scale of a heavy-ion collision at RHIC is so short that the positions of nucleons within nuclei are frozen during the collision. The collision acts as a quantum measurement process: the positions of nucleons are random numbers, whose probability is determined by the wave function of the nucleus. Now, each nucleon-nucleon collision produces several particles whose rapidity span a large interval. One therefore expects that at all rapidities, the initial density profile has "hot spots" at points in the transverse plane where there are more nucleons. These fluctuations are playing an increasingly important role in heavy-ion phenomenology. The new idea of Alver and Roland is that in some events, fluctuations may create a triangular shape (see figure 10). In the same way as elliptic flow develops along the

minor axis of the ellipse, triangular flow develops along the flat side of the triangle. By analogy with equations (7) and (8), we write

$$\langle e^{3i\phi} \rangle = v_3 e^{3i\psi_3} \qquad \langle \cos 3\Delta\phi \rangle = (v_3)^2. \tag{9}$$

In the same way as v_2 is proportional to the initial eccentricity, v_3 turns out to be proportional to the triangularity, ε_3 [47], a dimensionless number which characterizes how triangular the initial distribution is. This triangularity is produced by statistical fluctuations. For central collisions shown in figure 8, however, the eccentricity also results from statistical fluctuations [26], and is of the same order of magnitude. One therefore expects a similar magnitude for both $\cos(2\Delta\phi)$ and $\cos(3\Delta\phi)$ components. The triangular term is smaller because viscous damping is larger for v_3 than for v_2 [48]. However, v_3 increases more rapidly with p_t than v_2 , and naturally produces a shoulder structure of the correlation function (see figure 11) with a high- p_t trigger, similar to the one observed experimentally [49, 50]. Note that triangular flow appears naturally in hydrodynamic simulations with fluctuating initial geometries [45, 51].



Figure 11. Pair azimuthal correlation $R(\Delta\phi) \propto v_2^2 \cos 2\Delta\phi + v_3^2 \cos 3\Delta\phi - b \cos \Delta\phi$ from elliptic and triangular flow. I have chosen $b = \frac{1}{4}v_2^2$ for both curves. Shoulders appear around $\Delta\phi = \pi$ if $v_3 > \frac{2}{3}v_2$.

4. Conclusions and perspectives

There is now a wide consensus in the heavy-ion community that heavy-ion collisions at ultrarelativistic energies produce a fluid with a small viscosity over entropy ratio. Relativistic viscous hydrodynamics describes the expansion of this fluid, therefore it should explain the bulk of the observables: momentum spectra, anisotropies of identified particles in the soft sector (below $p_t \sim 2-3$ GeV), as well as Bose-Einstein correlations (not covered in this talk). The recent developments on triangular flow have shown that even the detailed structure of correlations is naturally explained by flow. At LHC, the higher density will result in an increased lifetime for the fluid, and heavy-ion collisions should be dominated by collective flow. On the theoretical side, viscous hydrodynamics is still in its infancy, and much work remains to be done in order to properly incorporate chemical [33] and thermal [28] freeze-out.

Our understanding of nucleus-nucleus collisions is hindered by our poor knowledge of the initial state. In particular, quantum fluctuations, resulting from the fact that colliding nuclei are quantum objects, are important for the little bang. In fact, the role of fluctuations is in several respects the same as in big-bang physics [52, 53]. Present models of fluctuations are rather crude. There has been huge progress in our understanding of the wavefunction of a nucleus at high-energy, and this should be exploited fully to estimate quantities relevant to heavy-ion phenomenology, such as the initial eccentricity [54] and fluctuations.

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